NESI Part 5 v1_2

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NESI Part 5: Net-Centric Developer's Guide

NESI Part 5: Net-Centric Developer's Guide

Net-centric Enterprise Solutions for Interoperability (NESI) is a joint effort between the U.S. Navy's Program Executive Office for C4I & Space and the U.S. Air Force's Electronic Systems Center. It provides implementation guidance that facilitates the design, development, maintenance, evolution, and use of information systems for the Net-Centric Operations and Warfare (NCOW) environment. NESI has also been provided to other Department of Defense (DoD) services and agencies for potential adoption.

NESI Implementation guidance applies to all phases of the acquisition process as defined in references (a) and (b). NESI comprises six parts, each focusing on a specific area of guidance. NESI Part 1: Net-centric Overview describes each part in detail.

NESI provides guidance on software development, best practices, and examples for developing Net-Centric software. It is aligned with the design principles of reference (o). NESI is not a replacement for references (h), (m), or (n).

The overall goal is to provide common, cross-service guidance in measurable terms for the program managers and developers over the lifecycle of net-centric solutions. The objective is not to replace or repeat existing standards or guidance, but to organize, clarify, and reconcile conflicting mandates around the acquisition process..

NESI subsumes a number of references and directives: in particular, the Air Force C2 Enterprise Technical Reference Architecture (C2ERA)[1] and the Navy Reusable Applications Integration and Development Standards (RAPIDS)[2] Initial authority for NESI is per the Memorandum of Agreement between Commander, Space and Naval Warfare Systems (SPAWAR), PEO C4I & Space, and the United States Air Force Electronic Systems Center, dated 22 December 2003, Subject: Cooperation Agreement for Net-Centric Solutions for Interoperability (NESI).

In addition to references (a) through (q), Navy PEO C4I has mandated a software maintenance policy for its programs that requires the use of NESI Part 3: Net-Centric Migration Guidance.

NESI is intended to help programs comply with the DoD Net-Centric directives, instructions, and other guidance documentation (listed as references (a) through (q)). This guidance will continue to evolve as direction and our understanding of the requirements of net-centricity evolve. NESI will be updated to reflect changes to the guiding documents and new regulations.

[1] Air Force C2 Enterprise Technical Reference Architecture, v3.0-14, 1 December 2003.

[2] RAPIDS Reusable Application Integration and Development Standards, Navy PEO C4I & Space, December 2003 (DRAFT V1.5), https://nesi.spawar.navy.mil.

[3] Software Maintenance Policy, Department of the Navy, Navy PEO C4I & Space, 14 June 2004.

References

(a) DoD Directive 5000.1, The Defense = Acquisition System, 24 November 2003.

- (b) DoD Instruction 5000.2, Operation of the = Defense Acquisition System, 12 May 2003.
- (c) DoD Directive 8100.1, Global Information = Grid (GIG) Overarching Policy, 21 November 2003.
- (d) DoD Directive 4630.5, Interoperability and = Supportability of Information Technology (IT) and National Security = Systems (NSS), 05 May 2004.
- (e) DoD Instruction 4630.8, Procedures for = Interoperability and Supportability of Information Technology (IT) and = National Security Systems (NSS), 30 June 2004.
- (f) DoD Directive 5101.7, DoD Executive Agent = for Information Technology Standards, 21 May 2004.
- (g) DoD Global Information Grid (GIG) = Architecture, Version 2.0, August 2003.
- (h) DoD Joint Technical Architecture, Version = 6.0, 3 October 2003.
- (i) DoD Net-Centric Data Strategy, DoD Chief = Information Officer, 9 May 2003.
- (j) CJCSI 3170.01D, Joint Capabilities = Integration and Development System, 12 March 2004.
- (k) CJCSM 3170.01A, Operation of the Joint = Capabilities Integration and Development System, 12 March 2004.
- (I) CJCSI 6212.01C, Interoperability and = Supportability of Information Technology and National Security Systems, 20 November = 2003.
- (m) Net-Centric Operations and Warfare = Reference Model (NCOW RM) V1.0, September 2003.
- (n) Net-Centric Checklist, V2.1.3, Office of = the Assistant Secretary of Defense for Networks and Information = Integration/Department of Defense Chief Information Officer, 12 May = 2004.
- (o) A Modular Open Systems Approach (MOSA) to = Acquisition, Version 2.0, September 2004.
- (p) DoD IT Standards Registry (DISR), http://disronline.disa.mil.
- (q) Net-centric Attributes List, Office of the = Assistant Secretary of Defense for Networks and Information = Integration/Department of Defense Chief Information Officer, June 2004.

Releasability Statement

This document has been cleared for public release by competent authority in accordance with DoD Directive 5230.9 and is granted Distribution Statement A: Approved for public release; distribution is unlimited. You may obtain electronic copies at https://nesi.hanscom.af.mil or https://nesi.hanscom.af.mil or https://nesi.hanscom.af.mil or

Vendor Neutrality

The NESI documentation sometimes refers to specific vendors and their products in the context of examples and lists. However, NESI is vendor-neutral. Mentioning a vendor or product is not intended as an endorsement, nor is a lack of mention intended as a lack of endorsement.

Code examples typically use open-source products, since NESI is built on the open-source philosophy. Since NESI accepts contributions from multiple sources, the examples also tend to reflect whatever tools the contributor was using or knew best. However, the products described are not necessarily the best choice for every circumstance. You are encouraged to analyze your specific project requirements and choose your tools accordingly. There is no need to obtain, or ask your contractors to obtain, the open-source tools that appear as examples in this guide. Similarly, any lists of products or vendors are intended only as references or starting points, and not as a list of recommended or mandated options.

Disclaimer

Every effort has been made to make this documentation as complete and accurate as possible. It is expected that the documentation will be updated frequently, and will not always immediately reflect the latest technology or guidance.

Contributions and Comments

NESI is an open-source project that will involve the entire development community. Anyone is welcome to contribute comments, corrections, or relevant knowledge to the guides. For Navy contributions, send email to nesi@spawar.navy.mil. For Air Force contributions, send email to nesi@hanscom.af.mil.

Open-Source Site

The Navy has established an open-source site to support community involvement. It is located at https://nesi.spawar.navy.mil. This evolved from the Navy RAPIDS initiative. Use this site for collaborative software development across distributed teams.

NESI Development Guidance

This developer's guide provides chief engineers and software developers with detailed implementation guidance for applications, services, and data. This effort leverages current best practices from the software development community to enable the DoD to create net-centric, extensible, scalable enterprise applications. The goal is to modernize and improve the development of Net-Centric applications and services as critical warfighter capabilities.

Software developers can choose to use published applications via interfaces and services or build applications and services that interface with the infrastructure. Any application that must interoperate in the DoD Net-Centric enterprise should be built and maintained in accordance with the standards, policies, and processes within this guide.

The tactics described in this document are designed to:

- Permit independent paces of development and change on each side of the enterprise, reducing risk and impacts of changes to application developers.
- Implement connection strategies that extend the life and reach of legacy applications while legacy application developers restructure their systems.

Documentation Structure

This document provides developers with detailed software development guidance, best coding practices, lessons learned, and code samples. It is intended as a reference, not a document to be read cover to cover.

The contents follow this basic structure:

Overview	Describes the topic in terms suitable for the entire NESI audience, and lists future topics that may be covered in that area		
Guidance	Lists contractual statements relating to the topic.		
Best practices	Contains lessons learned from industry and the DoD, design patterns, code snippets, and configuration examples; developers can augment their efforts by leveraging and reusing this information		
Examples	Provides code samples that illustrate the guidance and best practices. For a statement about the choice of tools, see the <u>Vendor neutrality</u> disclaimer.		
Glossary	Defines jargon and terms used in a specific sense.		
References	Lists of books, web sites, and other sources of information that may assist the planning or development effort.		

Program managers and chief engineers will find the overview and guidance sections helpful while:

- Directing their programs and activities to build systems. Use this information in combination with <u>NESI Part 2: Net-Centric ASD (NII) Checklist Guidance</u> and <u>NESI Part 4: Net-Centric Node</u>
 <u>Design Guidance</u>.
- Reviewing Statements of Work. (Developers may also use the information for this purpose.)
- Reviewing deliverables for compliance.
- Migrating legacy systems to the net-centric environment. Use this information in combination with NESI Part 3: Net-Centric Migration Guidance.

Perspectives

Perspectives

The volume of information within the Net-centric Enterprise Solutions for Interoperability (NESI) is vast and complex. It covers a wide range of subjects and topics and provides hundreds of guidance statements. To aid in browsing, the document is organized into perspectives. Each Perspective tells a story and provides access to the Guidance and Best Practice details that support the story. Any individual person is generally not interested in the entirety of NESI but is rather interested in information germane to their field of expertise. For example, on any given project one person might only be interested in the human interface, another person might be interested in the persistent data and another person might be interested in security. Each of these people has a different view point on what needs to be done on the project. These different view points are the basis for NESI Perspectives. As described above, a NESI Perspective can be used to aid a person in finding information or it can be used to classify Guidance and Best Practice details into well known categories. For example, the Metadata Registry Perspective identifies all the Guidance Details and Best Practices that relate to Metadata registries. If a Profile, Program, or Project requires the use of a Metadata Registry, then this Perspective encapsulates the needed Guidance and Best Practices.

Complex Perspective

A complex perspective is one that provides an encapsulation of other perspectives

Detailed Perspective

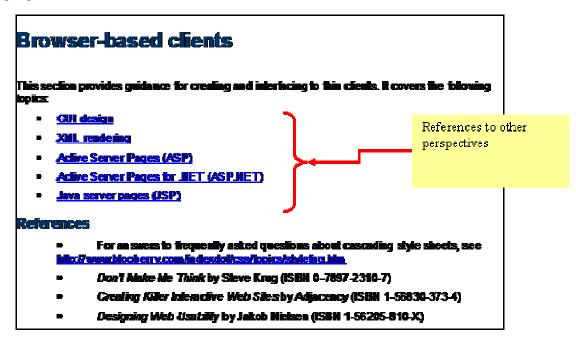
A detailed perspective is one that encapsulates guidance details, best practice details, examples, references and glossary entries that pertain to a specific subject. It must

examples, references and glossary entries that pertain to a specific subject. It must minimally contain an overview or introductory paragraph and at least one reference to a Guidance Detail.

Note: Perspectives are **not** intended to be binding in nature, but are provided as a convenient way to access guidance details, best practices details, examples, references and glossary documents components related to a particular subject.

Complex Perspectives

A complex perspective is one that provides an encapsulation of other perspectives. It covers higher level complex subjects that further broken down into other subjects. There are no rules as to how many perspectives can referenced by a perspective or how many times a perspective can be referenced by other perspectives.



Detailed Perspectives

A detailed perspective is one that encapsulates guidance details, best practice details, examples, references and glossary entries that pertain to a specific subject.

GUI design Glossary references Browner-based clients display web pages. A web page consists of #TML that is downbaded from a web silicand rendered inside a browser. Web pages are generated by the using echnologies the Active Server Pages, Jam Server Pages servicis, and OSI scripts. Guidance Details references Cuidance Do not deviate from N/3G standards. Code should not use vendor-specific add-on **features.** [G1035] File type must match file content. [G1637] Decombe the graphical style from the content format, (G1043). Web documents shall comply with Disability Act Guidelines. [G1044] Best practices Use one of these standard fonts in web pages, in this order of preference: Verdana, Universal, Sans Serif. Do not use Times New Roman. [89:1038] Do not underin e any text unless it is a link. [67 1035] Use hex codes for all colors (e.g., #FFFF33), never the color name (e.g., yellow). IBP 10 401 Do not change the default colors of the links. [69 1041] Do not build a web payentere the horizontal width is greater than the screen. Verlich scroling is fine. Plan for the lowest common denominator to be super-VGA resolution or 600 x 800. IBP10421 Best Practice Details References references HTML HEX color tables hilo://webmonkey.wired.com/webmonkey/reference/color_codes/ W3C definition - http://www.cc6.org/Consoltium/ Disability Act Guidelines - http://www.ndb.org/M/AU/ hillo: Researching teaching a techniquet.com/sDefinition.R_sid26_gci212286.00.html JSP - Inflect/representation pedia.com/TERM/IJJSP.html CGI - http://www.vet.org/CGV and http://hoohoo.ncza.uiuc.edu/cgi/intro.html Ja va Script - inlips//www.mozilla.org/js/

External References

Perspectives

Technical Guidance and Tactics

Technical Guidance and Tactics

This section contains guidance on the following topics:

High-level Guidance

Interface Design

Future guidance will include:

- **Design patterns and examples:** Recommended patterns and implementations
- Developer's Toolkit creation: Toolkit containing a jumpstart/quick start guide, developer's
 guide, sample code, automated test drivers and certification tools, and access to open-source sites.
- Enterprise Checklist: Overview of actions prior to enterprise deployment.
- Error handling: Error management processes and guidelines.
- Interface management: Public interface management processes and guidelines.
- Logging management: Logging and auditing processes and guidelines.

Note: This guidance may be moved to other sections of the <u>NESI</u> documentation, as appropriate.

High-level Guidance

High-level Guidance

This section lists high-level guidance for developing <u>Net-Centric</u> software. The remainder of this document provides more detailed guidance on specific topics. Adhering to the guidance in this document will minimize impacts to programs and help manage change.

Publish and insulate public interfaces

Implement a component-based architecture

Automate the software build process

Interface Design

Interface Design

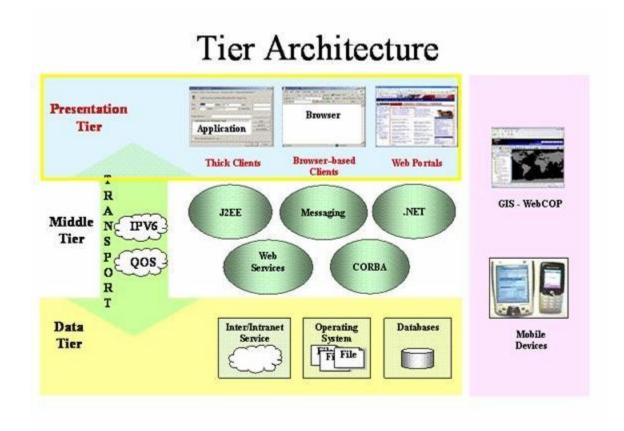
This section provides guidance on the following topics:

- Public Interface Design
- Standard Interface Documentation

Presentation Tier

Presentation Tier

The presentation tier represents all the components used to generate an interactive display that enables users to communicate with applications. The components of a presentation tier are not necessarily in the same physical location. The presentation tier communicates with the middle tier to make requests and retrieve data from the data tier. The presentation tier then shows the end user the data retrieved from the middle tier. Components located in the middle tier that build web pages for display are considered part of the presentation tier.



Guidance

• Validate all input fields. [G1032]

Future guidance will include:

- <u>Web Browser</u> setup/configuration: <u>Web application</u> configurations for <u>portals</u> and <u>browsers</u>, security configurations.
- JavaScript
- .NET Smart Clients

- Java servlets and Java Server Page (JSP)s
- Java applets
- Active X
- Hypertext Preprocessor (PHP)
- Terminal services/Stateless clients
- Design patterns and examples: Recommended patterns and implementations.
- Portal strategies: Additional cross-references for new DoD portals initiatives and guidance.
- Security guidelines: <u>Authentication</u> schemes, secure coding practices, <u>digital certificates</u>, <u>digital signatures</u>, firewall polices, protection mechanisms, and <u>SSL</u>.

Note: That this guidance may be moved to other sections of NESI (Net-Centric Enterprise Solutions for Interoperability). A joint effort between the U.S. Navy's Program Executive Office for C4I & Space and the U.S. Air Force's Electronic Systems Center. It provides a reference architecture, implementation guidance, and a set of reusable software components. These facilitate the design, development, maintenance, evolution, and use of information systems for the Net-Centric Operations and Warfare (NCOW) environment, documentation, as appropriate.

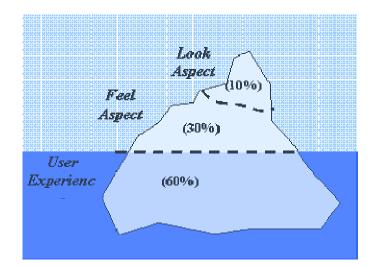
Human-Computer Interaction

Human-Computer Interaction

<u>Human-Computer Interaction</u> (<u>HCI</u>) is the study, planning, and design of the interaction between humans and computers. It consists of three parts: the <u>end user</u>, the computer itself, and the ways they work together.



Traditionally, <u>HCI</u> only had two aspects for the development of usable applications: <u>Look and Feel</u>. However, there is strong rationale for adding a third aspect, the <u>User Experience</u> and that the <u>Look and Feel</u> aspects are just the tips of the <u>HCI</u> iceberg. It has been estimated that the <u>Look</u> comprises only about 10% of the total usability of an application and that the <u>Feel</u> is another 30%. The <u>User Experience</u> determines the remainder 60% of the usability.



Thick Clients

Thick Clients

A thick client (often called "fat client") can be defined as a client machine in a client/server environment that performs most or all of the application processing with little or none performed in the server.

Guidance

• Use a standard <u>Graphical User Interface</u> (GUI) <u>component</u> library. [G1030]

References

- AWT http://java.sun.com/products/jdk/awt/
- Swing http://java.sun.com/products/jfc/
- Thick client http://www.jargonsoft.com/m2/tech/JargonWhitePaper.html

Browser-Based Clients

GUI Design

Browser-based clients display web pages. A web page consists of HTML and JavaScript that is downloaded from a web site and rendered inside a browser. Web pages are generated by the web server using technologies like Active Server Pages, Java Server Pages, servlets, and CGI scripts. Since browsers adhere to standards differently, web pages should be tested in multiple target browsers to ensure proper layout and functionality.

Guidance

- Do not deviate from W3C standards. Code should not use vendor-specific add-on features.
 [G1035]
- Decouple the graphical style from the content format. [G1043]
 - o Web documents shall comply with Disability Act Guidelines. [G1044]

Best Practices

- Use one of these standard <u>fonts</u> in <u>web pages</u>, in this order of preference: Verdana, Universal, Sans Serif. Do not use Times New Roman. [BP1038]
- Do not underline any text unless it is a link. [BP1039]
- Use hex codes for all colors (e.g., #FFFF33), never the color name (e.g., yellow). [BP1040]
- Do not change the default colors of the links. [BP1041]
- Do not build a <u>web page</u> where the horizontal width is greater than the screen. Vertical scrolling is fine. Plan for the lowest common denominator to be super-VGA resolution or 600 x 800.
 [BP1042]

References

- HTML HEX color tables http://webmonkey.wired.com/webmonkey/reference/color_codes/
- W3C definition http://www.w3.org/Consortium/
- Disability Act Guidelines http://www.w3.org/WAI/
- HTML http://searchwebservices.techtarget.com/sDefinition/0,,sid26_gci212286,00.html
- JSP http://www.webopedia.com/TERM/J/JSP.html
- CGI http://www.w3.org/CGI/ and http://hoohoo.ncsa.uiuc.edu/cgi/intro.html
- JavaScript http://www.mozilla.org/js/

XML Rendering

Using the architecture shown in Parsing XML strategies, XML can render display-device-neutral output to a particular output device given a set of display rules or a <u>style sheet</u>. The XSLT file is the decoupled output formatter that determines how the output device renders the data.

Guidance

• Define XML format information separately in XSL. [G1045]

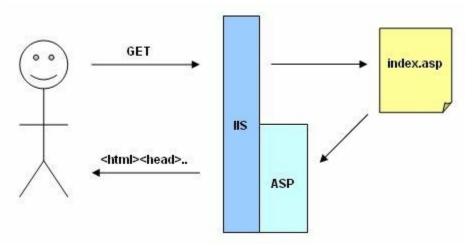
References

• XSL – definition of XSL in Encyclopedia - http://encyclopedia.laborlawtalk.com/XSL

Active Server Pages (ASP)

Active Server Pages (ASP) are scripts that are executed by Microsoft Internet Information Services (IIS). The output is returned to the <u>end user</u> as <u>HTML</u>. Typically, an ASP script generates a customized <u>web page</u> on the fly before sending it to the <u>end user</u>.

- Active Server Pages:
 - o Are specific to Microsoft
 - Only run on IIS or PWS.
 - o Can contain HTML, Jscript, and VBScript
 - o Can access Component Object Model (COM) Component



Guidance

- Do not use <u>ActiveX</u> controls. [G1049]
- In ASP, isolate the presentation tier from the middle tier using <u>Component Object Model</u> (<u>COM</u>)
 objects. [<u>G1050</u>]

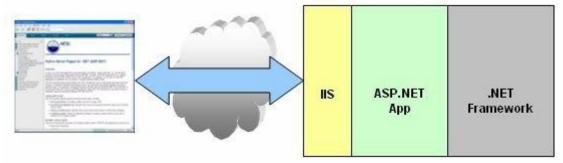
References

- ActiveX Control definition http://isp.webopedia.com/TERM/A/ActiveX_control.html
- Component Object Model definition http://isp.webopedia.com/TERM/C/Component_Object_Model.html
- JScript definition http://isp.webopedia.com/TERM/J/JScript.html
- IIS definition of IIS in Encyclopedia http://encyclopedia.laborlawtalk.com/IIS
- Personal Web Server a Whatis.com definition http://searchwebservices.techtarget.com/sDefinition/0,,sid26_gci296469,00.html
- HTML a Whatis.com definition http://searchwebservices.techtarget.com/sDefinition/0,,sid26_gci212286,00.html

• VBScript definition from The Glossary of Internet Terms http://www.strategicwebventures.com/definitions/Glossary/VBScript/

Active Server Pages for .NET (ASP.NET)

Microsoft .NET uses ASP.NET for web applications. ASP.NET requires Microsoft <u>Internet Information Services</u> (<u>IIS</u>) or Apache 2.0-based enterprise-ready services.



ASP.NET improves upon ASP. It has more features than <u>Java Server Page</u> (<u>JSP</u>) An extensible web technology that uses static data, <u>JSP</u> elements, and server-side Java objects to generate dynamic content for a client. Typically the static data is<u>HTML</u> or XML elements, and in many cases the client is a web browser. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html), such as code-behind and event-driven An application that responds to events. web controls. However, <u>Java Server Faces</u>, framework for building user interfaces for web applications. It includes (1) A set of APIs for representing UI components and managing their state, handling events and input validation, defining page navigation, and supporting internationalization and accessibility; (2) A <u>Java Server Page</u> (<u>JSP</u>) custom tag library for expressing a JavaServer Faces interface within a <u>JSP</u> page should bring <u>JSP</u> to the level of ASP.NET in the future.

Guidance

- Use the code-behind feature in ASP.NET to separate presentation code from the business logic.
 [G1052]
- Do not embed <u>Hypertext Markup Language</u> (<u>HTML</u>) code in any code-behind code used by ASPX pages. [G1053]
- Use fully qualified, registered namespaces with identity information for all custom controls.
 [G1055]
- Specify a versioning policy for .NET assemblies. [G1056]

References

- HTML a Whatis.com definition http://searchwebservices.techtarget.com/sDefinition/0,,sid26_gci212286,00.html
- What is JSP? http://www.webopedia.com/TERM/J/JSP.html
- JavaServer Faces http://java.sun.com/j2ee/javaserverfaces/faq.html#what_is_jsf

Java Server Pages (JSP)

<u>Java Server Page</u> (<u>JSP</u>) technology enables web developers and designers to rapidly develop and easily maintain information-rich, <u>dynamic web pages</u> that leverage existing business systems. As part of the Java technology family, <u>JSP</u> technology enables rapid development of platform-independent, web-based applications. <u>JSP</u> technology separates the user interface from content generation, enabling designers to change the overall page layout without altering the underlying dynamic content.

Java Server Pages:

- Are similar to ASPs.
- Can contain HTML, Java code, and JavaBean components
- Provide a powerful, dynamic web page assembly mechanism
- Are platform-independent
- Are compiled into Servlets at runtime; on most application servers, this occurs only the first time they are invoked

Guidance

Java code used in <u>Java Server Pages (JSPs)</u> shall be encapsulated in tag libraries. [G1060]

References

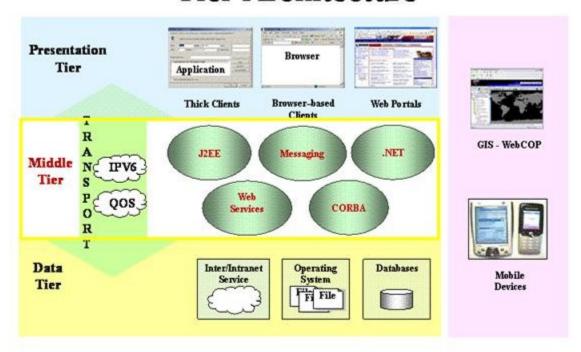
- JavaBeans definition http://isp.webopedia.com/TERM/J/JavaBeans.html
- Model-view-controller a Whatis.com definition http://whatis.techtarget.com/definition/0,,sid9_gci214607,00.html
- Java Servlets definition http://www.fromallangles.com/glossary/web-hosting/terms/java-servlets.htm

Middle Tier

Middle Tier

The middle tier provides process management services such as process development, monitoring, and resourcing, that are shared by multiple applications.

Tier Architecture



Future guidance will include:

Application collaboration/Mediation framework: Also known as backend integration application communication.

Application concurrency control: Concurrency and locking strategies and guidelines for applications required to operate in a multi-user environment. Transactional strategies for operations with other services in the enterprise.

Application server guidelines: Sybase application server topics, transactions, and data access guidelines.

Connection strategies: Applications written in or using Fortran, Ada, C/C++, Cold Fusion, <u>Java</u>, <u>J2EE</u>, Microsoft Office, and .*NET*.

CORBA: *Real-time* topics, cross-vendor interoperability issues, enterprise connection strategies, and Software Communication Architecture (*SCA*) issues.

Design patterns and examples: Recommended patterns and implementations.

Microsoft component model: .NET, COM/DCOM,COM+, security, and data-access guidelines.

Microsoft Office: Connector strategies to and from the enterprise.

Middleware guidelines: Guidelines on developing connectors to and from the enterprise.

Other application server operations: JBoss, Orion, Sybase EAServer.

Security guidelines: Authentication schemes, secure coding practices, digital certificates, digital signatures, firewall polices, protection mechanisms, and <u>SSL</u>.

Transactional strategies: For operations with other services in the enterprise.

Web services: <u>UDDI</u> operations and taxonomies.

Note: This guidance may be moved to other sections of the <u>NESI</u> documentation, as appropriate.

Messaging

Messaging

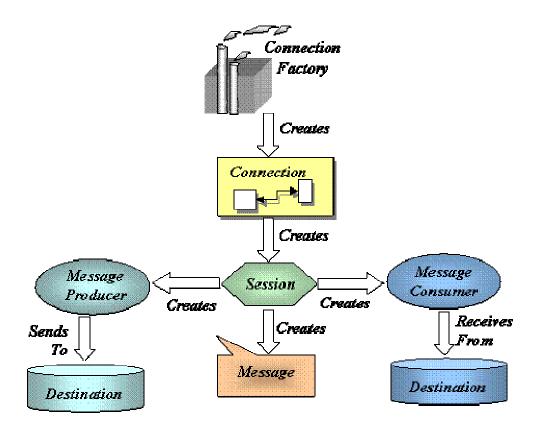
The explosion of the Internet required applications to communicate and interoperate with other applications and services. Messaging systems play an important role in enterprise applications because computers and networks are inherently unreliable and messaging systems are perfectly suited to operate in disconnected environments. They provide a reliable, secure, event-driven message-delivery communication mechanism. Unlike traditional RPC-base systems (RMI or CORBA), most message-oriented base systems operate peer-to-peer.

The messaging paradigm offers three major advantages.

- Allows applications to communicate asynchronously. This means the system sending the <u>message</u>
 does not have to wait around for a response.
- Provides more robustness and reliability; <u>messages</u> do not get lost if a <u>client</u> has crashed or is unavailable.
- Multiplexes <u>messages</u> and sends them to multiple <u>clients</u>

There are other advantages such as transactional <u>message</u> support, <u>message</u> prioritization, load balancing, and firewall <u>tunneling</u>. However, these features usually depend on how the <u>Message-Oriented Middleware</u> (<u>MOM</u>) is implemented.

This diagram shows the relationship of the classes and interfaces in the <u>Java Message Service(JMS</u>) <u>API</u>. Developers use these classes and interfaces to create a <u>JMS</u> application.

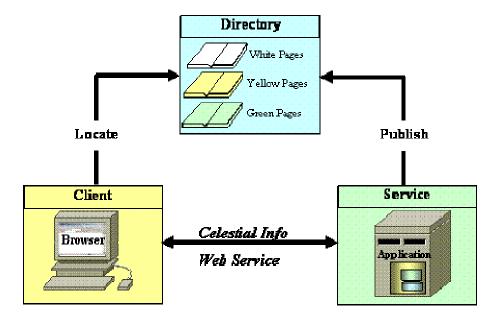


Web Services

Web Services

A <u>web service</u> is an application that exists in a distributed environment, such as the <u>Internet</u>. A <u>web service</u> accepts a request, performs its function based on the request, and returns a response. The request and the response can be part of the same operation, or they can occur separately, in which case the consumer does not need to wait for a response. Both the request and the response usually take the form of <u>XML</u>, use a portable data-interchange format called <u>SOAP</u>, and are delivered over a <u>wire protocol</u>, such as <u>HTTP</u>.

<u>Web service</u> can reside on top of existing legacy applications and expose services to the net. The <u>web services</u> architecture illustrated below implements the <u>service-oriented architecture</u> pattern. For more information on design patterns, see <u>Web Service Patterns: Java Edition</u> by Paul B. Monday.

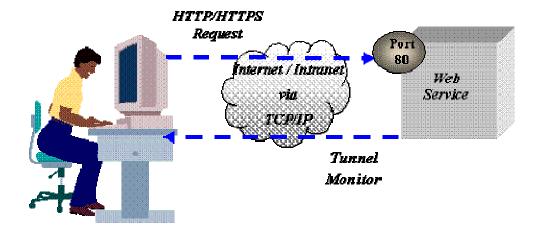


Web Service Models

<u>Web services</u> have traditionally been used to connect people to <u>services</u>. However, as the <u>web service</u> <u>infrastructure</u> has matured, a new model has emerged, the service-to-service model.

Traditional Model

In a classic <u>web service</u>, a request is usually made to a <u>web service</u> using a <u>web browser</u>. The request is submitted to the <u>web service</u> using <u>HTTP</u> or <u>HTTPS</u> over the <u>internet</u> or an <u>intranet</u>. The <u>web service</u> processes the request and returns an <u>HTML</u> page that can be displayed in a <u>web browser</u>.

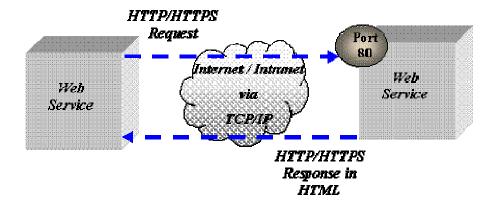


A classic web service has the following characteristics:

- Web page appears via a web browser
- Connection is via TCP/IP
- Transport is <u>HTTP/HTTPS</u>
- Message format is <u>HTML</u>

Service-to-Service model

<u>Application servers</u> used to be responsible for providing machine-to-machine services. Now <u>web servers</u> can handle similar work. The <u>web server</u> can pass a request as an <u>XML</u> payload embedded in a <u>TCP/IP</u> and <u>HTTP</u> request, process the data, and respond. The response is typically in the form of an <u>HTML</u> web <u>page</u> or an <u>XML</u> payload that a <u>client</u> application can use.



Machine-to-machine web services have the following characteristics:

- Two independent applications
- Two independent servers
- Connection is via TCP/IP

- Transport is <u>HTTP</u> (port 80)
- Message format is XML payload in SOAP format

Key characteristics

Some key characteristics of web services are:

- High-overhead interactions; may be too heavy for some applications
- Loosely coupled collaborators (e.g., client/server)
- Multiple layers of parsing, marshalling, and un-marshalling
- Non-standard content
- Standard interaction <u>protocol</u>
- No support for services such as messaging and security
- Infant technology
- No support for pass-by-reference

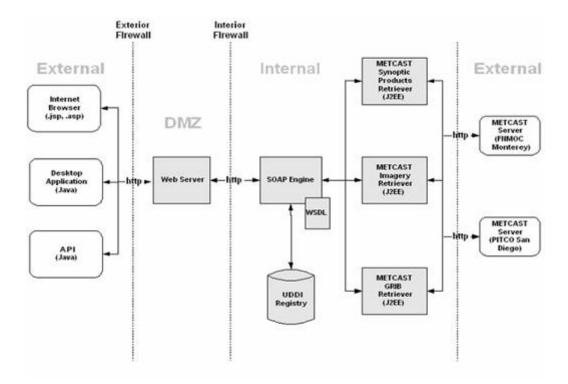
Guidance

- Validate all WSDL (Web Services Definition Language) files that describe web services. [G1087]
- Use isolation design patterns such as <u>façade</u>, <u>proxy</u>, or <u>adapter</u> to isolate the application from the connection and manipulation of <u>SOAP messages</u>. [G1088]
- Do not hard-code a web service's endpoint. [G1090]

Examples

Navy operational example: Exposing web services for METOC

The following figure shows a simplified example of the architecture, illustrating a METOC metcast application that uses <u>SOAP</u> as a <u>proxy</u> to legacy content.

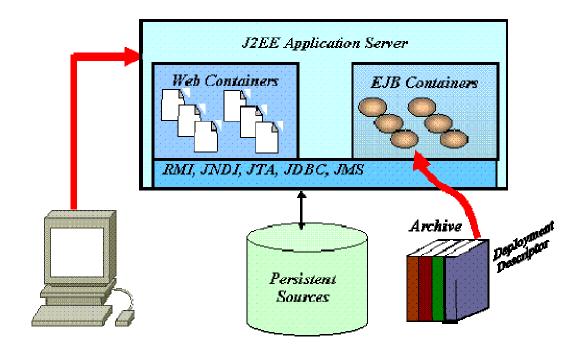


References

- <u>SOAP</u> definition <u>http://sbc.webopedia.com/TERM/S/SOAP.html</u>
- Web Service Definition Language (WSDL) http://www.w3.org/TR/wsdl
- Adapter pattern http://c2.com/cgi/wiki?AdapterPattern
- Design patterns: Proxy http://www.dofactory.com/Patterns/PatternProxy.aspx
- Façade pattern http://c2.com/cgi/wiki?FacadePattern

J2EE Environment

Java has been extended to handle the complexity of enterprise computing through the Java 2 Enterprise Edition (J2EE) environment there are multiple deployment descriptors that correspond to the type of modules being deployed. The deployment descriptors are contained in the enterprise archive file (EAR). A deployment descriptors is an XML file that is inside an Java archive file. It describes the contents of the Java archive file and explicit instructions on how the application server's J2EE-compliant container needs to be configured to run the application.



The table below shows the <u>J2EE</u> standard <u>deployment descriptor</u> files and the specific applications to which they apply. See http://java.sun.com/dtd/ for details of each XML file.

Component or Application		Scope	Deployment descriptors	Packaging archives
Web application	J2EE		web.XML	. <u>war</u>
Enterprise bean	J2EE		ejb-jar.XML	. <u>jar</u>
Resource adapter	J2EE		ra.XML	. <u>rar</u>
Enterprise application	J2EE		application.XML	. <u>ear</u>
Client application	J2EE		application- client.XML	

In the <u>J2EE</u> environment, packaging and <u>deployment</u> is done using a <u>Java archive</u> file. A <u>Java archive</u> file is a self-contained module that contains all of an application's <u>Java class files</u>, static files, and <u>deployment</u> <u>descriptor files</u>. <u>Java archive</u> files are created using a <u>jar</u> utility.

The format for a <u>deployment descriptor</u> is defined in both the <u>EJB</u> specification and the <u>servlet</u> specification. The Sun standards are defined at the following locations:

J2EE environment applications http://java.sun.com/products/ejb/docs.html

Non-J2EE or standard web applications http://java.sun.com/products/servlet/download.html

Note: Some vendors have extensions to these guidelines or have specific descriptors for their products. Refer to the vendor's site for these details. For example, the WebLogic BEA <u>Application Server</u> descriptors are available at http://e-docs.bea.com/wls/docs70/webapp/WebLogic_XML.html.

Guidance

- Document the use of non-<u>J2EE</u>-defined <u>deployment descriptors</u>. [G1078]
- J2EE applications should isolate tailorable data values into the deployment descriptor. [G1079]
 - Define all external resources by using a separate resource-ref element for each resource. [G1200]
 - Define configuration data such as <u>environment variables</u>, parameters, and properties by using <u>resource-env-ref</u> elements. [G1201]

Best Practices

- When <u>deploying</u> a new application to a WebLogic <u>application server</u> (e.g., <u>ear</u>, <u>war rar</u>), do not edit the WebLogic startup file to add application-specific information. This file is used for <u>server</u> startup only and should not contain application-specific logic. The system administrator must approve and coordinate all updates to this file. [BP1076]
- Do not edit the config.xml file manually. The config.xml file is the persistent store used by the
 WebLogic server to store runtime configuration parameters. Instead, use the WebLogic
 management console to configure the WebLogic server. Any edits done through the management
 console will be written to config.xml. [BP1077]

Examples

Environment entries

<u>Enterprise JavaBeans</u> (EJB) <u>environment values</u> are defined in the <u>deployment descriptor</u> using the **env-entry** element. Use <u>J2EE</u> provider utilities to modify these values during or after <u>deployment</u>.

```
<env-entry-value>120</env-entry-value>
</env-entry>
```

A bean can access the environment entries with a similar code to the following:

Resource references

Use resource references to define and use environment entries. By default, the initial <u>J2EE</u> environment context is **java:comp/env/**. Consequently, it is best to classify all resources into subcontexts of the default. For example, classify all <u>JDBC</u> definitions using the default context with a <u>JDBC</u> subcontext appended to it. For example:

And the EJB accesses the data source as in the following:

Resource Environment References

• The resource-env-ref describes administered objects, as opposed to objects that are better maintained programmatically. Administered objects help define objects that are likely to change between implementations: for example, <u>JMS</u> or database implementations. It is best to administer these objects along with other administrative tasks that vary from provider to provider and not within the application. This makes the code more portable.

```
<resource-env-ref>
  <resource-env-ref-name>jms/ConnectionFactory</resource-env-ref-name>
  <resource-env-ref-type>javax.jms.Queue</resource-env-ref-type>
</resource-env-ref>
```

The code to access the administered object follows:

Example Deployment Descriptors

ejb-jar.xml

```
<ejb-jar>
  <enterprise-beans>
    <session>
      <ejb-name>TestClient</ejb-name>
      <home>TestClientHome</home>
      <remote>TestClient</remote>
      <ejb-class>MyLogicBean</ejb-class>
      <session-type>Stateless</session-type>
      <transaction-type>Container</transaction-type>
    </session>
  </enterprise-beans>
</ejb-jar>
web.xml
/* Descriptor for Application named: HelloWorld.jsp */
MyWebApp/ (public directory)
   HelloWorld.jsp
WEB-INF/
  Web.XML
  Classes/myBean
<?XML version="1.0" encoding="UTF-8"?>
<web-app>
  <display-name>HelloWorldJSP</display-name>
    <servlet-name>HelloWorld</servlet-name>
    <display-name>HelloWorld</display-name>
    <jsp-file>/HelloWorld.jsp</jsp-file>
  </servlet>
  <session-config>
    <session-timeout>30</session-timeout>
  </session-config>
  <eib-ref>
    <ejb-ref-name>ejb/helloejb</ejb-ref-name>
    <ejb-ref-type>Session</ejb-ref-type>
    <home>HelloHome</home>
    <remote>Hello</remote>
  </ejb-ref>
</web-app>
    Contact.class
```

References

- J2EE http://java.sun.com/J2EE/
- EJB http://java.sun.com/products/ejb/
- .jar http://java.sun.com/developer/Books/javaprogramming/JAR/
- .war http://access1.sun.com/techarticles/simple.WAR.html
- .ear http://java.sun.com/J2EE/tutorial/1_3-fcs/doc/Overview4.html
- .rar http://java.sun.com/J2EE/tutorial/1_3-fcs/doc/Connector2.html

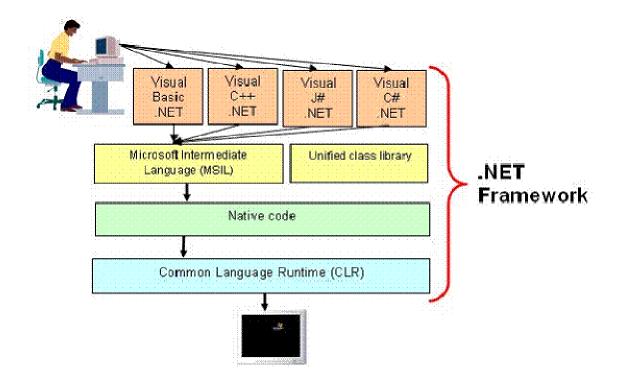
.NET Framework

To address the confusing maze of computer languages, libraries, tools, and toolkits that were necessary for creating multi-tier applications, Microsoft developed the .<u>NET</u> Framework and integrated it into Microsoft Windows as a <u>component</u>. It supports building and running multi-tier and Service Oriented Architectures (<u>SOAs</u>), including <u>web services</u> and <u>client</u> and <u>server</u> applications. It simplifies the process of designing, developing, and testing software, allowing individual developers to focus on core, application-specific code.

Microsoft summarizes the .NET Framework as:

- A consistent, language-neutral, <u>object-oriented programming</u> environment.
- A code-execution environment that minimizes software deployment and versioning conflicts, guarantees safe execution of code, and eliminates the performance problems of scripted or interpreted environments.
- A consistent development environment.
- A framework composed of two key parts: the <u>Common Language Runtime</u> (<u>CLR</u>) and the <u>Unified</u> <u>Class Libraries</u>.

In the Microsoft .NET development environment, a programmer writes software in any one of several Visual .NET languages. These use a single, unified, object-oriented, hierarchical, and extensible set of class libraries to access the system and common services such as XML web services, enterprise services, ADO.NET, and XML. Next, the language source code is compiled into an intermediate Microsoft Intermediate Language (MSIL), which is later translated into platform-specific native code that uses the CLR.



Guidance

• Use <u>web services</u> to bridge <u>J2EE</u> and <u>.NET</u>. [<u>G1101</u>]

Best Practices

- Use the **System.Text.StringBuilder** class for repetitive string modifications such as appending, removing, replacing, or inserting characters. [BP1097]
- Write all .NET code in C#. [BP1098]
- Compile all code using the <u>NET Just-In-Time compiler</u>. [BP1100]

References

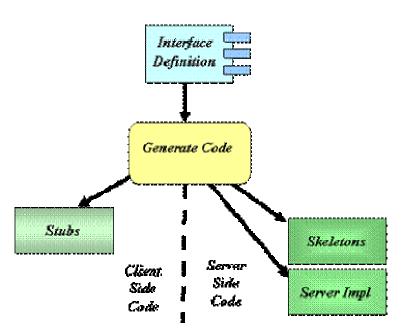
For more information, see the <u>Microsoft Programming Languages</u> white paper on MSDN, written by Prashant Sridharan.

CORBA

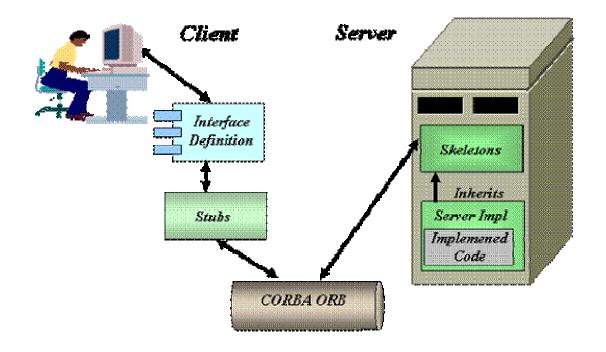
CORBA is the acronym for Common Object Request Broker Architecture. It is Object Management Group (OMGs) open, vendor-independent architecture and infrastructure that computer applications use to work together over networks. Using the standard protocol IIOP, a CORBA-based program from any vendor, on almost any computer, operating system, programming language, or network, can interoperate with a CORBA-based program from the same or another vendor, on almost any other computer, operating system, programming language, or network.

In general, the code that needs to be created to access an object remotely using <u>CORBA</u> can be implemented using well established and well understood design patterns. Consequently, it is not difficult to write but it is tedious and subject to human error during the writing process because much of it is of a cut-and-paste nature. Therefore, most <u>Object Request Broker</u> (<u>ORB</u>) vendors have developed code generators that can auto-generate the required infrastructure code given the definition of the interface between a <u>client</u> and a <u>server</u>. The use of these auto-generators is strongly encouraged.

The following diagram illustrates auto-generation of the infrastructure code from an interface defined using the <u>CORBA Interface Definition Language</u> (<u>IDL</u>).



This diagram illustrates how the generated code is used within the CORBA infrastructure.



Key features

Some of the key features of interest in the **CORBA** specifications are:

- Internet InterORB <u>Protocol</u> (IIOP)
- Dynamic Invocation Interface (DII)
- Dynamic Skeleton Interface (DSI)
- Interface Repository (IFR)
- Objects by Value (OBV)
- CORBA Component Model (CCM)
- Portable Object Adapter (POA)
- General InterORB <u>Protocol</u> (GIOP)
- <u>Java</u> to <u>IDL</u> mapping

- Localize <u>CORBA</u> vendor-specific source code into separate modules. [G1118]
 - Use the <u>CORBA Portable Object Adapter</u> (<u>POA</u>) instead of the <u>Basic Object Adapter</u>
 (<u>BOA</u>). [<u>G1202</u>]
- Isolate user-modifiable configuration parameters from the <u>CORBA</u> application source code.[G1119]
 - Create configuration services to provide distributed user control of the appropriate configuration parameters. [G1204]

- Use non-source code persistence to store all user-modifiable <u>CORBA</u> service configuration parameters. [G1205]
- Do not modify <u>CORBA IDL</u> compiler auto-generated stubs and skeletons. [G1121]
- Use the "Fat Operation Technique" in <u>IDL</u> operator invocation. [G1123]

Best Practices

- When using <u>CORBA</u> strings, follow the best-practice guidelines in the documents listed below.
 [BP1122]
 - o Use CORBA::String_var in <u>IDL</u> to pass string types in C++. [BP1231]
 - Do not pass or return a zero or null pointer. Instead, pass an empty string.
 [BP1232]
 - Do not assign CORBA::String_var type to INOUT method parameters.
 [BP1233]
 - Assign string values to OUT, INOUT, or RETURN parameters using operations to allocate or duplicate values, rather than creating and deleting values.
 [BP1234]
 - Assign string values to returned-as-attribute values using operations to allocate
 or duplicate values, rather than creating and deleting values. [BP1235]

References

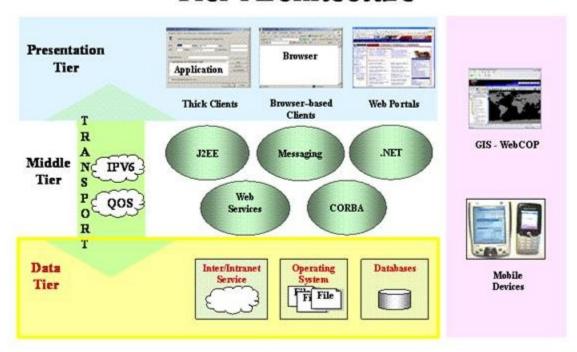
• OMG - http://www.omg.org/gettingstarted/gettingstartedindex.htm

Data Tier

Data Tier

The data tier is responsible for storing data. It does not (should not) contain any business logic, and handles only that processing required to access data and maintain its integrity.

Tier Architecture



Future guidance will include:

- Database topics: Lessons learned from Oracle and Sybase, <u>replication</u> across database vendors, and database federation concepts.
- **Design patterns and examples:** Recommended patterns and implementations.
- Security guidelines: Authentication schemes, secure coding practices, digital certificates, digital signatures, firewall polices, protection mechanisms, and <u>SSL</u>.
- XML: Coding guidelines, namespaces, XML parser usage, wrapper classes, XML databases, and XML security guidelines like <u>SAML</u>.

Note: That this guidance may be moved to other sections of the <u>NESI</u> documentation, as appropriate.

Most modern multi-tiered systems need to collect, store, retrieve and manage persistent data. This data persistence is the responsibility of the data tier. In essence, the data tier functionality is accomplished with modern <u>COTS</u> Database Management Systems (<u>DBMSs</u>) such as MySQL, Oracle, <u>SQL</u> Server, or Sybase Adaptive Server Enterprise (ASE).

Decouple from Applications

To promote database independence, access the database only through <u>open-standards</u> interfaces. The goal is to swap out data sources and/or connect to multiple data sources without affecting the application or increasing software maintenance costs. Data-level adapters allow applications to access data through database calls that are native to the requesting application. At this point, the <u>business logic</u> can be shared with other data sources. This positions the application to move business logic from the database to the middle tier, to support database independence.

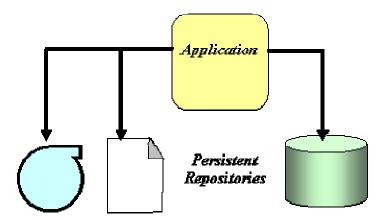
- Access the database only through <u>open-standards</u> interfaces to promote database independence.
 [G1014]
- For Java, use <u>JDBC</u>. [G1211]
- For C/C++ and <u>.NET</u> use <u>ODBC</u>. [G1212]

Database Implementations

The data tier is simply a repository for persistent data. There are many ways that data can be persisted:

- OS File Systems
- Hierarchical Databases
- Object-oriented Databases
- Niche Databases
- Native XML Databases
- Relational Databases

<u>COTS DBMS</u> are mature technical products, the capabilities of which are being continually expanded to adapt to and accommodate new technologies.



Guidance

Implement the data tier using readily available <u>COTS DBMS</u> products that implement the <u>SQL</u> standard and provide a rich set of generic capabilities such as row-level locking, <u>stored</u> procedures, <u>triggers</u>, and a high-level language <u>API</u> interface. [G1132]

Database Development

The end products of <u>data modeling</u> can be <u>XML</u> schemas or RDBMS schema definitions. See the Data Modeling section. The following guidance applies to the data modeling phase of the data tier.

Guidance

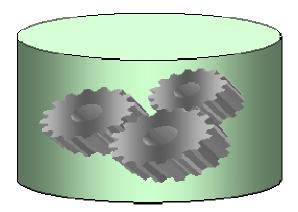
- Use standard <u>data models</u> developed by <u>Communities of Interest</u> (<u>COI</u>) as the basis of program or project <u>data models</u>. [<u>G1141</u>]
- Develop a two-level database models: one level captures the <u>conceptual</u> or logical aspects, and the other level captures the <u>physical</u> aspects. [G1144]
- The data models should contain information necessary to generate a data dictionary. [G1146]
- <u>Domain analysis</u> should define the constraints on input data validation. [G1147]
- Normalize the data models. [G1148]

Best Practices

- Use a <u>database modeling</u> tool that supports a two-level model (<u>Conceptual/Logical</u> and <u>Physical</u>) and ISO-11179 data exchange standards. [BP1143]
- <u>Conceptual and logical models</u> should be vendor-neutral whenever possible. [BP1145]
- For command-and-control systems, use the names defined in the <u>C2IEDM</u> for data exposed to the outside communities. [BP1254]
- Use surrogate keys. [BP1255]
- Use surrogate keys as the <u>primary key</u>. [BP1256]

RDBMS Internals

A <u>RDBMS</u> is a collection of data items organized as a set of formally-described tables. You can access and reassemble data in many different ways without having to reorganize the database tables. It is important to ensure data quality and to access data quickly, using simple, easily understood dynamic queries. Towards these ends, an <u>RDBMS</u> offers such services as <u>triggers</u>, <u>stored procedures</u>, indices, constraints, <u>referential</u> integrity, efficient storage, and high availability features.



Guidance

- Define declarative <u>foreign keys</u> for all relationships between tables to enforce <u>referential integrity</u>.
 [G1151]
- Use <u>stored procedures</u> for operations that are focused on the insertion and maintenance of data.
 [G1154]
- Use <u>triggers</u> to enforce <u>referential</u> or <u>data integrity</u>, not to perform complex <u>business logic</u>.
 [G1155]

Best practices

- Follow a naming convention: [BP1248]
- Do not use generic names for database objects such as databases, schema, users, tables, views, or indices. [BP1249].
- Use case-insensitive names for database objects such as databases, schema, users, tables, views, and indices. [BP1250]
- Separate words with underscores. [BP1251]
- Do not use names with more than 30 characters. [BP1252]
- Do not use the <u>SQL:1999</u> or SQL:2003 reserved words as names for database objects such as databases, schema, users, tables, views, or indices. [<u>BP1253</u>]
- For <u>command-and-control</u> systems, use the names defined in the <u>C2IEDM</u> for data exposed to the outside communities. [BP1254]

- Use surrogate keys. [BP1255]
- Use surrogate keys as the primary key. [BP1256]
- Place a <u>unique key constraint</u> on the <u>natural key</u> fields. [BP1257]
- All data that are transferred using XML should explicitly define the encoding style. [BP1258]
- Use indexes. [BP1259]
- All tables should have a <u>primary key</u> defined. This is generally enforced via an underlying index.
 [BP1260]
- Monitor and tune indexes according to the response time during normal operations in the production environment. [BP1261]
- In the case of Oracle, define indexes against the <u>foreign keys</u> (FK) columns to avoid contention and locking issues. [BP1262]
- Gather storage requirements in the planning phase, and then allocate twice the estimated storage space. [BP1263]
- For high availability, use hardware solutions when geographic proximity permits. [BP1264]

Software Computing Resources Software Computing Resources

Security

Security

In the day's post 911, security has taken top priority in our nation's agenda. The terrorist has made America painfully aware of the consequences of inadequate security. As a result, billions of dollars along with numerous resources have been allocated to homeland security. For this very reason, it is more critical than ever to establish security guidelines for new and evolving Military applications.

In general, there exist two aspects to security that must be considered for any application. The obvious one is the application itself; the other is security of the application deployment platform. To simplify things, NESI guidance will only address application security concerns and not concerns related to the application deployment platforms. It would be a monumental task if not impossible to cover security for the varieties of operating systems, application servers, database servers, etc... Therefore our focus will remain with providing application security guidance.

Security is an enormous topic and one that is pervasive throughout all application models. Even though it would be convenient to have a single document that will cover all security concerns, it simply is not possible. Security is an evolving process that should evolve with the application lifecycle. The approach of this document then is to first cover general security guidance that will be applicable to all application types. After covering the general security guidance, this document will cover guidance that is specific to an application type. The coverage will be one of increasing application scale, starting with Desktop applications and finish up with a look at how future net-centric application will integrate and interoperate with the DoD's Identity Management Framework.

Application Scales:

- Desktop Computing
- Network Computing
- Enterprise Computing
- Service Oriented Architecture
- General High Level Guidances

In summary, the security guidance provided will be applicable to applications at any stage of the development lifecycle. However, even if a software application adheres to all recommended guidance, there are no guarantees that the application will be secure. It would be foolish to think that all application security concern can be addressed in one chapter of the NESI Developers Guide. At best security is a moving target and an evolving process. In fact, a cottage industry of software applications grew out of the fact that software can not be trusted. As grim as it sounds, it does not mean that secure software is unachievable. Software can be designed and developed in such a way that it would be virtually impossible for attackers using current day resources. Following and applying NESI's recommended guidelines can be a good first step toward securing an application. To ensure the integrity of software application throughout its lifecycle, software reviews and compliance reviews must be performed as part of the ongoing application evolutionary process.

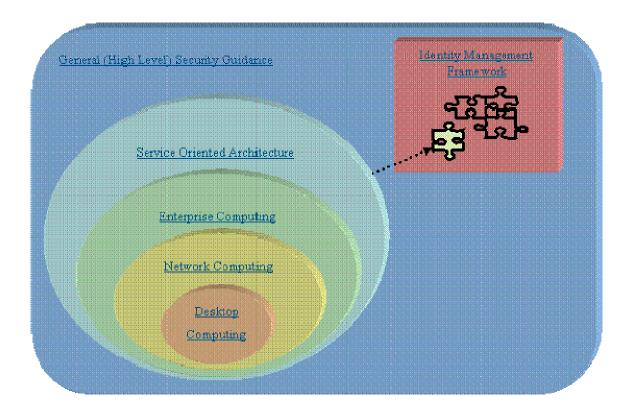


Figure 1 Application Security Coverage

General High Level Guidance

General Application

This section provides high level guidance for all application types. The goal of the guidance in this section is to shore up the gaps of all the application specific guidance in sections to follow. Some of the guidance in this section may not appear to be a directly related to security, however, these guidance are important in ensuring the quality of code to prevent attackers from taking advantage of coding mistakes. Keep in mind there are no silver bullets with software security, all aspects of an application must be scrutinize and tested to ensure the user and the application are protected.

- Secure all endpoints. [G1300]
- Practice layer security. [G1301]
- Validate all inputs. [G1302]
- Audit errant behavior, application violations and use of application cryptographic features.
 [G1303]
- Unit Test all code. [G1304]
- Ensure the separation of encrypted and unencrypted information. [G1305]
- <u>Identify</u> and <u>authenticate</u> users of the application. [G1306]
- Provide a security policy file. [G1307]

Public Key Infrastructure (PKI) and PK Enable Applications

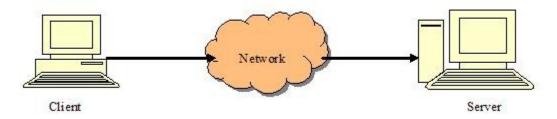
More and more secure <u>client/server</u> applications are appearing on the market. <u>Digital Signature</u> technology, which is used to certify messages received were indeed sent by the sender, is also being heavily used in applications today. Both of these technologies use Public Key encryption, which is currently the only feasible way of implementing security over an insecure network such as the NIPRNET. Public Key encryption ensures that any form of communication that many contain sensitive information (passwords, credit cards) is protected while in transit and to assure the receiver that the message was really sent by the sender. In the case of web based technologies, this is accomplished with a server that implements encryption at the communications level. The de facto standard for communication base encryption is the Secure Sockets Layer (SSL). The infrastructure used to support communication based encryption is PKI. PKI is composed of a number of cryptographic technologies but provides for two key services, data integrity and confidentiality. Public Key systems (PKI) involve a Certification Authority (CA) responsible for issuing a pair of encryption keys; one public and one private. PKI systems typically rely upon the ability of the system to protect the private key from all but the intended user. If the private key can be copied or made public, then the authenticity of the transactions with the associated Public Key can not be trusted. A <u>CA</u> creates, signs, and issues <u>Public Key Certificates</u>. The <u>CA</u> also posts <u>Certificate</u> information to the directory and maintains a CRL.

<u>CAs</u> creates <u>Public Key Certificates</u> by interacting directly with users in the case of software <u>Certificates</u>, or by interacting with the <u>NESI</u> workstation via the Issuance Portal for Common Access Cards (CACs). <u>CAs</u> receives <u>Public Keys</u> from users or the <u>NESI</u> workstation, add information about the user's <u>identity</u>, and format all of it into a <u>Public Key Certificate</u>. The <u>CAs</u> then signs the <u>Certificate</u>. Consequently, the user can prove he or she is part of the <u>PKI</u> because the <u>CA</u> has signed his or her <u>Certificate</u>, and the <u>CA</u> can prove it is part of the <u>PKI</u> because the root <u>CA</u> has signed its <u>Certificate</u>

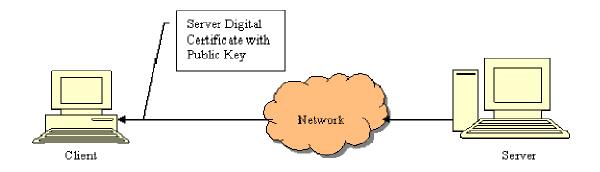
<u>Digital Certificates</u> are used to link a <u>Public Key</u> to an entity. The <u>Certificate</u> contains information about the issuer of the <u>Certificate</u>, the owner of the <u>Certificate</u>, the <u>Public Key</u> contained in the <u>Certificate</u> and a <u>Digital Signature</u>. <u>Certificates</u> authenticates the <u>identity</u> of owner because the <u>Digital Signature</u> is a message digest of all the information in the <u>Certificate</u>. If the information was tampered with, the <u>Digital Signature</u> would be different and would not be able to be verified by the <u>Certificate</u> authority.

To guarantee that data stays confidential and secure from attackers listening on the network in promiscuous mode (network sniffers), Symmetric Encryption (single key) is used to encrypt and decrypt the data. Asymmetric Encryption (Public Key - private key) is not used for all encryption because it too expensive for high volume data. For SSL, Asymmetric Encryption is used initially to pass the secret key (often called the session key). Once the secret key has been established on both sides, all subsequent data communications can be performed using Symmetric Encryption. The entire SSL communications process is described as follows:

Step 1: Client Request - client sends the server a "hello" message.



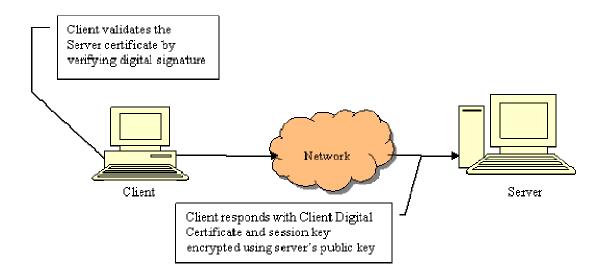
Step 2: Server Response – Server sends client its cert (including server's <u>Public Key</u>) as part of "hello" message.



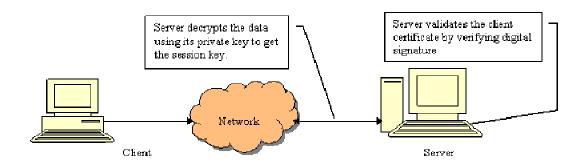
Step 3: Server Request Client <u>Certificate</u> (this is an optional step).



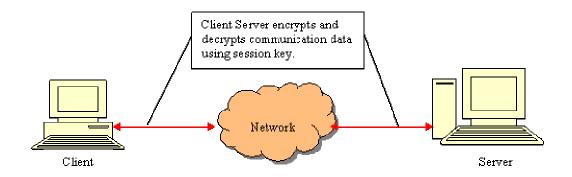
Step 4: Client validates server <u>Certificate</u> and replies with creation of session key and sends it encrypted using server's <u>Public Key</u>.



Step 5: Server decrypt data to obtain <u>Session Key</u>.



Step 6: Client Server communicates securely using <u>Symmetric Encryption</u> with the <u>Session Key</u>. <u>SSL</u> channel is now established.



You really have two options when you're an application needing to support <u>PKI/SSL</u>. You can use a module that have been approved by <u>JITC</u> or develop your application abiding by the <u>DoD Class 3 Public Key Infrastructure Interface Specification</u>. The following guidances applies to <u>Public Key Enabled</u> applications wanting to operate within the DoD <u>PKI</u>.

- Applications handling unclassified medium value information in Moderately Protected
 Environments, unclassified high value information in Highly Protected Environments, and
 discretionary access control of classified information in Highly Protected Environments shall be
 Public Key Enabled to interoperate with DoD Class 3 PKI. [G1308]
- Applications handling high value unclassified information in Minimally Protected environments must be Public Key Enabled to interoperate with DoD Class 4 PKI. [G1309]
- Applications shall protect cryptographic objects and functions from tampering. [G1310]
- Applications must use LDAP, HTTP, or HTTPS when communicating with DoD PKI. [G1311]
- Application must be capable of being configured for use with the DoD <u>PKI</u>. (4.4) [G1312]
- Application must provide documentation for configuration and setup for use with the DoD <u>PKI</u>.
 [G1313]

Key Management

The key enabler in the <u>PKE</u> applications is <u>Asymmetric Encryption</u>, or rather the use of public and <u>private keys</u>. It is used in exchanging session keys, and it is used to verify <u>Certificates</u>, therefore it is critical for applications to manage and protect the keys used in <u>PKI</u>. This includes the associated technologies used to store the keys and <u>Certificates</u>. The following list of guidance addresses key management issues:

- The application shall have the ability to import and export keys (provided it's not stored on hardware token). [G1314]
- Applications shall use key pairs and <u>Certificates</u> created for individuals using DoD <u>PKI</u> methods and procedures defined by the <u>DoD Class 3 Public Key Infrastructure Interface Specification</u>,
 Draft Specification, 13 January 2000 and the *Personal Information Exchange Syntax Standard*.
 [G1315]
- Applications shall protect <u>private keys</u>. [G1316]
- Applications shall store <u>Certificates</u> for subscribers (the owner of the <u>Public Key</u> contained in the <u>Certificate</u>). [G1317]
- Applications shall provide capability to manage and store <u>trust points</u> (<u>Certificate Authority Public Key Certificates</u>). [G1318]
- Application shall be able to recover data (key provided by the DoD PKI KRM). [G1319]

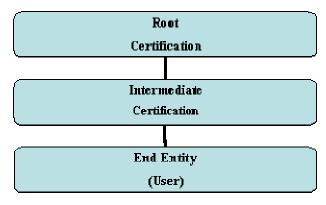
Encryption Services

Successful implementation of <u>Public Key</u> enable applications is predicated on the correct selection and use of security algorithms. This section provides guidance on the use of <u>encryption</u>, <u>Digital Signature</u>, and authentication services in a consistent manner to interoperate within DoD's <u>PKI</u>.

- Applications shall use 128 bit symmetric keys, 1024 bit asymmetric keys. [G1320]
- Applications shall be capable of performing <u>Public Key</u> operations necessary to verify signatures on DoD <u>PKI</u> signed objects (viz., <u>Certificates</u>, <u>CRL</u>s, and <u>OCSP</u>). [G1321]
- Applications that interact with the DoD <u>PKI</u> using <u>SSL</u> (i.e., <u>HTTPS</u>) must be capable of
 encrypting and decrypting data using the <u>Triple Data Encryption Algorithm</u> (<u>TDEA</u>). [G1322]
- Applications using <u>Symmetric Encryption</u> must be capable of generating random <u>Symmetric Encryption</u> keys. [G1323]
- Applications shall protect symmetric keys for the life of their use. [G1324]
- Applications shall encrypt symmetric keys when not in use. [G1325]
- Applications shall be capable of producing <u>SHA</u> digests of <u>messages</u> to support verification of DoD <u>PKI</u> signed objects. [G1326]

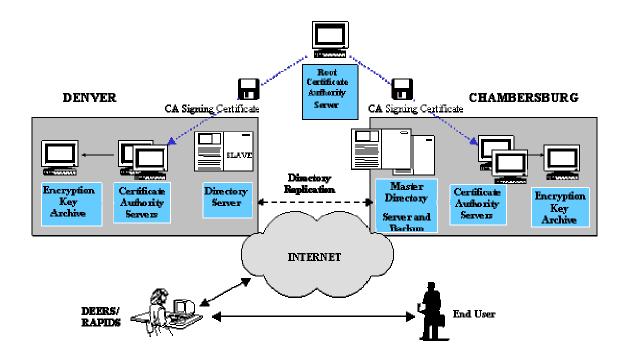
Certificate Processing

The DoD's implementation of the <u>Public Key Infrastructure</u> (<u>PKI</u>) is the framework and services that provide for the generation, distribution, control, tracking and destruction of <u>Public Key Certificates</u>. The purpose of a <u>PKI</u> is to manage keys and <u>Certificates</u> in a way where by the DoD can maintain a *trustworthy networking environment*. Digital <u>Certificates</u> are issued by DoD's <u>Certificate Authority</u>. It is an electronic document that contains user's <u>identity</u>, a pubic key, a validity period, and the issuing authority. It is digitally signed and the <u>Certificate</u> is chained hierarchically in a path that can be traced to the Root Certificate.



Certification Authority Hierarchy

<u>Certificates</u> can be sent via email or more commonly retrieved from repositories (<u>Directory Server</u>). Applications must validate the <u>Certificate</u> by checking status of the <u>Certificate</u>. There are two forms of status checking, the legacy <u>Certificate Revocation List (CRL)</u> or <u>Online Certificate Status Protocol (OCSP)</u>. The status check determines whether a <u>Certificate</u> is revoked. <u>Certificate</u> can be revoked if the information in the <u>Certificate</u> may have changed (relocation, new email), or the <u>Certificate</u> has been compromised. The <u>Certificate</u> validation is a critical part of the <u>PKI</u> process; it is the application's responsibility to perform the status checks. The following guidance sets the guidelines for the <u>Certificate</u> processing.



Certificate Authority Architecture

Guidance

• The application shall be able to request and obtain new <u>Certificates</u> for subscribers. [G1327]

- The application shall be able to retrieve <u>Certificates</u> and use them in relying party operations. [G1328]
- Application shall be able to check <u>Certificate</u> status. [G1329]
- Application shall be able to perform status checking using retrieve <u>Certificate Revocation List</u>
 (CRL) or <u>Online Certificate Status Protocol</u> (OCSP). [G1330]
- Application shall be able to retrieve <u>Certificates</u> and <u>CRL</u> from archive (directory service).
 [G1331]
- <u>Certificate</u> signatures shall be verified using the <u>Certificate</u> issuer's <u>Public Key</u>. [G1332]
- <u>Certificate</u>'s effective date shall fall within the <u>Certificate</u>'s validity period. [G1333]
- Applications shall ensure that the intended use of the <u>Certificate</u> is consistent with the extensions.
- Applications shall be capable of being configured to operate with only DoD <u>PKI trust points</u>.
- The application shall demonstrate its ability to store DoD PKI trust points. [G1336]
- Application shall be capable of path development and path processing. [G1337]
- Applications and <u>Certificates</u> need to be able to support multiple organizational units.[G1338]

Desktop Computing

Application Programming Interface (API)

Application Programming Interface (API)

At the very fundamental level, applications are composed of calls to various <u>API</u>(s) or <u>Component</u> libraries. <u>API</u> (s) and <u>Component</u> libraries should be developed to safeguard system resources and application's reliability. It is important secure <u>API</u> (s) and <u>Component</u> libraries because these are often reused in multiple applications. A mistake in security could open up multiple applications to attacks. The guidance that follows provides some general <u>API</u> guidance that is independent of language or platform. More language / platform specific guidance will follow the general <u>API</u> guidance.

- Practice defensive programming by checking all method arguments. [G1339]
- Log all exceptional error conditions. [G1340]

Java

Java is an Object Oriented Language. As an Object Oriented Language, applications benefit from the encapsulation features which offers protection for application data. Java was also designed and built with security in mind. Some of the security features include: restricting direct access to memory (protecting data access privileges), array bounds checking (buffer overflow), and ability to install a security manager to protect system resources. Despite all the security features built into the Java Language, it does not mean that Java API (s) are immune to security problems. Care must still be taken in the design and implementation of the API (s) to prevent attacks. The following security guidance are targeted to Java specific API (s).

- Use a security manager support to restrict application access to privileged system resources.
 [G1341]
- Class internal variable access should be restricted to the class (private). [G1342]
- Declare classes final to stop inheritance and prevent methods from being overridden. [G1343]

Application Resource Security

Application use and store lots of data that often do not go into databases. For instance, an application often use configuration files for application configuration, preferences files for personalization information (custom user experience) and resource files for internationalization support. Appropriate protection must be applied to sensitive resources to prevent attackers from tampering. Application bundles, properties files, configuration files when tampered could cause the user to execute inappropriate commands, expose sensitive data due to invalid configuration or cause the application to be inoperable. Therefore it is of utmost importance to take appropriate measures to protect these resources.

- Encrypt sensitive data stored in configuration or resource files. [G1344]
- Bundle read only resources as part of the software and <u>digitally signed</u> the software bundle to prevent tampering. [G1345]

Network Computing

Enterprise Computing

Enterprise Computing

Enterprise computing existed long before the emergence World Wide Web. The web simply facilitated extending the Enterprise to the World. The web provided a ubiquitous protocol (HTTP) and interface for accessing network resources. Securing an enterprise application however provides a number of challenges. First, by virtue of being a Web Application, it means the application must support multiple simultaneous users. Second, an Enterprise Web Application usually consists of a number of moving parts (Components) on multiple computers. For instance, a Web Application typically employs tier architecture (presentation, business, and data) in which a complex group of servers and Components work together to generate a response to the user. We will address the security concerns in the same order. First, to address user management security, we need guidance that assures the user's trust in the Web Application and also ensure that the customer data is protected. PKI Certificates authenticates the Servers and Users through a Certificate Authority, HTTPS (HTTP over SSL) ensure that communication data is encrypted. Second, to address tier application architecture security concerns, we must look at the security of the Components in each of the applications tiers. For presentation tier, we will look at security guidance in relations to user interaction (cross site scripting), form data processing and validating input. For Business Tier security guidance, we can take a look at declarative security through deployment descriptors, JNDI and programmatic security. For Data Tier security guidance, we must look at securing the user access to the Relational Database Management System (RDBMS). We must also provide guidance on the protocol (SQL) that databases process and the API (JDBC or ODBC) that provide database agnostic access to the data tier.

In general, <u>Component</u> security within an Enterprise presents less risk than <u>Components</u> that are available outside the Enterprise. We will cover <u>Cross Domain</u> system integration in the next section.

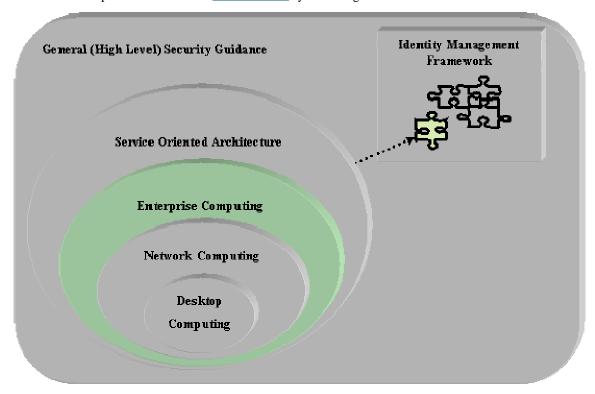


Figure 5 Enterprise Security Coverage

As we continue to address security concerns from the standpoint of an evolving software application, we can see that software requirements and software complexity will continue to grow. The complexities of today's enterprise software make it difficult to develop custom monolithic applications. Today's enterprise application must support multiple users using the application concurrently. It must be portable and interoperate with various standard and custom enterprise services through industry standard interfaces. To meet that demands, most enterprise application will rely on an architecture that is flexible, reusable, maintainable and interoperable. That application architecture model is the Tier Application architecture.

What is the Tier Application Architecture? Simply put, the Tiered Application Architecture takes an application and breaks it up into functional units, so call Tiers. A Tier is defined as a piece of software that provides part of the functionality for a complete application. The following diagram shows the general model of a three Tier application Architecture.

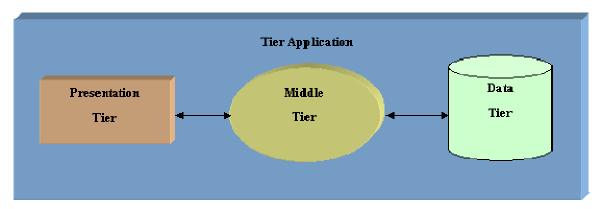


Figure 9 Tier Application Model

Even though an Enterprise Application can compose of N-Tiers, we will be using a general three tier model to address the security concerns for the Enterprise application. The Presentation Tier is typically used to display the user interface and the application data. The Middle Tier provides the application logic and how data should be validated and processed. The Data Tier provides permanent store for the application data. The benefits of this model are interoperability, lower cost of maintenance, and interchangeability. This section will address the security guidance in accordance to the generalized three tier architecture. Starting from the Data Tier, to the Middle tier and finally to the Presentation Tier. The coverage of each tier may involve more than one applicable technology or platform which will have additional perspective and guidance specific to the topic.

Future guidance will include:

J2EE: JDBC J2EE: JNDI

J2EE: Java Message Service (JMS) J2EE: Enterprise Java Beans (EJB)

J2EE: Servlets J2EE: JSP

Lightweight Directory Access Protocol (LDAP)

Middle Tier

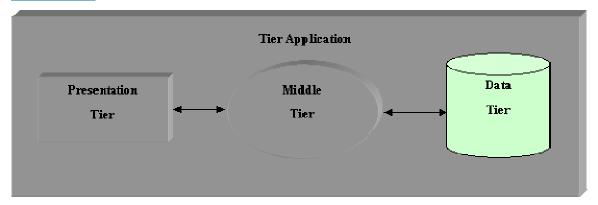
Message Oriented Middleware (MOM)

.NET ASP.NET Presentation Tier

Mobile Code

Data Tier

Data Tier



Tier Application Model

In general there are two mechanism used for persistent storage of data for applications, <u>Relational Database Management System</u> (<u>RDBMS</u>) and <u>Lightweight Directory Access Protocol</u> (<u>LDAP</u>) server. Other more primitive and or custom forms of persistent store exists but will not be covered by this section. In practice, custom formats are not portable and therefore not recommended. Acceptable forms such as properties files and <u>XML</u> files are covered by guidance [<u>G1342</u>] in the Desktop Application Section under Application resource security. The umbrella guidance [<u>G1381</u>] exists to cover all custom formats and solutions.

Typically, applications are insulated from direct access to the database. Instead industry standard abstract interfaces are used to access backend data stores. The benefit of this approach is that it decouples the application from database specific details and therefore allows interchangeable data store implementations. The security guidance for these standard APIs (JDBC for RDBMS and JNDI for LDAP) will be covered as part of the Data Tier is subsequent sections.

Guidance

• Encrypt all sensitive persistent data. [G1381]

Relational Database Management Systems (RDBMS)

Relational Database Management Systems remain on top amidst emerging technologies such as XML and Object Oriented Database Management system. Relational Database's continue dominance is unlikely to change in the near future. First, there is still a large amount of legacy data and legacy applications that rely on RDBMS. Second, RDBMS are continuing to evolve to integrate XML as a function of the database. RDBMS is a reliable and proven technology that will be here for the long run. Therefore this section will provide some guidance on how best to secure the database.

Guidance

- Audit database access. [G1346]
- Secure remote connections to database. [G1347]
- Log database Transactions. [G1348]
- Validate all input that will be use as part of any dynamically generated <u>SQL</u>. [G1349]
- Implement a strong password policy for <u>RDBMS</u>. [G1350]
- Enhance Database security by using multiple user accounts with constraints. [G1351]
- Use database clustering and RAID for high availability of data. [G1352]
- Use read only, and write only databases for sensitive data. [G1353]
- Authenticate data using row or column level Encryption. [G1354]

Best Practices

• Do not design the database around the requirements of an application. [BP1355]

Lightweight Directory Access Protocol (LDAP)

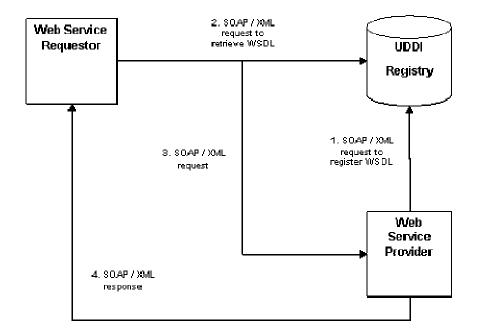
<u>Lightweight Directory Access Protocol</u> can be thought of as a datastore. It is an open Internet standard produced by the <u>Internet Engineering Task Force</u> (IETF). <u>LDAP</u> is, like X.500, both an information model and a protocol for querying and manipulating it. <u>LDAP</u>'s overall data and namespace model is essentially that of X.500. The major difference is that the <u>LDAP</u> protocol itself is designed to run directly over the <u>TCP/IP</u> stack, and it lacks some of the more esoteric DAP protocol functions. <u>LDAP</u> can store text, photos, <u>URLs</u>, pointers to whatever, binary data, and *Public Key* <u>Certificates</u>.

- All connections to the <u>LDAP</u> repository must be performed using <u>LDAP</u> 3.0. [G1377]
- Encrypt the <u>LDAP</u> repository. [G1378]

Service Oriented Architectures

XML Web Services

An <u>SOA</u> is a way to describe a software application that exposes its interfaces as a set of services that produce and consume <u>SOAP</u> formatted <u>XML</u> messages. These interfaces are known as <u>XML Web Services</u> and their capabilities, requirements and usage are described in an <u>XML</u> formatted <u>WSDL</u> file. This <u>WSDL</u> file can be consumed by a requestor to learn about the <u>Web Service</u> interfaces available within an <u>SOA</u>. A provider may publish its <u>WSDL</u> file to a <u>UDDI</u> registry so a requestor can dynamically discover and use the <u>Web Service</u>.



Egure 1

Authentication

A hacker may try to spoof the identity of a user to gain access to a service.

A hacker may tamper with the WSDL file of a Web Service provider in order to spoof an endpoint.

Integrity

A hacker may intercept a <u>message</u> and change its contents.

Confidentiality

A hacker may try to read the contents of a <u>message</u> to obtain private information.

The <u>Web Services</u> industry addresses these threats at the <u>message</u> level by incorporating existing technologies for challenging authentication, protecting integrity and ensuring confidentiality.

<u>Web Service</u> security [WS-Security] is based on the requirement that incoming <u>SOAP</u> formatted <u>XML</u> messages provide a set of claims or assertions [WS-Trust] made about the sender. These claims are cryptographically endorsed by an issuing <u>authority</u> [XML Signature] and placed into a sender's message as security tokens. Parts of the message are then encrypted [XML Encryption] and sent to a <u>Web Service</u> provider.

<u>Web Service</u> providers express their required claims using a policy [WS-Policy]. In particular, security claims or assertions [WS-PolicyAssertions, WS-PolicyAttachment] are expressed using a security policy [WS-SecurityPolicy]. A sender uses the security policy to build a message that conforms with the <u>Web Service</u> providers security requirements.

The following defines the list of specifications in the XML Web Services space:

- WS-Security describes how to attach tokens, <u>Digital Signatures</u> and encrypted elements to a
 <u>SOAP</u> message. Token can be binary like X.509 or <u>XML</u>-based like <u>SAML</u> (see <u>Web Services</u>
 Security: <u>SAML</u> Token Profile)
- XML encryption
- XML Signature
- WS-Trust describes how a message proves a set of claims (name, key, permission, etc.) and it
 explains how to communicate with a token service to obtain a token
- WS-Policy describes how a <u>Web Service</u> indicates its security requirements (required security tokens, supported *encryption* algorithms, etc.)
- WS-SecurityPolicy
- WS-PolicyAssertions

• WS-PolicyAttachment

General Web Services

Future topic for WS SME.

- Use <u>SOAP</u> standard for all <u>Web Services</u>. [G1356]
- Do not rely on transport level security like <u>SSL</u> or <u>TLS</u>. [G1357]
- Turn on auditing and sign the audit logs. [G1358]
- Do not place <u>Web Service</u> security policies inside a <u>UDDI</u> registry. Put all security policies in the <u>WSDL</u> file. [G1359]
- Use the XML Infoset standard to serialize message. [G1360]
- Service providers should place their canonicalization method inside the <u>WSDL</u> file as an assertion(portType binding or port). [G1361]
- Use very intensive input validation (using a schema). [G1362]

Authentication

<u>Authentication</u> ensures that the sender of the <u>message</u> is genuine.

- Do not use clear text passwords. [G1363]
- Hash all passwords using the combination of a timestamp, a nonce and the password for each message transmission. [G1364]
- Specify a timeout value for all security tokens. [G1365]

Integrity

Integrity ensures that a message cannot be changed without detection by an unauthorized third party during transmission.

- Sign all message. [G1366]
- Sign only the part of the <u>message</u> that needs to be signed. [G1367]
- Sign any part of a <u>message</u> not <u>encrypted</u>. [G1368]
- Sign all requests made to a security token service. [G1369]
- Sign all WSDL files. [G1370]
- Use the <u>Digital Signature Standard</u> for creating <u>Digital Signatures</u>. [G1371]
- Use an X.509 <u>Certificate</u> to pass a <u>Public Key</u>. [G1372]

Confidentiality

Confidentiality ensures that a message cannot be read by an unauthorized third party during transmission.

- Encrypt all message. [G1373]
- Encrypt only the part of the message that needs to be encrypted. [G1374]
- Use <u>Asymmetric Encryption</u>. [G1375]
- Do not encrypt key elements that are needed for correct <u>SOAP</u> processing. [G1376]

NESI Part 5 v1_2

Identity Management Framework

Lightweight Directory Access Protocol (LDAP)

Lightweight Directory Access Protocol (LDAP)

<u>Lightweight Directory Access Protocol</u> can be thought of as a datastore. It is an open Internet standard produced by the <u>Internet Engineering Task Force</u> (IETF). <u>LDAP</u> is, like X.500, both an information model and a protocol for querying and manipulating it. <u>LDAP</u>'s overall data and namespace model is essentially that of X.500. The major difference is that the <u>LDAP</u> protocol itself is designed to run directly over the <u>TCP/IP</u> stack, and it lacks some of the more esoteric DAP protocol functions. <u>LDAP</u> can store text, photos, <u>URLs</u>, pointers to whatever, binary data, and *Public Key* Certificates.

- All connections to the <u>LDAP</u> repository must be performed using <u>LDAP</u> 3.0. [G1377]
- Encrypt the LDAP repository. [G1378]

Federation

<u>Federation</u> works with provisioning <u>Component</u> and is a service that pushes the user credential from one domain to another. This service is provided to allow users to login into the local network. This may also improve performance in large scale environment. This is one method for authenticating to and accessing resources in other domains. The other method that is being used is the sending of a <u>SAML</u> assertion into a trusted domain.

The federation of user identities can be provided by a variety of technologies. Database, <u>LDAP</u> and <u>Metadata Registry</u> can all be used to federate user identities.

Single Sign On (SSO)

Single Sign On (SSO)

<u>Single sign on (SSO)</u> is mechanism whereby a single action of user authentication and authorization can permit a user to access all computers and systems where he has access permission, without the need to enter multiple passwords. <u>SSO</u> is generally found to be most useful when using portals that aggregate information.

Metadata Registry (used for federating)

<u>Metadata Registry</u> technology provides for the capability to connect disparate data sources together. Database technology is generally used to support the elaborate functions of most <u>Metadata Registry</u> products. <u>Schema</u> changes, database joins and attribute mapping are some of the essential capabilities provided to support connecting information sources together in a consistent fashion. <u>Metadata Registry</u> is also used to support federation of user credentials.

Provisioning

Provisioning is the user credential generation process. The provisioning of a user can be provided by a variety of technologies such as Database, <u>LDAP</u> and meta-directory technology.

- Database
- <u>LDAP</u>
- Metadata Registry

Guidance

Topic: Guidance Details will be provided in Version 1.3.

Business Function Element Business Function Element

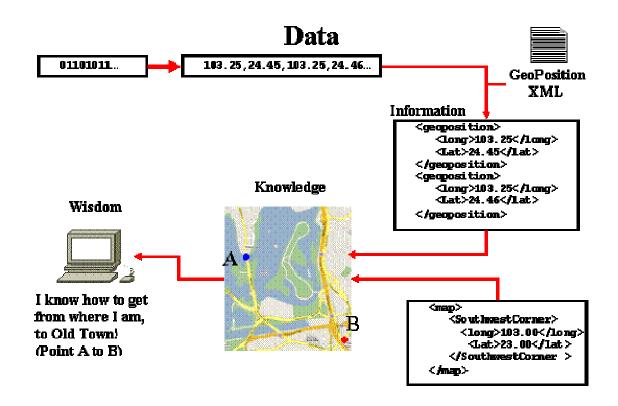
Data

Data

Before any discussion of data can be undertaken, Data needs to be defined. There are several common definitions which are the starting point for this discussion:

- Data is <u>information</u> without context.
- <u>Data</u> is unprocessed information.

But both of these definitions rely on the term "information", which can be a circular definition back to data. To clarify this, the following model will be used to help create definitions of Data, Information, Knowledge and Wisdom. Data flows into the System as a set of zeros and ones. The Data is then transformed into other Data that is more understandable from a human perspective (i.e. a list of double precision, floating point numbers). If the numbers are placed into a context such as it is a geographic position, then the Data starts to become Information. As Information is combined together, the result is referred to as Knowledge (i.e. the knowledge of where one is). When the knowledge can be used to make decisions, the results are wisdom (i.e. how to get from point A to point B).



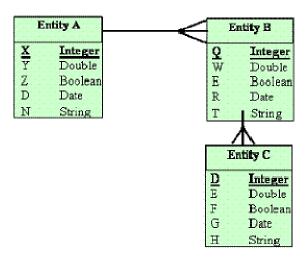
Within NESI, the term Data will cover the entire data spectrum (i.e. <u>Data, Information, Knowledge</u> and <u>Wisdom</u>) and will focus on the transfer of data between components. There have been several major efforts within the <u>DoD</u> that have addressed the need to understand, control and document the flow of data between components. NESI is not in competition with these efforts nor is it intended to render these efforts obsolete. NESI provides detailed guidance intended to verify that the concepts and <u>tenets</u> of these efforts are met.

- General Data Guidance
 - o <u>Data Modeling</u>
 - o <u>DoD Metadata Registry</u>
 - o Family Of Interoperable Operational Pictures (FIOP)
 - o ASD NII Checklist

General Data Guidance

Data Modeling

Modeling is an essential step in understanding the data that will comprise a system. Before implementing a system, it is important to understand the basic <u>data elements</u> and the relationships of the elements. The end products of <u>data modeling</u> can be <u>XML schemas</u>, <u>RDBMS schema</u> definitions or the data portion of objects.



The following guidance applies to the <u>data model</u> used to describe the data tier.

- Use standard <u>data models</u> developed by <u>Communities of Interest</u> (<u>COI</u>) as the basis of program or project data models. [<u>G1141</u>]
- The <u>data models</u> should contain information necessary to generate a <u>data dictionary</u>. [G1146]
- Domain analysis should define the constraints on input data validation. [G1147]

• Normalize the data models. [G1148]

Best Practices

• For <u>command-and-control</u> systems, use the names defined in the <u>C2IEDM</u> for data exposed to the outside communities. [BP1254]

Metadata Registry

A Metadata Registry is a central place where metadata definitions are stored and maintained.

A Metadata registry typically has the following characteristics:

- It is a protected area where only approved individuals may make changes
- It stores <u>data elements</u> that include both semantics and representations
- The semantic areas of a <u>metadata</u> registry contain the meaning of a <u>Data Element</u> with precise definitions
- The representational areas define how the data is represented in a specific format such as within a
 database or a structure file format such as <u>XML</u>

Metadata Registries often are stored in an international format called <u>ISO-11179</u>.

A Metadata Registry is frequently set up and administered by an organization's <u>Data architect</u> or data modeling team.

The <u>DoD Metadata Registry</u> provides a common source of data information required to promote interoperability in the Net Centric Data Environment.

Defense Information Systems Agency (DISA) is responsible for data services and other data-related infrastructures that promote interoperability and software reuse in the secure, reliable, and networked environment planned for the DoD's Global Information Grid (GIG). The Metadata Registry and Clearinghouse's primary objective is to provide software developers access to data technologies to support DoD mission applications. Through the Metadata Registry and Clearinghouse, software developers can access registered XML data and metadata components, COE database segments, and reference data tables and related meta-data information such as Country Code and US State Code. These data technologies increase the DoD's core capabilities by integrating common data, packaging database servers, implementing transformation media and using Enterprise data services built from "plug-and-play" components and data access components.

http://diides.ncr.disa.mil/mdregHomePage/mdregHome.portal

In the Net Centric Data Strategy, data sources are called <u>Data Assets</u>. <u>Data Assets</u> are divided into two generic areas - data and metadata::

Data includes:

- <u>XML</u> Stored in Repositories (files)
- Database Data
- Data Services
- Data Streams (real time)
- Sensor Data

• Message Data (includes EDI)

Metadata includes:

- Metadata Stored in Registries
 - o UDDI
 - o ebXML
 - o DoD Metadata Registry
 - o Other ISO/IEC 11179 Registries
 - o <u>Discovery Metadata</u> stored in Catalogs
- DoD Discovery Metadata Standard (DDMS)
- Interface Metadata (WSDL)
- Structural Metadata (XSD)

<u>Data</u> comes in many forms. It can be simple or complex; structured or unstructured in nature.

<u>Simple Structured Data</u> has an uncomplicated data structure. All requisite Metadata is provided and simple data types only are used (e.g., integers, long integers, strings, and simple lists.

Simple Unstructured Data has uncomplicated data structure but not all requisite Metadata is provided.

<u>Complex Structured Data</u> has well-defined <u>metadata</u>. It includes data represented in <u>XML</u> documents with deeply hierarchical and recursive structures. Complex data can be represented in a complex data structure or can be mapped into a relational or flat structure with additional <u>metadata</u> provided to represent the complex relationships. Although Complex structured data is generically a property of object oriented databases, the Complex Data Structures can be filled from any source.

- Data Includes
 - o <u>XML</u> files
 - o defined by XML Schemas (XSD's)
 - Interface
- Metadata stored in DoD Repository includes
 - o XML Schemas (XSD's)
 - o Discovery metadata
 - WSDL
 - UDDI
 - o Web Service Source Code
 - o XSD's include element validation and descriptions
 - o XSD's may import other XSDs
 - o XSD's are validated
 - o Complex Structured Data follows all of the XML rules.

Note: that the source of this data can be any.

<u>Complex Semi-Structured Data</u> has partial <u>metadata</u>. It includes data defined in <u>COBOL</u> copybooks and Electronic Data Interchange standards <u>ANSI X.12</u> and Health Level 7 (HL7). Semi-structured data can be as complex or more so as any Complex Structured data. It can map into or be <u>XML</u>. It may also be missing some Metadata or an <u>XSD</u>.

<u>Complex Unstructured Data</u> has little or no <u>metadata</u>. It includes data in binary files, spreadsheets, documents, and print streams.

Guidance

- Be associated with one or more <u>communities of interest (COI's)</u>. [G1382].
- Use a <u>registered namespace</u> in the <u>XML Gallery</u> in the <u>DoD Metadata Registry</u>. [G1383]
- Review XML Information Resources in the <u>DoD Metadata Registry</u> using those which can be reused. [G1384]
- Identify <u>XML Information Resources</u> for registration in the <u>XML Gallery</u> of the <u>DoD Metadata</u> Registry. [G1385]
- Review predefined commonly used <u>data elements</u> in the <u>Data Element Gallery</u> of the <u>DoD</u>

 <u>Metadata Registry</u> using those in the <u>relational database</u> technology which can be reused in the program. [G1386]
- Identify <u>data elements</u> developed in the in the <u>relational database</u> technology during the program for registering in the <u>Data Element Gallery</u> of the <u>DoD Metadata Registry</u>. [G1387]
- Use predefined commonly used database tables in the DoD Metadata Registry. [G1388]
- Publish database tables which are of common interest by registering them in the <u>Reference Data</u>
 Set Gallery of the <u>DoD Metadata Registry</u>. [G1389]
- Standardize on the terminology published by relevant <u>COIs</u> listed in the <u>Taxonomy Gallery</u> of the DoD Metadata Registry. [G1390]
- Identify <u>taxonomy</u> additions or changes in conjunction with the <u>COIs</u> during the program for potential inclusion in the <u>Taxonomy Gallery</u> of the <u>DoD Metadata Registry</u>. [G1391]
- Adhere to a common mechanism of service location. [G1392]
- Use the <u>Department of Defense Metadata Specification</u> (<u>DDMS</u>) for standardized tags and taxonomies. [G1125]

Best Practices

 All DoD Programs requiring a <u>data model</u> should review the <u>NATO</u> Generic Hub v.5 model (LC2IEDM) as an example of a successful COI developed model. [BP1404]

References

- DoD Meta Data Registry for <u>XSLT</u> samples.
 http://diides.ncr.disa.mil/mdregHomePage/mdregHome.portal
- XSLT 1.0 (Extensible Stylesheet Language Transformations), for transforming <u>XML</u> documents http://www.w3.org/TR/xslt
- XSLT 2.0 (W3C Working Draft, 5 November 2004)
- http://www.w3.org/TR/xslt20
- XSL (Extensible Stylesheet Language) 1.0, presentation rules to transform a document.
- http://www.w3.org/Style/XSL
- http://www.w3.org/TR/xsl
- CSS (Cascading Style Sheets) versions 1 (CSS1) and 2 (CSS2)
- http://www.w3.org/Style/CSS
- http://www.w3.org/TR/REC-CSS1
- http://www.w3.org/TR/REC-CSS2

Family of Interoperable Operational Pictures (FIOP)

The <u>FIOP</u> initiative was born out of an effort by the OUSD (AT&L) to solve some of the interoperability deficiencies of C2 systems. That office formed a study group to examine the problem. As a result of AT&Ls proposal, the Services formed a plan of objective to <u>FIOP</u> and tasked a multi-service group to pursue the <u>FIOP</u> goals and provide an operational context.

This note documents work in progress part of the <u>FIOP</u> Initiative - to develop data engineering guidance for acquisition program managers and their developers. This guidance is intended to meet the letter and intent of current and emerging Joint directives while recommending priorities and realistic ways forward for acquisition and development of new and evolving systems when resources are limited.

We have taken the initial <u>FIOP</u> Guidance statements listed in Appendix A of the <u>FIOP</u> Data Engineering Guidance document and cross referenced their guidance to NESI guidance and ensured that all pertinent guidance was incorporated into NESI.

Note: Guidance statements were not numbered in the <u>FIOP</u> document and the numbering sequence was created by NESI for this document.

FIOP		NESI	
Item Number	Guidance (Appendix A)	Reference	Comment
1	Programs will participate in <u>COIs</u> as a normal course of doing business	[<u>G1382</u>]	New Guidance: [G1382]
2	Programs will identity relevant <u>COIs</u> and <u>DoD Namespaces</u>	[<u>G1383</u>]	New Guidance: [G1383]
3	Programs will collaborate with <u>COIs</u> and <u>Namespace Managers</u> to promote reuse and cross-coordination of <u>metadata</u>	[<u>G1382</u>]	New Guidance: [G1382]
4	Program Managers will sponsor participation of system developers in the COI process and where appropriate contribute engineering expertise to the COI as a stakeholder SOR.	[G1382]	New Guidance: [G1382]
5	New programs will include community collaboration requirements in acquisition documents are required by NESI	[<u>G1382</u>]	New Guidance: [G1382]
6	Opportunities for reuse of existing data assets will be addressed early in the system engineering process		Best Practice: Candidate
7	SORs will place a priority on data interfaces as they migrate to <u>XML</u> and on data identified as an interoperability challenge		Best Practice: Candidate

8	Ad-hoc <u>COIs</u> , initiated by programs, will not be system-specific or Service-specific and will include users of the data as well as data producers		NESI has no guidance on informal organizations
9	Ad-hoc <u>COIs</u> , initiated by programs will coordinate with appropriate JMT <u>COIs</u> and <u>DoD Namespace Managers</u>		NESI has no guidance on informal organizations
10	Whenever possible, programs will use standard data elements established by COIs	[<u>G1390</u>]	New Guidance: [G1390]
11	Programs will use authoritative metadata established by the JMTs when available		Joint Mission Threads (JMT).
12	Programs will prioritize reuse as follows: 1) reuse existing data elemens in the <u>DoD Metadata Registry</u> and Clearinghouse, 2) Reuse existing industry standard <u>data elements</u> 3) develop new <u>data elements</u>	[G1386] [G1388]	New Guidance: [G1386] New Guidance: [G1388]
13	Programs will register newly developed data elements in the DoD Metadata Registry and Clearinghouse	[G1387] [G1389]	New Guidance: [G1387] New Guidance: [G1389]
14	Programs will document and register their reuse of <u>data elements</u> in the <u>DoD</u> <u>Metadata Registry</u> and Clearinghouse	[G1384] [G1386] [G1388]	New Guidance: [G1384] New Guidance: [G1386] New Guidance: [G1388]
15	Registration is mandated for XML elements	[G1385]	New Guidance: [G1385]
16	Registration is strongly encouraged for others.		cannot be tested - too vague
17	Program Managers and System Engineers will collaborate with Node infrastructure acquisition programs		Node information under evaluation.
18	Systems will be built on or migrated to a layered architecture following NESI guidance and consistent with business case analysis	[G1385]	New Guidance: [G1385]
19	Data objects to be exposed to the enterprise will be identified, published and validated early in the data engineering process and updated in a spiral fashion as system development proceeds.		Best Practice: Candidate
20	For new systems, data engineering analysis will be initiated prior to Milestone A		Best Practice: Candidate

21	For SORs, priority will be placed on external interfaces as they migrate to XML	Best Practice: Candidate
22	Initial data engineering analyses will address the following:	Best Practice: Candidate
23	What data needs to be exposed at the enterprise and node levels	Best Practice: Candidate
24	Relevant COIs and COI products	Best Practice: Candidate
25	Relevant DoD XML Namespaces	Best Practice: Candidate
26	Relevant architectures and architecture products	Best Practice: Candidate
27	Discovery requirements for external (enterprise and node level) data assets	Node information under evaluation. Discovery in [G1125]
28	Notification requirements for data asset changes	Best Practice: Candidate
29	Cross-domain security exchange requirements for exchanging data assets	Best Practice: Candidate
30	Use cases will be identified and developed as early in the data engineering process as possible to inform data model development	Best Practice: Candidate
31	As appropriate existing use cases will be reused	Best Practice: Candidate
32	As appropriate an Interaction Model will be developed	cannot be tested
33	Data element definitions will be founded on well-defined data ontologies, taxonomies and vocabularies	[G1390], [G1391]

34	Whenever possible, standard <u>data</u> <u>elements</u> will be the basis for all <u>data</u> <u>model</u> s, including use cases		[G1387], [G1389]
35	Identification of appropriate standards will be coordinated with <u>COIs</u> and node developers		Node information under evaluation.
36	Data element names and metadata will be defined according to the rules and guidelines in ISO/IEC 11179 as tailored by relevant COIs	[BP1143]	Exists as: [BP1143]
37	Naming and Design Rules will be documented.		Best Practice: Candidate
38	Developers will develop, maintain and employ data models	[<u>G1141]</u>	Exists as: [G1141]
39	An information model will describe the data at the conceptual/logical level	[<u>G1144</u>]	Exists as: [G1144]
40	A physical model will describe the Database or XML schemas	[BP1143]_	Exists as: [BP1143]
41	A meta data model will describe the data representation including data type, precision, range of values, and units of measure	[G1146] [G1147]	Exists as : [G1146] Exists as: [G1147]
42	A metastory for each data element will provide traceability between models and will include relationships to standard data elements and architecture data definitions where appropriate	[G1141] [G1144] [G1146]	This can be accommodated by maintaining a <u>COI ontology</u> or data dictionary and as part of a <u>data model</u>
43	As appropriate, programs will register metadata in the DoD Metadata Clearinghouse		[G1385], [G1387], [G1389]
44	In accordance with <u>COI</u> responsibilities, <u>metadata</u> will be registered in the DoD Registry and Clearing House and placed under configuration control prior to implementation.		[G1382]_
45	Reuse of XML metadata/data elements will be registered	[G1384]	Exists as: [G1384]
46	Whenever possible, reuse of non-XML metadata/data elements will be registered	[G1387] [G1389]	Exists as: [G1387], [G1389]
47	All applicable attributes in the DDMS DoD Metadata Specification will be included for registered metadata		[G1385]_

48	Whenever possible. metadata will be related to well-defined community standards		[G1382]_
49	Developers of systems will capture metadata for both external and internal data assets as early as possible in the lifecycle development		Best Practice: Candidate
50	SORs will place priority on external data assets. Internal data assets will be registered as justified by business case analysis		Can't measure priority or justification
51	Metacards will be developed, maintained, and placed under configuration as appropriate	[G1125]_	Exists as: [G1125]
52	Responsibilities will be determined in collaboration with <u>COIs</u> and node developers		Node information under evaluation.
53	Metacards will comply with the <u>DDMS</u> and <u>COI</u> guidance	[G1125]	Exists as: [G1125]
54	A.2 Guidance Summary from Section 3.2		
55	Data engineering analyses will explicitly address how consumers will be able to locate and access data assets	[G1392]	Exists as: [G1392]
56	Preference will be given to open source standards for web services		Too vague. Not testable
57	Authoritative data producers will prepare system and node access plans, collaborating with <u>COIs</u> as appropriate		Defer: Node information under evaluation.
58	Identify potential universe of data consumers		Defer: Node information under evaluation.
59	Identify restrictions on data accessibility		Defer: Node information under evaluation.
60	Determine design constraints and operational impacts of relevant Node infrastructures		Defer: Node information under evaluation.
61	When appropriate, Node Infrastructure designs will be <u>SOA</u> s addressing:		Defer: Node information under evaluation.
62	Requests for prioritization		Defer: Node information under evaluation.
63	Dynamic binding to producer instances		Defer: Node information under evaluation.

64	Fault tolerance		Defer: Node information under evaluation.
65	Asynchronous messaging		Defer: Node information under evaluation.
66	Event monitoring		Defer: Node information under evaluation.
67	Service-level agreement support		Defer: Node information under evaluation.
68	The design will separate the data layer from presentation and business logic	[G1153]	Exists as: [G1153]
69	Common design patterns will be used whenever possible		Too vague. Not testable
70	Automated mechanisms will be used for data mediation/translation whenever possible		Addressed in NESI Mediation section
71	Program clients will be neutral and support standard presentation protocols		Too vague. Not testable
72	XML Schemas will not make any assumptions about the sophistication of tools for creation, management, storage or presentation		Too vague. Not testable
73	Business rules will be adaptable		Too vague. Not testable
74	Business rules will not be encoded in the XML exchange formats	[BP1402]	Exists as: [BP1402]
75	XML Schemas will be validated against the WC3 XML Standard 1.0 at design time	[<u>G1084</u>]	Exists as: [G1084]
76	Validation will use COIs tools	[G1084]	Exists as: [<u>G1084</u>]
77	Systems will validate their XML documents against schemas published in the DoD Metadata Registry and Clearinghouse	[G1084]	Exists as: [G1084]
78	As appropriate, developers will design for runtime updates of enhanced schemas	[<u>BP1399]</u>	Exists as: [BP1399]
79	Node infrastructures will support these designs		Defer: Node information under evaluation.
80	Node infrastructure developers will design for runtime validation of schemas including appropriate reach-back to the DoD Registry		Defer: Node information under evaluation.
81	Security marking and dissemination control will conform to the DDMS		Include in Security section

82	Developers will consider access control early in the data asset design process		A design issue - also un- testable
83	Data will be segmented into chunks in accordance with security and export control levels, and encryption and access controls will be applied to the chunks	[BP1403]	Chunking is a technology that can be used for a variety of applications including the managing of streaming data (which may be binary) Placement in Guidance and Best Practice section requires further analysis - [BP1403]

Guidance

- Be associated with one or more <u>communities of interest</u> (<u>COI's</u>). [<u>G1382</u>].
- Use a registered namespace in the XML Gallery in the DoD Metadata Registry. [G1383]
- Review <u>XML Information Resources</u> in the <u>DoD Metadata Registry</u> using those which can be reused. [G1384]
- Identify <u>XML Information Resources</u> for registration in the <u>XML Gallery</u> of the <u>DoD Metadata</u> Registry. [G1385]
- Review predefined commonly used <u>data elements</u> in the <u>Data Element Gallery</u> of the <u>DoD</u>
 <u>Metadata Registry</u> using those in the <u>relational database</u> technology which can be reused in the program. [G1386]
- Identify <u>data elements</u> developed in the in the <u>relational database</u> technology during the program for registering in the <u>Data Element Gallery</u> of the <u>DoD Metadata Registry</u>. [G1387]
- Use predefined commonly used database tables in the <u>DoD Metadata Registry</u>. [G1388]
- Publish database tables which are of common interest by registering them in the <u>Reference Data</u>
 Set Gallery of the <u>DoD Metadata Registry</u>. [G1389]
- Standardize on the terminology published by relevant <u>COIs</u> listed in the <u>Taxonomy Gallery</u> of the <u>DoD Metadata Registry</u>. [G1390]
- Adhere to a common mechanism of service location. [G1392]

Best Practices

- Use a <u>database modeling</u> tool that supports a two-level model (<u>Conceptual/Logical</u> and <u>Physical</u>)
 and <u>ISO-11179</u> data exchange standards. [<u>BP1143</u>]
- Developers will design for runtime updates of enhanced schemas. [BP1399]
- Business rules will not be encoded in the <u>XML</u> exchange formats. [BP1402]
- <u>Data</u> will be segmented into chunks in accordance with security and export control levels, and encryption and access controls will be applied to the chunks. [BP1403]

References

• Network Centric Warfare, Department of Defense, Report to Congress Appendix, 27 July 2001 http://www.DoD.mil/nii/NCW/ncw_appendix.pdf

ASD NII Checklist

The purpose of the Net-Centric Checklist is to assist in the development of programs need in the net-centric environment as part of a <u>service-oriented architecture</u> (<u>SOA</u>) in the <u>Global Information Grid</u> (<u>GIG</u>). A <u>SOA</u> is a design style for building flexible, adaptable distributed-computing environments for the Department of Defense (DoD). Service-oriented design is fundamentally about sharing and reuse of functionality across diverse applications. There are four sections in the Checklist: <u>Data</u>, Services, IA/Security and Transport.

This section describes how the NESI Guidance relates to the ASD NII Checklists Data tenets.

ASD (NII) Checklist				N	NESI
Section	Data Tenet Name	Text	Rationale	Approach	Comment
I. B. 01	Make data visible	Does the system provide discovery metadata, in accordance with the DoD Discovery Metadata Standard (DDMS), for all data posted to shared spaces?	Rationale Users and applications will migrate from maintaining private data (e.g., data kept within system specific storage) to making data available in community- and Enterprise-shared spaces (e.g., servers and services available on the Internet). Data will migrate from being maintained in private data stores alone, to being made available in community and Enterprise shared spaces.	Answered if DoD Metadata Registry Used. Also [G1125]	Included in DDMS Guidance
I. B. 02	Make data visible	Describe how the system is making its data assets visible to consumers.	Rationale Question will determine whether a consumer needs to know about a data asset and establish a point-to- point connection, or whether the data asset be discovered.	Answered if DoD Metadata Registry Used	Data assets are made available via registered services in the <u>DoD Metadata</u> <u>Registry</u>

I. B. 02	Make data visible	Is all of the data that can and should be shared externally beyond the programmatic bounds of your system visible (i.e., advertised) to all potential consumers of the data?	Rationale Question will identify if the application is making use of Web services to expose its data.	Requires evaluator interaction	This is too subjective and cannot be readily evaluated.
I. B. 03	Make data visible	Describe how consumers are able to locate the data assets available from your system.	Rationale Question will determine whether a consumer needs to know about a data asset and establish a point-to-point connection, or whether the data asset be discovered.	Answered if DoD Metadata Registry Used. Also [G1392]	Data assets are locatable via registered services in the DoD Metadata Registry
I. B. 04	Make data visible	Describe how the system is making use of Web service standards (e.g., SOAP [Simple Object Access Protocol], WSDL [Web Services Description Language], UDDI [Universal Description, Discovery and Integration]) to make its data assets visible.	Rationale: Question will elicit whether the program is taking advantage of some of the open standards for Web services. (Also referenced in Net Centric Operations and Warfare Reference Model)	Answered if DoD Metadata Registry Used. Also [G1125]	Implementation details
I. B. 05	Make data visible	Describe any subscribe/notify mechanisms for the visible data assets available within the program that alert users and other applications when data has been created or updated.	Rationale Question will elicit whether a consumer can be notified when data assets change.	Answered if DoD Metadata Registry Used	Subscription and Notification methods provided in the DoD Metadata Registry registration requirements
I. B. 06	Make data visible	Describe where potential consumers can go to become aware of the data assets being made visible by your program.	Rationale: Question should elicit how the programs data is being advertised to potential consumers.	Answered if DoD Metadata Registry Used	Data assets are made available via registered services in the DoD Metadata Registry

I. B. 07	Make data visible	Describe how the program provides dynamic, flexible, and threat-tailorable solutions for exchanging data assets between different security domains (i.e., cross-domain) with flexibility to accommodate new operational needs with minimal impact on system and mission performance.	Rationale: DoD 8500 series, DCID 6/3	Answered as part of NESI Part 5 Security	Security - Data assets are made available via registered services in the DoD Metadata Registry. Security constraints should be contained therein
I. B. 08	Make data visible	Describe how data posted to shared spaces is controlled and managed by the applicable security policies, or regulations and how these IA controls are enforced. [Ref RCD 4.1, policy management, 4.3.2, Information Access Management, 4.5 Access Control]	Rationale: Question will elicit details of design of information security characteristics of system data	Answered as part of NESI Part 5 Security	Security
I. C. 01	Make data accessible	Are there any limitations for the client appliance (e.g., workstation, desktop, laptop, PDA [personal digital assistant]) to access your data assets?	Rationale: Question will elicit whether the program is client neutral and supports standard presentation protocols.	Answered if DoD Metadata Registry Used	Data assets are accessible via registered services in the DoD Metadata Registry. This information should be provided therein.
I. С. 01. Г	Make data accessible	Describe for each visible data asset what the data consumer needs to access the data (e.g., an application client, a Web portal, access to a Web service, access to a shared data storage area, an XML (eXtensible Markup Language) schema/parser, etc.).	Rationale: Question will elicit whether the program is client neutral and supports standard presentation protocols.	Answered if <u>DoD</u> <u>Metadata Registry</u> Used	Data assets are accessible via registered services in the DoD Metadata Registry. This information should be provided therein.

I. C. 01. F	Make data accessible	Is all of the data that can and should be shared externally beyond the programmatic bounds of your program accessible to all potential consumers of the data with sufficient access permissions and without any additional programming effort?	Rationale: Question will elicit whether the program is client neutral and supports standard presentation protocols.	Requires evaluator interaction	This is too subjective and cannot be readily evaluated.
I. C. 02	Make data accessible	Has the program explicitly identified the potential universe of consumers of that data? (local, COI, enterprise)	Rationale Designers will focus on the immediate requirement for satisfying sponsor demands.	Answered if DoD Metadata Registry Used	Any consumer who has access to the DoD Metadata Registry.
I. C. 03	Make data accessible	Describe the programs architecture and the data separation from the presentation and business logic.	Rationale Question will elicit whether the program is an n- tier architecture where the data has been isolated from the business logic.	Requires evaluator interaction	Implementation details
I. C. 04	Make data accessible	Describe the security mechanisms used to restrict access to specific, visible data assets. How will the associated metadata labels be used to support these security mechanisms? (ref. RCD 4.1, IA Policy Management, 4.3.2 Information Access Management, 4.5 Access Control)	Rationale Question will elicit whether appropriate security has been placed on data assets.	Answered as part of NESI Part 5 Security	Security

I. C. 05	Make data accessible	What mechanisms are planned/implemented to protect the data in transit to the consumer? This would include protection from modification of the data, protection from unauthorized eavesdropping, or protection from data becoming lost in transit. [ref RCD 3.1 Confidentiality, 4.1, IA Policy Management, 4.6.1, EIAU Management]	Rationale: Question will elicit information on what confidentiality, integrity, and availability mechanisms beyond Inline Network Encryptor (INE) functions are in the system design.	Answered as part of NESI Part 5 Security	Security
I. C. 06	Make data accessible	What mechanisms are planned/implemented to protect the data at rest within a consumer client? This would include protection from modification of the data, protection from unauthorized disclosure, or protection from data becoming corrupted or otherwise unavailable for mission use. [ref RCD 3.1 Confidentiality, 4.1, IA Policy Management, 4.6.1, EIAU Management]	Rationale: Question will elicit information on what confidentiality, integrity, and availability mechanisms are envisioned where the end-user will be processing GIG data.	Answered as part of NESI Part 5 Security	Security

I. C. 07	Make data accessible	What mechanisms are planned/implemented to protect the data at rest within the service providers systems? This would include protection from modification of the data, protection from unauthorized eavesdropping, or protection from data becoming corrupted or otherwise unavailable for mission use. [ref RCD 3.1 Confidentiality, 4.1, IA Policy Management, 4.6.1, EIAU Management]	Rationale: Question will elicit information on what confidentiality, integrity, and availability mechanisms are envisioned where the end-user will be processing GIG data.	Answered as part of NESI Part 5 Security	Security
I. C. 08	Make data accessible	Describe how the visible data assets are made available to other users outside the Community of Interest with a need for the data.	Rationale Question should help the assessor determine how easily the data is accessible.	Answered if DoD Metadata Registry Used	Data assets are accessible via registered services in the DoD Metadata Registry. Any consumer who has access to the DoD Metadata Registry will have access to these data assets.
I. C. 09	Make data accessible	Describe the common design patterns employed in the program that aid in the accessibility of data assets.	Rationale Question will elicit whether the program is making use of design patterns to simplify and standardize how data assets are accessed.	Requires evaluator interaction	Content management systems acting as the data catalog for unstructured collections or structured data archives/warehouses as data catalogs service generated data
I. C. 10. 00	Make data accessible	Describe the use within the program of the following design patterns:	Rationale Question will elicit more detailed discussion than the previous question. However, the program will not necessarily employ all of these patterns.	Requires evaluator interaction	Implementation details

I. C. 10. 01	Make data accessible	· Request-Response	Rationale Question will elicit more detailed discussion than the previous question. However, the program will not necessarily employ all of these patterns.	Requires evaluator interaction	Implementation details
I. C. 10. 02	Make data accessible	· Publish-Subscribe	Rationale Question will elicit more detailed discussion than the previous question. However, the program will not necessarily employ all of these patterns.	Requires evaluator interaction	Implementation details
I. C. 10. 03	Make data accessible	· Transactional or Read-Only	Rationale Question will elicit more detailed discussion than the previous question. However, the program will not necessarily employ all of these patterns.	Requires evaluator interaction	Implementation details
I. C. 10. 04	Make data accessible	· Synchronous or Asynchronous	Rationale Question will elicit more detailed discussion than the previous question. However, the program will not necessarily employ all of these patterns.	Requires evaluator interaction	Implementation details
I. C. 10. 05	Make data accessible	· Model-View- Controller	Rationale Question will elicit more detailed discussion than the previous question. However, the program will not necessarily employ all of these patterns.	Requires evaluator interaction	Manual

I. C. 11	Make data accessible	Describe how the program provides assurance that there is timely and reliable access to data assets anytime, anywhere for authorized users/entities. Availability is a core IA function that is critical to ensuring successful mission execution.	Rationale: DoD 8500 series, DCID 6/3. Integrity is a core information assurance (IA) function, and is necessary to provide confidence in data received.	Answered if DoD Metadata Registry Used	Data assets are accessible via registered services in the DoD Metadata Registry. This information should be provided therein.
I. C. 12	Make data accessible	Describe how access control and IA policy enforcement will be used to ensure that only authorized users/entities can access restricted data. (ref. RCD 4.2.2 Authorization/Privilege Management, 4.3.2 Information Access Management, 4.5 Access Control)	Rationale: Question will elicit information on how access control will be implemented in the context of GIG wide access control policies and identity management.	Answered as part of NESI Part 5 Security	Security
I. D. 01. D	Make data understandable	Describe how the program tags data with discovery metadata.	Rationale Metadata tagging enables users to discover the data for retrieval. The assessor should assess whether sufficient use of metadata is being made.	Answered if DoD Metadata Registry Used	Data assets in the DoD Metadata Registry should be tagged with discovery metadata as per DDMS. Automated tagging is best. There can be variability in the granularity of the data asset tagged but data catalogs should allow discovery metadata registration per DDMS and search per DDMS criteria

I. D. 01-> F	Make data understandable	Is all of the data that can and should be shared externally beyond the programmatic bounds of your program sufficiently documented and understandable that any potential consumer can comprehend the structural and semantic meaning to determine if they can reliably use the metadata to make access control decisions on sensitive data? (ref. RCD 4.3.1 Information Labeling Management, 4.5 Access Control)	Rationale: Question will indicate how registered metadata are being used for access control decisions on system data assets.	Answered if DoD Metadata Registry Used	Data assets are accessible via registered services in the DoD Metadata Registry. The information provided therein should be adequate.
I. D.02	Make data understandable	Is all of the data that can and should be shared externally beyond the programmatic bounds of your program sufficiently documented and understandable that any potential consumer can comprehend the structural and semantic meaning to determine how they may use it appropriately?	Rationale Metadata tagging enables users to discover the data for retrieval. The assessor should assess whether sufficient use of metadata is being made.	Answered if DoD Metadata Registry Used	Data assets are accessible via registered services in the DoD Metadata Registry. This information should be provided therein.
I. D. 03	Make data understandable	Explain how the program is making use of the DoD Metadata Registry and Clearinghouse.	Rationale Question will elicit indications of whether discovery metadata is being generated that is compliant with the DoD Discovery Metadata Specification.	Answered if DoD Metadata Registry Used	Data assets are accessible via registered services in the DoD Metadata Registry. This information should be provided therein.
I. D. 04	Make data understandable	Has the DoD Metadata Registry been used whenever possible?	Rationale Question will elicit whether the program is making use of existing, registered data elements from the Registry.	Answered if DoD Metadata Registry Used	Included in DoD Metadata Registry requirements.

I. D. 04	Make data understandable	Have newly defined XML elements been registered with the Registry?	Rationale Question will elicit whether the program is making use of existing, registered data elements from the Registry.	Answered if <u>DoD</u> <u>Metadata Registry</u> Used	Included in DoD Metadata Registry requirements.
I. D. 04. Г	Make data understandable	Describe the source of all XML elements.	Rationale Question will elicit whether the program is making use of existing, registered data elements from the Registry.	Answered if DoD Metadata Registry Used	Included in DoD Metadata Registry requirements.
I. D. 05	Make data understandable	Describe any data schemas or standards being applied in the program.	Rationale Question will elicit whether the program is using XML Schemas, DTDs [Document Type Definition], or something similar to describe its data assets.	Answered if DoD Metadata Registry Used	Included in DoD Metadata Registry requirements.
I. D. 06	Make data understandable	Describe any automated mechanisms that are available for data mediation/translation (e.g., XSL [eXtensible Stylesheet Language], XSD [XML Schema Definition]).	Rationale Question will elicit any data translation capabilities that are available.	Answered if DoD Metadata Registry Used	Included in DoD Metadata Registry requirements.
I. D. 07	Make data understandable	Describe any automated mechanism that enforce translation of security markings from one policy domain to another. (ref. RCD 4.1 IA Policy Management)	Rationale: Question will elicit any capability to move data from one policy domain (e.g., U.S. Only) to another (e.g., NATO)	Answered as part of NESI Part 5 Security	Security
I. E. 01	Make data trustable	Can all potential consumers of all of the data available from your program determine the data pedigree (i.e., derivation and quality), security level, and access control level of your data?	Rationale: Question will elicit how a consumer can determine data asset quality.	Answered if DoD Metadata Registry Used. Further criteria to be established when this section needs to be connected to the NESI Security section	Included in DoD Metadata Registry requirements. Trust here is a function of access to data asset pedigree and identified autorative sources per DDMS. Our approach should be consistent with this.

I. E. 02	Make data trustable	Describe for each visible data asset in the program whether the program is the authoritative data source.	Rationale: Question will elicit whether any data assets are secondary sources.	Answered if DoD Metadata Registry Used	Included in DoD Metadata Registry requirements.
I. E. 03	Make data trustable	Describe what measures the program takes to ensure the integrity of the data (for internally used data, externally used data, and data that simply transits the program).	Rationale: Question will elicit whether data assets are protected against man-in-the-middle types of IA attacks.	Answered as part of NESI Part 5 Security	Security
I. E. 04	Make data trustable	Describe what measures the program takes to ensure that the program data is only provided to consumers via authorized sources. [ref RCD 3.2 Integrity, 4.4 Authentication]	Rationale: Question will elicit whether data assets are protected against man-in-the-middle types of IA attacks.	Answered as part of NESI Part 5 Security	Security
I. F. 01. D	Make data interoperable	Describe any programming changes that would need to be made to the program if a new consumer of a visible data asset were identified.	Rationale: Question will elicit whether new consumers can be added with no additional cost/effort or whether a new point-to-point interface needs to be established.	Requires evaluator interaction	Vague
I. F. 01. F	Make data interoperable	Does all of the data that can and should be shared externally beyond the programmatic bounds of your program have sufficient metadata descriptions and automated support to enable for mediation and translation of the data between interfaces?	Rationale: Question will elicit whether new consumers can be added with no additional cost/effort or whether a new point-to-point interface needs to be established.	Answered if <u>DoD</u> <u>Metadata Registry</u> Used	Fits into DDMS and DoD Metadata Registry Req's. Currently the MDR can store XSL to support mediation but much work is needed in this area

I. F. 02	Make data interoperable	Identify the published net-centric interoperability standards (e.g., DDMS) to which the program adheres. (ref. RCD 3.4 Availability)	Rationale: Question will help to identify programs that have thought through customer service and planned for accommodating changing consumer needs.	Answered if <u>DoD</u> <u>Metadata Registry</u> Used	The approach should reference NR-KPPs and KIPs
I. F. 03	Make data interoperable	Describe the process a consumer would follow to a) request changes in the format (syntax or semantic) of the visible data asset; b) report a problem with a data asset; or c) request additional data from the data provider.	Rationale: Question will help to identify programs that have thought through customer service and planned for accommodating changing consumer needs.	Answered if DoD Metadata Registry Used	Vague
I. G. 01. D	Provide Data Management	Describe the effort associated with the program to define, develop, and maintain an ontology (i.e., schemas, thesauruses, vocabularies, key word lists, and taxonomies) that best reflects the community understanding of the visible data assets.	Rationale: Question will elicit the data survivability capability of the program and the consumers experience as a result.	Requires evaluator interaction and COI participation	Fits into Ontology requirement
I. G. 01. F	Provide Data Management	Is there sufficient management of all of the data available through your program to adequately maintain and improve your data assets within a changing environment?	Rationale: Question will elicit the data survivability capability of the program and the consumers experience as a result.	Requires evaluator interaction	Vague
I. G. 02	Provide Data Management	Describe your processes for ensuring the usefulness and timely availability of all data assets associated with your program.	Rationale: Question will elicit the data survivability capability of the program and the consumers experience as a result.	Requires evaluator interaction	Vague

I. G. 03	Provide Data Management	Describe the various data survivability scenarios considered in your program.	Rationale: Question will elicit the data survivability capability of the program and the consumers experience as a result.	Requires evaluator interaction	Vague
I. H. 01	Be Responsive to User Needs	Are perspectives of users, whether data consumers or data producers, incorporated into data approaches via continual feedback to ensure satisfaction?	Rationale: This question helps determine if the program is putting in place appropriate mechanisms to enable responsiveness to user data and application needs.	Requires evaluator interaction	Vague
I. H. 02	Be Responsive to User Needs	What tools, services, processes, and resources is the program providing to facilitate user feedback and program responsiveness with respect to data needs?	Rationale: This question helps determine if the program is putting in place appropriate mechanisms to enable responsiveness to user data and application needs.	Requires evaluator interaction	Vague
I. H. 03	Be Responsive to User Needs	What metrics are being used to determine responsiveness to user data needs?	Rationale: This question helps determine the programs ability to measure its responsiveness to user data and application needs.	Requires evaluator interaction	Vague
І. Н. 04	Be Responsive to User Needs	What is the degree of collaboration with respect to data that is enabled and is occurring among the user community (ies) and the program developers?	Rationale: This question helps assess the actual degree of visibility into ongoing user needs and the responsiveness and quality of interaction with respect to user data and application needs.	Answered if <u>DoD</u> <u>Metadata Registry</u> Used	Fits into the COI requirement

I. H. 05	Be Responsive to User Needs	What are measured/assessed trends over time with respect to the programs responsiveness to user data needs and degree of satisfaction towards meeting those needs?	Rationale: This question helps determine the degree of program improvement in being responsive to user data and application needs over time.	Requires evaluator interaction	Vague
I. H. 06	Be Responsive to User Needs	What are the programs plans to enhance responsiveness to user data needs?	Rationale: This question helps determine potential for improving future responsiveness to user data and applications needs.	Requires evaluator interaction	Vague
I. I. 07	Ensure authorized users obtain reliable secure information	Describe the protection mechanisms for program data to ensure that undetected compromises are contained and do not allow an adversary to access restricted or sensitive program data while still maintaining visibility to authorized users? [ref RCD 4.1 Confidentiality (attribute to be added to address this issue)]	Rationale: This question helps determine capability to perform in the face of adversarial disruption.	Answered as part of NESI Part 5 Security	Security
I. I. 08	authorized users obtain reliable secure information	that inhibit an adversary who has compromised a client or server from		Answered as part of NESI Part 5 Security	Security

Guidance

- Be associated with one or more <u>communities of interest</u> (<u>COI's</u>). [<u>G1382</u>].
- Use a <u>registered namespace</u> in the <u>XML Gallery</u> in the <u>DoD Metadata Registry</u>. [G1383]
- Review XML Information Resources in the <u>DoD Metadata Registry</u> using those which can be reused. [G1384]

- Identify <u>XML Information Resources</u> for registration in the <u>XML Gallery</u> of the <u>DoD Metadata</u> <u>Registry</u>. [G1385]
- Review predefined commonly used <u>data elements</u> in the <u>Data Element Gallery</u> of the <u>DoD</u>
 <u>Metadata Registry</u> using those in the <u>relational database</u> technology which can be reused in the program. [G1386]
- Identify <u>data elements</u> developed in the in the <u>relational database</u> technology during the program for registering in the <u>Data Element Gallery</u> of the <u>DoD Metadata Registry</u>. [G1387]
- Use predefined commonly used database tables in the <u>DoD Metadata Registry</u>. [G1388]
- Publish database tables which are of common interest by registering them in the <u>Reference Data</u>
 <u>Set Gallery of the DoD Metadata Registry</u>. [G1389]
- Standardize on the terminology published by relevant <u>COIs</u> listed in the <u>Taxonomy Gallery</u> of the <u>DoD Metadata Registry</u>. [G1390]
- Adhere to a common mechanism of service location. [G1392]

References

Net-Centric Checklist, July 30, 2004, Version 2.1.4

XML

XML

Note: This section is under construction and is scheduled for re-delivery in 1.3.

<u>XML</u> is a popular new technology that many developers are capitalizing on. For general guidance, use one of the many <u>XML</u> developer's guides available.

This section focuses on interfacing with other applications and enterprise components. It contains the following topics:

- Wrapping XML parsers
- Parsing XML strategies

References

- For information on <u>XML schemas</u> and repositories, see http://diides.ncr.disa.mil/mdregHomePage/mdregHome.portal.
- For information on the Department of the Navy's XML policies, see
 http://quickplace.hq.navy.mil/navyxml or contact Bob Green, Office of the DON CIO, robert.a.green2@navy.mil.

Wrapping XML Parsers

Wrapping the <u>parser</u> promotes interoperability with other systems by reducing coupling and minimizing the impact of enterprise change on the applications.

The enterprise will publish an <u>API</u> wrapper to an <u>XML</u> parser and an <u>XSLT</u> processor. All applications using <u>XML</u> will use these wrapper classes. When they are available, you will be able to download them from the NESI open-source site.

Examples

Sample wrapper class

This figure shows a sample wrapper class for an XML parser:

```
import java.io.*;
import org.w3c.dom.*;
import java.util.*;
import javax.xml.parsers.*;
public class XMLWrapper
 private Document document;
 public void initialize( )
  { try
   { System.setProperty
        ( "javax.xml.parsers.DocumentBuilderFactory",
          "org.apache.xerces.jaxp.DocumentBuilderFactoryImpl"
        ) ;
     System.setProperty
        ( "javax.xml.parsers.SAXParserFactory",
          "org.apache.xerces.jaxp.SAXParserFactoryImpl"
        ):
      DocumentBuilderFactory dbf
        = DocumentBuilderFactory.newInstance();
     DocumentBuilder db = dbf.newDocumentBuilder();
     document = db.newDocument();
    } // End try
    catch ( DOMException domex )
    { domex.printStackTrace();
    } // End catch DOMException
   catch ( ParserConfigurationException pcex )
     pcex.printStackTrace();
    } // End catch ParserConfigurationException
  }//end init
//public API's
 public Node setRootNode
    ( String rootElement )
  try
   {    Node rootNode = document.createElement( rootElement );
    document.appendChild( rootNode );
    return rootNode;
   } // End try
   catch ( DOMException domex )
   { domex.printStackTrace();
   } // End catch DOMException
  return null;
  } // End setRootNode
  public Node addChild
    ( Node parentNode, String element )
  { parentNode.appendChild
      ( document.createElement ( element ) );
   return parentNode.getLastChild();
  } // End addChild
 public void addTextNode
  ( Node parentNode, String element )
```

```
{ parentNode.appendChild
      ( document.createTextNode( element ) );
   // End addTextNode
 public void addCommentNode
    ( Node parentNode, String element )
  { parentNode.appendChild
      ( document.createComment( element ) );
  } // End addCommentNode
 public void addCommentNodeDoc
    ( String element )
  { document.appendChild
     (document.createComment( element ));
  } // End addCommentNodeDoc
 public void addPINodeDoc
     ( String target,
      String value
     )
  { document.appendChild
      ( document.createProcessingInstruction
         ( target,
           value
       );
 } // End addPINodeDoc
 public void addPINode
    ( Node parentNode,
     String target,
     String value
  { parentNode.appendChild
      ( document.createProcessingInstruction
          ( target,
            value
       ) ;
    } // End addPINode
 } // End initialize
}//end XMLWrapper
```

Sample object

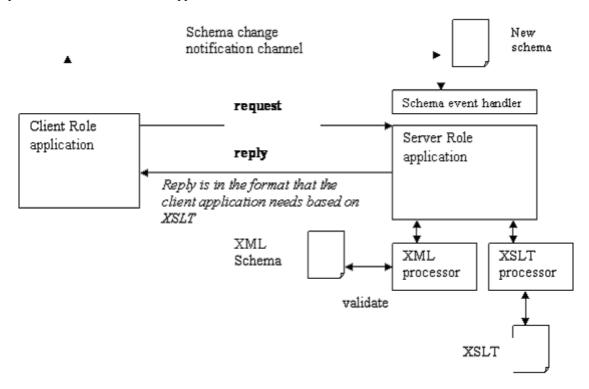
This figure shows a sample object using the XML parser wrapper:

```
private static void buildXMLDocument()
{ //build up a weather report
   XMLCreator xmlCreator = new XMLCreator();
   xmlCreator.initialize();
    xmlCreator.addCommentNodeDoc
      ( "generate xml from a soap client");
   xmlCreator.addPINodeDoc
     ("xml:stylesheet",
       "type = \"text/xsl\" href = \"weather.xsl\""
     );
   Node weatherNode
      = xmlCreator.setRootNode
         ( "weatherReport");
    xmlCreator.addTextNode
      ( xmlCreator.addChild
          ( weatherNode,
           "location"
           ),
       weatherReport[0]
  xmlCreator.addTextNode
      ( xmlCreator.addChild
          ( weatherNode,
            "wind"
           ),
       weatherReport[1]
       );
```

```
xmlCreator.addTextNode
   ( xmlCreator.addChild
      ( weatherNode,
       "SkyConditions"
    ),
    weatherReport[2] );
xmlCreator.addTextNode
 ( xmlCreator.addChild
      ( weatherNode,
       "Visibility"
     ),
   weatherReport[3] );
xmlCreator.addTextNode
 ( xmlCreator.addChild
      ( weatherNode,
        "Temperature"
    ),
    weatherReport[4] );
xmlCreator.addTextNode
 ( xmlCreator.addChild
      ( weatherNode,
        "Pressure"
    ),
    weatherReport[5] );
xmlCreator.addTextNode
  ( xmlCreator.addChild
     ( weatherNode,
        "Humidity"
    weatherReport[6] );
xmlCreator.addTextNode
 ( xmlCreator.addChild
     ( weatherNode,
        "Wind2"
    ),
    weatherReport[7] );
weatherDoc = xmlCreator.getDocument();
}//end buildXMLDocument
```

Parsing XML Strategies

Passing <u>XML</u> back and forth between systems imposes significant overhead. As more client-side applications use "services," parsing multiple <u>XML</u> outputs from multiple web services will impact the performance of the client-side application.



Best Practices

- All data transferred via XML should explicitly define the encoding style. [BP1258]
- XML validation is the responsibility of the XML document generator. [BP1265]

References

- Won Kim. <u>Introduction to Object-Oriented Databases</u>. Computer Systems. MIT Press, Cambridge, MA, 1990.
- Application Architecture: An N-Tier Approach Part 1: http://www.15seconds.com/issue/011023.htm
- SQL:1999, formerly known as SQL3: http://dbs.uni-leipzig.de/en/lokal/standards.pdf
- Database Journal: http://www.databasejournal.com/
- Crossing Chasms Pattern Language Object to RDBMS: http://c2.com/cgi/wiki?CrossingChasms
- Object Data Management Group (ODNG): http://www.odmg.org/
- Object Management Group (OMG): http://www.omg.org/
- Native XML database vendors: http://www.rpbourret.com/xml/XMLDatabaseProds.htm#native

• C2IEDM data model specifications: http://www.mip-site.org/

Mediation Services

Mediation Services

Mediation is defined as a set of negotiated agreements for interacting between <u>components</u> that enable those <u>components</u> to work together to perform a task. These agreements are defined through standard <u>interfaces</u> and <u>data</u> interchange specifications.

Mediation services provide multiple methods for integrating data sources and services:

- <u>Transformation</u>
- Aggregation
- Adaptation
- Orchestration
- Choreography

Guidance Details

G1001

Statement Define public <u>interfaces</u> in a formal standard.

Rationale It's important that a common language is used to define the interfaces so producers

and consumers can work independently and together.

There are many standards for defining interfaces (<u>UML</u>, <u>WSDL</u>, and <u>CORBA</u>). The standard used must be documented and widely accepted by the industry.

Derived From

Justifies

Referenced By

Publish and insulate public interfaces

Acquisition Phase

Development

Evaluation Criteria 1. Test Do <u>UML</u> documents exist that describe the shared

interfaces?

Procedure Ask for the design documents to be provided during the

review process.

Examples None

2. Test *Are there WSDL files that document the interface to web*

services?

Procedure Look for the existence of .WSDL files.

Examples None

3. Test *Are there* **IDL** *files that document the interfaces to CORBA*

services?

Procedure Look for the existence of .idl files.

Statement

Separate public interfaces from implementation.

Rationale

This guidance encourages clean separation between *interface* and implementation details for all types of application development. This allows components and systems to be *loosely coupled*. The flexibility allows groups of developers to work independently and in parallel to the contract defined by the interface.

Another benefit of hiding implementation details is that it allows the implementation to change without affecting users of the interface. This means the interface can support dynamic and pluggable implementation.

Derived From

Justifies

[G1217], [G1218], [G1219], [G1220], [G1221]

Referenced By

Publish and insulate public interfaces

Acquisition Phase Development

Evaluation Criteria 1. Test

C++: Check to make sure interfaces are defined as pure

virtual functions.

Procedure Make sure C++ classes are defined in header files. Classes

that represent external interfaces should contain only pure virtual functions. Make sure the class does not declare non-constant data members. Also, make sure it does not define default implementation. An interface should provide no

default behavior.

2. Test *C: Check to make sure functions are declared in a header*

file using prototypes.

Procedure Make sure each library function has a prototype

declaration in the header file.

Statement

Separate the contents of application libraries that are to be shared from libraries that are to be used internally.

Rationale

The public libraries that are intended to be shared with outside consumers need to remain fairly static in order to facilitate independent development by the *consumer* and the *producer* of the libraries' functionality. Changes in libraries should be mutually agreed upon by both the producer and the consumer.

All library content should not have external dependencies that are not related to supporting the interface.

There must be clear separation between domain-specific and shared libraries. Libraries that will be used in joint or multiple projects should not have domain-specific code.

Derived From

Justifies

Referenced By

Publish and insulate public interfaces

Acquisition Phase

Evaluation Criteria Development

1. Test Do the publicly shared libraries have any private or

undocumented functionality?

Procedure Check each library against the publicly defined header and

make sure that all objects or methods are public.

Examples None

2. Test Does the library contain extraneous interfaces or code that

is not required?

Procedure Use coverage tool/Junit to make sure there is no extraneous

code.

Examples None

3. Test *Do the publicly shared libraries have any private or*

undocumented functionality?

Procedure Check to make sure that one library use of another library

does not cross domain-specific boundaries. For instance, a common library of <u>XML</u> utilities should not have dependencies on another library that supports a specific domain such as UHF satellites. However, the reverse is

okay.

Statement Make public <u>interfaces</u> backward-compatible within the constraints of a published

deprecation policy.

Rationale The public interface is basically a contract between the <u>producer</u> of the

functionality defined in an interface and the <u>consumer</u> of the functionality. These guidance statements are intended to ensure that this contract remains intact and that the consumer of the functionality is not broken during the update cycle of the

interface.

Derived From

Justifies [G1018], [G1019], [G1020], [G1208]

Referenced By Publish and insulate public interfaces

Acquisition Phase

Development

Evaluation Criteria 1. Test Does the public interface (interfaces that are used

externally, outside the project's domain) contain

versioning information?

Procedure Check to make sure the interface/class has versioning

information.

Examples None

2. Test *Does the document structure contain a document that*

indicates the shelf life of deprecated interfaces?

Procedure Note: This is a mandatory document

Check for project documents that have information on the

life of deprecated interfaces.

Statement Separate

Separate <u>infrastructure</u> capabilities from <u>mission</u> functions.

Rationale Applications should not try to reinvent the wheel by creating custom <u>enterprise</u> services such as messaging, directory services, logging, etc. Application

development should use standardized APIs to access common enterprise services.

For instance, in Java, use JMS to access a messaging system.

Derived From

Justifies

Referenced By Publish and insulate public interfaces

Acquisition Phase

Development

Evaluation Criteria **1. Test** Does the application re-create common and available

enterprise services?

Procedure Check the application code for code that recreates

functionality of an enterprise service.

Examples None

2. Test Does the application code access enterprise services in a

vendor-specific way?

Procedure Check for code that accesses a vendor-specific API instead

of utilizing an industry-standard API.

Statement Ensure that applications use open, standardized, <u>vendor</u>-neutral <u>API(s)</u>

Rationale Using standardized, open APIs will enable the code to be more portable. It will

also prevent vendor lock-in. "Standardized" means industry consensus. "Open"

means available to everyone.

Derived From

Justifies [G1071]

Referenced By Publish and insulate public interfaces

Acquisition Phase

Development

Evaluation Criteria 1. Test Does the application create customized/proprietary

solutions where standardized API exists?

Procedure Check the application for code that has proprietary

solutions where standardized API exists. For instance, does the application write its own messaging system, bypassing

utilizing the Java Messaging System API.

Examples None

2. Test *Does the application utilize vendor-specific API?*

Procedure Check the application to make sure it is not using a vendor-

specific API. For instance, see if the application accesses the database using a proprietary interface from Oracle

instead of the standard JDBC calls.

Statement Isolate platform-specific <u>interfaces</u> and <u>vendor</u> dependencies.

Rationale Insulating platform-specific code using standard abstractions or custom classes will

keep all non-portable code in one place and prevent proliferation of non-portable

code throughout the application.

Derived From

Justifies [G1073]

Referenced By Publish and insulate public interfaces, [G1118]

Acquisition Phase

Development

Evaluation Criteria 1. Test Does the application contain any platform-specific code

that has not been abstracted?

Procedure Check code that is non-portable. For instance, does the

code use back slashes (Windows) or forward slashes

(UNIX) in literal strings to create a path.

IE: String path = "\tmp";

Examples None

2. Test Is platform-specific code isolated into a single class or

file?

Procedure Search the files for platform-specific code.

Statement Use <u>open-standards</u> logging frameworks.

Rationale Standardizing on one logging API means the code will be more portable between

developers, and developers no longer need to learn multiple logging frameworks.

Derived From

Justifies [G1209], [G1210]

Referenced By Publish and insulate public interfaces

Acquisition

Phase

Development

Evaluation Criteria

See sublevel guidance.

Statement

All components must be independently deployable.

Rationale

Independently deployable components do not have any dependencies on other components. This is often unattainable because components are often aggregations of lower-level components. Exceptions to this rule can occur if the relationships between components:

- Are well-defined and well thought out
- Are carefully managed
- Are externally configurable

Derived From

Justifies

Referenced By

Implement a Component-Based Architecture

Acquisition Phase Development

Evaluation Criteria **1. Test** *Is the component dependent on other components?*

Procedure {Place the procedure to follow to evaluate the test question

here. The procedure can be multiple steps}

Statement

Components should expose functionality through a set of services.

Rationale

By exposing discrete units of functionality as services, business and data integrity remain intact. A service receives a request, processes it, and returns the result to the requester as a single operation.

Derived From

Justifies

Referenced By

Implement a Component-Based Architecture

Acquisition

Phase

Evaluation Criteria

Development

1. Test Are there WAR files that contain the component?

> **Procedure** Check for the occurrence of .war files.

Examples None.

Are there **WSDL** files that define the services? 2. **Test**

> **Procedure** Check for the occurrence of .wsdl files.

Statement Access the database only through <u>open-standards</u> interfaces to promote database

independence.

However, even if you use a standard API, you can still write non-portable code if you use non-ANSI-compliant SQL. Using non-ANSI-compliant SQL causes

vendor lock-in and makes interoperability difficult.

Derived From

Justifies [G1211], [G1212]

Referenced By

Acquisition

Decouple from Applications

Phase

Evaluation See sublevel guidance to evaluate this guidance.

Criteria

Statement Add version numbers/ identifiers to all public interfaces that will be shared between

projects or groups.

Rationale Assigning versions is necessary when determining compatibility between the

<u>interface</u> and its <u>consumer</u>. Versioning public interfaces allows all parties to track the evolution of the interface for backward compatibility. This can help consumers

plan for integration and migration.

Derived From [G1004]

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria

Development

1. Test Ensure that version information can be identified. Does the

code contain versioning information? It is important to have the version information in the shared public interface code because it identifies the actual interface that consumers of the interface will be coding to. Another benefit is that it allows tools to automatically generate the documentation so it does not need to be in two places.

Procedure For Java, check for @version javadoc tag.

For other languages, and Java, check to see if the code is annotated using XML tags or language-specific tags that

support versioning.

Statement Deprecate old versions of publicly shared interfaces and do not remove them until a

specified time period has passed, as defined by the project document for deprecating

obsolete interfaces.

Rationale By deprecating instead of removing interfaces, development teams can plan for

software migration and continue to run the software with existing deprecated

interfaces.

Derived From [G1004]

Justifies

Referenced By

Acquisition

Phase

Evaluation Criteria Development

1. Test Are old versions of public interfaces marked as

deprecated?

Procedure Check the SCM logs of public interface files to ensure that

old interface functionality has not been removed.

Statement A project must provide additional documents that describe plans and procedures that

can be used to evaluate the project's compliance.

Rationale To ensure a <u>NESI</u> evaluation can be performed, these documents must be provided.

Derived From [G1004]

Justifies [G1213], [G1214], [G1215], [G1216]

Referenced By Public Interface Design

Acquisition Phase

Development

Evaluation

See sublevel guidance to evaluate this guidance

Criteria

Statement

Create fully insulated classes.

Rationale

Data members should not be public.

Do not expose implementation details of a class. For instance, information such as the use of a link list or **hashtable** in a class should not be exposed (i.e. made public).

Making implementation details public creates interdependencies between the class and its users, subjecting the users to changes in implementation. Therefore, access should only occur via public interface methods. This makes the implementation more robust, because all data can be validated when assigned new values or the changes can be logged.

Derived From

Justifies

Referenced By

Public Interface Design

Acquisition

Phase

Evaluation Criteria Development

1. Test Do instance variables have public access or are they more

accessible than necessary?

Procedure Check that the instance variable in classes does not have

public access unless it is static and final.

Examples None

2. Test *Does the class provide direct access to internal data via*

pass by reference?

Procedure Check to make sure that the methods that access the

internal state do not return a reference to the internal data.

Statement

Insulate public interfaces from compile-time dependencies.

Rationale

There are three distinct advantages to separating interface from implementation:

Multiple interested parties (COIs) can develop the interface and publish it to the user community ahead of any specific implementation. This allows groups to work independently and in parallel.

- It prevents multiple copies of the defining interface. Duplicating the code for the interface in each implementation (library, jar, and assembly) makes it difficult to maintain, especially as the interface evolves.
- It insulates developers from the constant changes in implementation.

Derived From

Justifies

Referenced By

Publish and insulate public interfaces, Public Interface Design

Acquisition Phase

Evaluation Criteria

Development

1. **Test** Is the packaging or deployment of the public interface self-

contained and isolated to only the public interface(s)?

Procedure Check to make sure that the jar, library, assembly, and

WSDL only contain the agreed-upon public interface

(interfaces being shared externally).

Examples None

2. **Test** Does the container (jars, libraries, assemblies, WSDL)

contain files other than the interface?

Procedure Check to make sure the library does not include or rely

> upon any other files such as resource files, properties files, configuration files, other libraries, xml files, and so on that

would force the repackaging of the public interface.

Examples None

3. Test Are there any outside influences that could affect the

packaging of the public interface?

Procedure Check the public interface for dependence on resource

files, properties files, configuration files, XML files, and

other libraries or packages.

Statement

All source code developed with DoD funding must be internally documented.

Rationale

Well-documented source code is easier to maintain and enhance over time. It is hard enough to get documentation about software and to keep it up to date. If the documentation is not internal to the source code, the chances that the software is current and up-to-date decreases. In recent years, the trend has been to generate external documentation about the software by processing the source code and comments (e.g., JavaDoc).

In addition to documenting the functionality of the source code, it is important to capture the configuration control information (e.g., CVS).

Derived From

Justifies

Referenced By

Standard Interface Documentation

Acquisition Phase

Evaluation

Criteria

1

Development

1. Test Do all the source code files have a header that includes a

statement protecting government rights to the source code

and the right to change the source code?

Procedure Scan each file and make sure the header includes a

statement that protects the government's right to use, modify, and share the information with other government

departments and agencies.

Examples None

2. Test *Do all the source code files have a header that includes*

configuration information?

Procedure Scan each file and make sure the header also includes

configuration management information such as author, date created, and a history of modifications and versions.

Examples None

3. Test Do all the source code files have internal documentation

for attributes, methods that can be processed by a

computer?

Procedure Scan the source files and make sure they are internally

documented with tags such as JavaDoc or XML tags.

Statement

Use a standard GUI component library.

Rationale

A predefined component library helps control cost and configuration. Licensing issues can be resolved before development begins, and component costs are minimized by avoiding library overlap.

Now that component architecture is standard, it is possible to put together applications using a variety of components from multiple vendors. These components are bundled in third-party toolkits that vastly extend the range of options available in standard Windows or Java GUI toolkits. These toolkits are in common use and possess a wide variety of pre-built components. Almost all support common look-and-feel (e.g., Windows or Java).

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria **Thick Clients**

Development

1. Test Does the user interface code use any other toolkits besides

a Standard GUI Toolkit?

Procedure Check to make sure the thick-client code is developed

using the Swing/AWT library in Java, and the standard,

included Windows Toolkit In .NET.

Statement

Architect applications to cleanly separate the presentation, business, and data layers.

Rationale

This guidance applies to all application types, from thick-client standalone applications to distributed <u>web applications</u>. Clean separation between presentation, business, and data layers will allow the application to be easier to maintain and more reusable.

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria

Development

1. Test

Presentation layer:

Check that the presentation layer does not access the data layer directly.

Check the presentation layer for the presence of <u>business</u> <u>logic</u>.

Business layer:

Check to make sure the business does not contain any GUI code.

Make sure access to the data layer is insulated to data access interface.

Data layer:

Check to make sure the data layer does not contain GUI code.

Check to make sure the data layer does not contain business logic.

Procedure

Presentation layer:

Check the presentation layer for <u>JDBC</u>, <u>SQL</u>, or <u>ODBC</u> code.

Make sure code such as specialized data processing algorithms, or code that manages workflow is not in the presentation tier.

Business layer:

Check the business layer to make sure it does not import GUI libraries or GUI components.

Make sure database code such as SQL and JDBC are isolated using Data Access Pattern; data tier code should

not proliferate throughout the middle tier.

Make sure Value Object Pattern is used for data transfer between the middle and data layer.

Data layer:

Make sure the data layer is not responsible for generating GUI code.

Make sure the data layer does not perform any business logic. Look for use of <u>stored procedures</u>.

Validate all input fields. Statement

Errors should be detected as close to point-of-data-entry as possible. This greatly Rationale

enhances the end-user experience and reduces frustration. This can be done by reducing the number of freeform text fields and using selection mechanisms such as radio buttons, option boxes, pull down lists, maps, calendars, clocks, slider bars,

and other numeric validation entries.

Derived From

Justifies

Presentation Tier Referenced By

Development

Acquisition

Phase

Evaluation Criteria

1. **Test** Do the GUI screens use non-freeform text entry fields?

> **Procedure** Scan the GUI code looking for the use of non-freeform text

data entry mechanisms.

Statement Code must not deviate from <u>W3C standards</u> or use vendor-specific add-on features.

Rationale Code cannot be browser-independent if vendor-specific add on features are used. Vendor-specific add-on features reduce the portability and <u>interoperability</u> of the

code. Vendor-specific <u>API</u> (s) can cause vendor lock-in and in many cases can also cause version lock-in. Following the W3C standard avoids these problems.

Derived From

Justifies

Referenced By

Acquisition

GUI Design

Development

Acquisition Phase

Evaluation Criteria

1. Test Does the code adhere strictly to the W3C standards?

Procedure Check to make sure there is no vendor-specific code.

Decouple the graphical style from the content format. Statement

Makes it easy to change the style for the entire site. Rationale

Derived From

Justifies

GUI Design, Look Aspects Referenced By

Development

Acquisition

Phase

Evaluation

Criteria

1. **Test** Do all web document HTML, JSP, ASP, and CSS follow

the Disability Act guidelines?

Procedure Check to make sure all web documents follow the

guidelines.

Examples None

2. Is the "align" attribute used in any of the HTML tags? Test

> **Procedure** Search all web pages and make sure there are no "align"

> > attributes in any tags.

Examples

Correct usage: In a style sheet: h1{text-align:center;}

Incorrect usage: In a web page:

<h1 align="center">NESI</h1>

Statement Web documents shall comply with Disability Act guidelines.

Rationale These guidelines benefit all communities of interest.

For more information, see http://www.section508.gov

or

http://www.w3.org/TR/WAI-WEBCONTENT/

Derived From

Justifies

Referenced By GUI Design

Acquisition Phase

Evaluation Criteria

Development

1. Test Do all web document <u>HTML</u>, <u>JSP</u>, <u>ASP</u>, and <u>CSS</u> follow

the Disability Act guidelines?

Procedure Check to make sure all web documents follow the

guidelines.

Use available validation tools to validate Section 508

accessibility and WAI accessibility. Go to

http://www.contentquality.com/Default.asp to validate the

page.

Statement Define <u>XML</u> format information separately in <u>XSL</u>.

Rationale XML documents should be free of any presentation information and should only

contain data. Separating presentation data from content allows multiple

presentations for the same content data.

Derived From

Justifies

Referenced By
Acquisition

XML Rendering
Development

Acquisition

Phase

Evaluation 1. Test Check for presentation information in XML documents? Criteria

Procedure Does the XML document contain only data?

If the XML document is not an \underline{XSLT} document, does it

contain presentation information?

Statement Do not use <u>ActiveX</u> controls.

Rationale Browser incompatibility poses serious security risk, because it does not run inside a

sandbox. ActiveX controls are like <u>applets</u>, except they are not restricted by a sandbox and can access client machine resources such as the hard disk directly.

This makes them very dangerous.

Derived From

Justifies

Referenced By Active Server Pages (ASP)

Acquisition Phase Development

Evaluation Criteria **1.** Test Does the ASP use any ActiveX controls?

Procedure Check for Active X controls inside web pages.

Statement In <u>ASP</u>, isolate the presentation tier from the middle tier using <u>COM</u> objects.

Rationale This is the best way to isolate the presentation tier from the middle tier in ASP.

Derived From [G1058]

Justifies

Referenced By Active Server Pages (ASP)

Acquisition

Phase

Development

Evaluation

Evaluation Criteria

1. Test Is all the middle tier code isolated from the presentation

tier in ASP via COM?

Procedure Verify that ASP files do not contain middle-tier code.

Instead, this code should be in COM objects referenced

from the ASP.

Statement Use the code-behind feature in ASP.NET to separate presentation code from the

business logic.

Rationale Separating presentation code from business logic allows the developers and content

designers to work independently. It also makes the code more maintainable because changes in the design elements or business elements do not affect each

other.

Derived From

Justifies

Referenced By Active Server Pages for .NET (ASP.NET)

Acquisition

Phase

Evaluation Criteria Development

1. Test Is there code in ASP pages?

Procedure Check to make sure that ASP files have the code-behind

attribute in the first line instead of embedded C# code in

the ASP.

Statement Do not embed HTML code in any code-behind code used by aspx pages.

Rationale Intermixing VB or C# or C++ with presentation code (HTML) makes the code

unnecessarily difficult to maintain by both the developer and designer. This is

similar in concept to Java's not embedding HTML code in servlets.

Derived From [G1058]

Justifies

Referenced By Active Server Pages for .NET (ASP.NET)

Acquisition

Phase

Development

Evaluation 1. Test Check for HTML code in code-behind code. Criteria

Procedure Check the code-behind file (.aspx.vb for example) for

any HTML tags.

Statement Use a fully qualified, registered <u>namespace</u> with identity information for all custom

controls.

Rationale ...NET allows users to create a custom control from a web page. This allows the

custom web page to be reusable just like a GUI control. This feature is great; however, users must fully qualify their controls to prevent namespace collisions.

Derived From

Justifies

Referenced By Active Server Pages for .NET (ASP.NET)

Acquisition Phase Development

Evaluation Criteria

1. Test Does the <u>ASP</u> register its identity?

Procedure Check the .aspx file and make sure there is a statement

to register the custom control. Look for something similar

to

Statement Specify a versioning policy for <u>.NET</u> assemblies.

Rationale Versioning assemblies and configuring dependent assemblies allow the Common

<u>Language Runtime</u> (CLR) to load the proper assemblies at runtime for your application. This insulates the application from system configuration changes.

Derived From

Justifies

Referenced By Active Server Pages for .NET (ASP.NET)

Acquisition

Phase

Evaluation Criteria Development

1. Test Does the application assembly have versioning

information?

Procedure Check the application assembly manifest for versioning

information.

Use the .NET configuration tool to check for versioning

policy and versioning information.

Statement

Use the Model, View, Controller (MVC) pattern to decouple presentation code from other tiers.

Rationale

Separating data-layer code from presentation-layer code provides the ability to base multiple views on the same model. This is especially important in the enterprise model because often, the user interface varies with the device (browser, mobile phone, thick client, etc.).

Isolating different layers allows changes to occur in each layer without impacting other layers. For instance, if the data layer (model) decides to switch databases, the changes are isolated to the data layer and do not affect the view layer or controller layer.

Lastly, because MVC architecture enforces separation between presentation, processing, and data layer, this allows functionality to be loosely coupled and therefore more suited for reuse.

Derived From

Justifies

[G1050], [G1053]

Referenced By

Acquisition Phase

Development

Evaluation Criteria

1. Test *Does the application use a Model 2 (MVC) pattern?*

Procedure

Check to see if all requests are being mapped to a single

controller servlet.

Check that all page rendering are being done by a <u>JSP</u> and not a sorver

not a <u>servlet</u>.

2. Test

Does the application enforce clear separation between data layer (model), presentation layer (view), and

middle/business layer (controller)?

Procedure

Check to make sure the application presentation is not

accessing the database directly.

Check to make sure the application data layer (model) is not implementing business logic (store procedures).

Check to make sure the middle/business layer (controller) does not contain presentation code. For example, make sure servlets do not generate HTML.

Make sure access to the database is isolated to Data Access Object instead of proliferated throughout the middle layer.

Statement Encapsulate Java code that is used in <u>JSP</u> (s) in tag libraries.

Rationale Separating code from presentation allows developers and designers to work

independently. It makes the code reusable and more maintainable because it is

defined in a tag library.

Derived From

Justifies

Referenced By Java Server Pages (JSP)

Acquisition Phase Development

Evaluation Criteria 1. Test Do the JSP pages use tag libraries?

Procedure Look through the JSP pages for embedded Java source

code.

Statement Connections to the enterprise (e.g., <u>LDAP</u>, <u>JNDI</u>, <u>JMS</u>, databases) should use

vendor-neutral interfaces.

Rationale Increases portability and maintainability. Many of the newer connection

mechanisms are vendor-neutral. Use these instead of isolation design patterns or

vendor-specific connection mechanisms.

Derived From [G1007]

Justifies

Referenced By [G1239], Java Naming & Directory Interface (JNDI)

Acquisition Phase Development

Evaluation Criteria

1. Test Is the connection mechanism vendor-neutral?

Procedure Examine the source code for vendor-specific imports or

includes. Make sure only standard APIs are used.

Statement Isolate vendor extensions to enterprise-services standard interfaces.

Rationale Vendor extensions are convenient, but help create "vendor lock" and reduce vendor

neutrality and migration. It is best to avoid these extensions altogether. If that is not

possible, then isolate them in an <u>adapter</u> or a wrapper-like construct.

Derived From [G1008]

Justifies

Referenced By

Acquisition

Phase

Evaluation Criteria Development

1. Test Are vendor extensions to enterprise services used?

Procedure Make sure that no vendor-specific code is included or

imported except as part of an adapter or wrapper.

Statement

Document the use of non-J2EE-defined deployment descriptors

Rationale

Deployment descriptors that are not defined by the J2EE specification are not portable between <u>application servers</u>. For example, BEA WebLogic has a vendor-specific deployment descriptor called **weblogic-ejb-jar.xml** and JBoss has a vendor specific deployment descriptor called **jboss-jar.xml**.

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria

J2EE environment

Development

1. Test

Are all the XML files that are not part of the J2EE specification identified in a delivered document?

Procedure

Search all XML documents in the META-INF and WEB-INF directories and identify any XML files that are not defined by J2EE. These files should be found in a README or other delivered file that describes their purpose.

Web application

WEB-INF/web.xml

EJB JAR

META-INF/ejb-jar.xml

J2EE Connector

META-INF/ra.xml

Client application

META-INF/application-client.xml

Enterprise application

META-INF/application.xml

Statement <u>J2EE</u> applications should isolate tailorable data values into the <u>deployment</u>

descriptor.

Rationale Do not hard-code tailorable data into source files. The standard location for

tailorable data for J2EE applications is in deployment descriptors. Developers should not reinvent the wheel of creating a non-standard mechanism for retrieving configurable data. Tailorable data is made accessible through application contexts

that are provided by the application container (J2EE application server).

Derived From

Justifies [<u>G1200</u>], [<u>G1201</u>]

Referenced By

J2EE environment, Java Naming & Directory Interface (JNDI)

Acquisition Phase Development

Evaluation Criteria

See the evaluation criteria for the guidance statements that this guidance statement

justifies.

Statement Web-service environments should adhere to the Web Services Interoperability

Organization (WS-I) standards for Basic Profile.

Rationale Most of the <u>COTS</u> web service products have already met this requirement. This is

intended to cause a rejection of the non-standard web server.

The WS-I standards for Basic Profile can be found at WS-I Org Basic Profile and

at the Microsoft site, Microsoft Basic Profile.

Derived From

Justifies

Referenced By WS-I Compliance

Acquisition

Phase

Development

Evaluation Criteria **1. Test** Is the web service product WS-I compliant?

Procedure Identify the web-service product being used, and verify

through a literature search that it is WS-I compliant.

Statement

Use the document literal style for all data transferred using <u>SOAP</u> where the document is a W3C Organization's Document Object Model (DOM).

Rationale

The document literal style requires that the input and output parameters to a web service be defined as W3 Organization Documents that follow the Document Object Model (DOM). The DOM acts as a contract between the <u>producer</u> and the <u>consumer</u> of the web service that is formal, well-defined, and rigorous. By validating the DOM against an <u>XML</u> Schema Definition (<u>XSD</u>), any discrepancies in the interface can be resolved.

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria SOAP (Middle Tier), WS-I Compliance

Development

1. Test Does the <u>WSDL</u> define input, output, or returned

parameters as W3 Organization Documents that follow the

Document Object Model (DOM)?

Procedure Review all WSDL files used to describe a web service, and

make sure they only pass documents. Document types

should be xsd:anyType.

Statement Do not pass Web Services Interoperability Organization's (WS-I) Document Object

Model (DOM) documents as strings.

Rationale Because of the relative simplicity of converting an <u>XML</u> document to a string, it is

easy to pass an entire document as a string rather than as an XML document. This can cause problems if the document contains tags that are similar to the tags used in the <u>SOAP</u>. Passing it as an XML document ensures that the document is treated

as a single entity.

Derived From

Justifies

Referenced By WS-I Compliance

Acquisition Phase

Development

Evaluation Criteria **1.** Test Does the <u>WSDL</u> define input, output, or returned

parameters as strings?

Procedure Review all the WSDL files used to describe a web service

and make sure that they only pass documents, not strings.

Document types should be **xsd:anyType**.

Statement: Documents transferred using <u>SOAP</u> should be validated against the <u>WC3 XML</u>

Standard 1.0 by a XML Schema Definition (XSD) defined by the Community of

Interest (COI).

Rationale: Numerous <u>COIs</u> are defining data that is specific to their needs. Many are

capturing the data exchange requirements through XML schemas. COI information

services definitions identify the schema appropriate. **SOAP** web service

implementations per the COI should be faithful to these requirements. Use of COI

schemas will minimize the risk to interoperability.

For example, the Joint Air and Missile Defense (JAMD) COI is working in

accordance with the DoD Network Centric Data Strategy.

Derived From

Justifies

Referenced By Data Overview, Family of Interoperable Operational Pictures (FIOP), SOAP,

WSDL

Acquisition

Phase

Development

Evaluation Criteria:

1. **Test:** Has the Program adopted <u>COI</u> (community of Interest)

data schemas?

Procedure: Check DoD Metadata Registry for the COI schemas to

compare to program WSDL references. Check code for

validation processing.

Statement: All DoD Programs should be assigned a <u>registered namespace</u> in the <u>XML Gallery</u>

in the DoD Metadata Registry.

Rationale: The assignation of a unique <u>registered namespace</u> permits a project to be uniquely

identified and categorized which avoids name collisions and conflicts. The DoD's Net-Centric Data Strategy requires that data products be stored in shared spaces to provide access to all authorized users and that these data products be tagged with metadata to enable discovery of data by authorized users. The use of a unique registered namespace provides an absolute identifier to products associated with a

particular product and is an XSD schema requirement.

Derived From

Justifies

Referenced By Data Overview, WSDL

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Check the <u>DoD Metadata Registry for the program</u>

assigned namespace?

Procedure: Check DoD Metadata Registry to determine whether

program is associated with **COI** (s).

Statement All published WSDL (Web Services Definition Language) files should use a method

of defining the Document Literal style for parameters that is interoperable across

web service vendors.

Rationale There are subtle differences between the ways web-service vendors handle the

document literal style. The method in which they define the Document Literal style within the WSDL can introduce incompatibilities that cause problems during ports

between vendors.

Derived From

Justifies

Referenced By WSDL

Acquisition Phase

Development

2.

Evaluation Criteria **1. Test** Are all the types used to pass documents into and out of the

web service xsd:anyType?

Procedure Examine the WSDL file input parameters and return the

parameters' element type to make sure they are defined as

xsd:anyType.

<schema

Examples The Axis WSDL code snippet below is an example of how to resolve interoperability issues. It modifies the WSDL

file schema definition section and changes the argument element type to **xsd:anyType**.

```
<!- WSDL snippet from Axis for Document Literal
Style. ◊
<wsdl:types>
```

<!- . . . Some code removed for brevity \(\) <element \(\) name="in0"

type=" apachesoap:Document"/>
<element</pre>

name="getCelestialInfoReturn"
type=" apachesoap:Document"/>

</schema> </wsdl:types>

<!- WSDL snippet from Axis for Document Literal

Style.
<wsdl:types>

<schema

<!- . . . some code removed for brevity \Diamond <element

name="in0"
type="xsd:anyType"/>

<element
name="getCelestialInfoReturn"</pre>

type=" xsd:anyType"/>
</schema>

Test Are XML documents passed as strings?

Procedure Examine the code or the SOAP message to ensure the the

document is not passed as a string.

Examples Passing the result of a report as a string (INCORRECT):

<soapenv:Body>
 <getCelestialInfoReturn</pre>

```
xmlns="urn:CelestialInfoDocDoc"
     xmlns:xsd="http://www.w3.org/2001/XMLSchema"
     xsi:type="xsd:String">
     <CelestialInfoRpt xmlns=""&gt;
      <description&gt;
       DOC-DOC: Results returned from :
       Softology01 (192.168.2.4)
      </description&gt;
      <moonrise&gt;2004-07-12 1:59 AM
  PDT</moonrise&gt;
      <moonset&gt;2004-07-12 4:22 PM
  PDT</moonset&gt;
      <sunrise&gt;2004-07-12 5:50 AM
  PDT</sunrise&gt;
      <sunset&gt;2004-07-12 7:58 PM
  PDT</sunset&gt;
     </CelestialInfoRpt&gt;
    </getCelestialInfoReturn>
   </soapenv:Body>
Passing the result of a report as XML (CORRECT):
   <soapenv:Body>
    <getCelestialInfoReturn</pre>
     xmlns="urn:CelestialInfoDocDoc"
     xmlns:ns1="http://xml.apache.org/xml-soap"
     xsi:type="ns1:Document">
     <CelestialInfoRpt xmlns="">
      <description>
       DOC-DOC: Results returned from :
       Softology01 (192.168.2.4)
      </description>
      <moonrise>2004-07-12 1:59 AM PDT</moonrise>
      <moonset>2004-07-12 4:22 PM PDT</moonset>
      <sunrise>2004-07-12 5:50 AM PDT</sunrise>
      <sunset>2004-07-12 7:58 PM PDT</sunset>
     </CelestialInfoRpt>
    </getCelestialInfoReturn>
   </soapenv:Body>
```

Statement

 $Validate\ all\ \underline{WSDL}\ (\underline{Web\ Services\ Definition\ Language})\ files\ that\ describe\ \underline{web}$

services.

Rationale

Manually editing a <u>WSDL</u> file is error-prone, work-intensive, and hard to maintain. However, if the user wants to do it, there is no way to detect a manually edited file from one that was auto generated. The important thing is not how the <u>WSDL</u> file is generated but rather that the <u>WSDL</u> file is valid. It must be validated with a <u>WSDL</u> validator.

Note: Not all WSDL files that are generated and valid are necessarily

interoperable.

Derived From

Justifies

Referenced By Web Services, WSDL, Insulation and Structure

Acquisition Phase Development

Evaluation Criteria 1. Test Can the <u>WSDL</u> file be validated?

Procedure Download a validation tool and test WSDL files.

Tool at ws-i.org:

http://www.ws-

i.org/deliverables/workinggroup.aspx?wg=testingtools

Tool at eclipse.org:

http://dev.eclipse.org/viewcvs/indextech.cgi/wsvt-

home/main.html?rev=1.20

Tool at xMethods.net:

http://xmethods.net/ve2/Tools.po

Tool at pocketsoap.com:

http://pocketsoap.com/wsdl/

Statement Use isolation design patterns such as <u>façade</u>, <u>proxy</u>, or <u>adapter</u> to isolate the

application from the connection and manipulation of SOAP messages.

Rationale Insulating web-services (network)-specific code using standard abstractions such

as a proxy object or an adapter will insulate the application from changes in webservice code and make the code easier to maintain, because it is centrally located.

Derived From

Justifies

Referenced By Web Services, SOAP (Middle Tier), Insulation and Structure

Acquisition Phase

Development

Evaluation 1. Te

1. Test Are web service calls inside of the application code?

Procedure Check for proliferation of web service calls inside an

application.

Examples None

2. Test *Are web service calls isolated in a single adapter or proxy*

object?

Procedure Check to see if all web service calls are isolated to a single

adapter or proxy object.

Examples None

3. Test *Are SOAP-client calls inside the application code?*

Procedure Check to see if SOAP-client code is proliferated inside the

application code?

Statement

Do not hard-code a web service's endpoint.

Rationale

This causes unnecessary dependencies between the client code and the web service

that it uses.

Sometimes hard-coding may be unavoidable. For example, many tools provided by web service vendor's hard-code the web service's URL in the generated client-side helper classes.

Derived From

Justifies

Referenced By

Web Services

Acquisition Phase

Development

Evaluation Criteria 1. Test

Are there any hard-coded URLs in the client-side code?

Procedure

Parse the client code looking for hard-coded URLs.

Examples

The Java code samples below illustrate how this might be done. The first sample shows parameters that are hard-coded; the second sample shows how parameters and webservice endpoints are insulated.

1. Hard-coded parameters:

```
// Sample code that has hard-coded parameters
// before applying insulation
public static void main
  ( String[] args
  ) throws Exception
{ //The SOAP endpoint
  String sSoapEndpoint
     = "http://live.capescience.com:80"
       + "/ccx/AirportWeather";
  AirportWeatherClient myProxy = null;
  try
  { myProxy
     = AirportWeatherClientFactory.create
        ( sSoapEndpoint);
   System.out.println
     ("Location: "
     + myProxy.getLocation(args[0])
     );
   //rest of code removed for brevity
  } // End try
  Catch ( Exception exception )
  { System.out.println("Error: " + exception);
  } // End catch
};//end of main program
```

- 2. Insulated parameters and web-service endpoints
 - a. Property file this code shows the property file itself:

```
/* Property file: property.dat */
targetUrl=http://198.253.106.75/
   b. Proxy sample code
// Sample code that has parameters and
// web service connection through helper
// methods after applying insulation
```

```
public interface airportWeatherProxy
{ public abstract String getLocation();
  // other public API's removed for brevity
} // End airportWeatherProxy
    c. Client sample code:
import java.io.*;
import java.rmi.*;
import java.util.*;
import AirportWeatherClient; // auto-generated
                              // client from IDE
public class WeatherProxy
  implements airportWeatherProxy
 11
//code removed for brevity
public WeatherProxy
  ( String propFileStr )
 { try
   { getEndPoint(propFileStr);
} // End try
   catch(Exception e)
   { // Handle exception here
} // End catch
  connect2SOAP();
 }// End constructor
 /* public api's */
public String getLocation()
 { return location;
 } // End getLocation
   . . . // Other public API's removed for
brevity
private void getEndPoint
  ( String propsFile )
  throws Exception
 { if ( propsFile == null || propsFile.length()
== 0 )
   { throw new Exception
       ( "SOAP EndPoint parameter not
defined");
   } // End if
   props = new Properties();
   try
   { InputStream is = new
FileInputStream(propsFile);
    props.load(is);
    is.close();
    } // End try
    catch ( Exception exception )
    { throw new Exception
        ( "can't read props file " +
propsFile);
    } // End catch
    Enumeration enum = props.propertyNames();
    while ( enum.hasMoreElements() )
    { String endPointString = null;
      String propName =
enum.nextElement().toString();
      if ( propName.equals ( endPointString ) )
     { soapEndpoint = props.getProperty(
propName );
      break;
     } // end if
    } // End while
  }//end getEndPoint
private void connect2SOAP()
 { try
   { myProxy
```

```
= AirportWeatherClientFactory.create
          ( soapEndpoint );
       . . //code removed for brevity
   } // End try
   catch ( Exception exception )
   { System.out.println
       ( "Error connecting to SOAP server: "
          + exception
   );
} // End catch
 } // End connect2SOAP
 private Properties props = null;
  private String propsFile = null;
 private AirportWeatherClient myProxy = null;
 private String soapEndpoint = null;
private String location = null;
}//end WeatherProxy
public class Weather
{ private static WeatherProxy myWeatherProxy =
null;
  public static void main
    ( String[] args
    ) throws Exception
  { try
    { myWeatherProxy = new WeatherProxy (
args[0] );
    } // End try
    Catch ( Exception exception )
    { throw new Exception
       ( "can't connect to SOAP server");
    } // End catch
    System.out.println
      ( "Location: "
       + myWeatherProxy.getLocation()
    . . . //code deleted for brevity
  }//end main
}//end Weather
```

Statement Do not hard-code <u>web service vendor</u> specifics.

Rationale Some web-service vendors add dependencies to their products and services, which

can reduce portability and increase the cost of porting to other web-service

vendors.

Derived From

Justifies [G1236], [G1237]

Referenced By <u>Insulation and Structure</u>

Acquisition

Development

Phase

See sublevel guidance to evaluate this guidance.

Evaluation Criteria

Statement Web services must handle <u>SOAP</u> exceptions and <u>SOAP</u> faults.

Rationale SOAP exceptions are raised when there are connective problems or violations in

the SOAP protocol between the client and the server.

Derived From

Justifies [G1095]

Referenced By Web Services, Error Handing, SOAP

Acquisition Phase

Development

Evaluation Criteria **1. Test** *Does the web application client have exception handlers*

for SOAPExceptions?

Procedure Check to see that the web application client has an

exception block specifically for **SOAPException**.

Examples None

2. Test *Does the web application client test the SOAP response for*

a fault?

Procedure Verify the web application client handles a true value

returned from the response.generatedFault

method.

Statement Application code exposed as a <u>web service</u> should catch all exceptions.

Rationale Any exception can reveal system internals and thus compromise security. Also,

internal exceptions are not user friendly.

Derived From

Justifies

Referenced By

Acquisition Phase Error Handing
Development

Evaluation Criteria 1. Test Does each exposed web method catch all possible

exceptions and re-throw a declared application exception?

Procedure Verify that each exposed web method has an exception

block that catches all possible exceptions and then rethrows them as a declared application exceptions.

Examples None

2. Test *Does each exposed web method catch all possible runtime*

exceptions and re-throw a declared application runtime

exception?

Procedure Verify that each exposed web method has an exception

block that catches all possible exceptions and then rethrows them as a declared application exceptions.

11

Statement Use <u>W3C</u> fault codes for all <u>SOAP</u> faults.

Rationale Having predefined and accepted fault codes allows consumers to handle SOAP

faults appropriately without prior knowledge of custom fault codes.

Derived From [G1093]

Justifies

Referenced By

Web Services, Error Handing, SOAP

Acquisition

Development

Acquisition

Phase

Criteria

Evaluation 1. Test Does the web application throw fault codes from the

accepted list of fault codes?

Procedure Verify that each fault code thrown by the web application

is from the accepted list of SOAP fault codes defined by

the W3C.

Statement

Use web services to bridge J2EE and .NET.

Rationale

The easiest and best way to bridge J2EE and .NET is to define a web service.

There are other ways to bridge J2EE and .NET using <u>COTS</u> products. If used, these should follow the <u>ANSI</u> Abstract Syntax Notation One (ASN.1) standard

http://asn1.elibel.tm.fr/en/standards/index.htm#asn1.

ASN.1 is a formal notation for describing data transmitted by telecommunications protocols. It applies regardless of language implementation, physical representation of this data, application, and degree of complexity.

(http://asn1.elibel.tm.fr/en/introduction/index.htm).

Derived From

Justifies

Referenced By .<u>NET Framework</u>

Acquisition Phase

Development

Evaluation Criteria **1. Test** *Are Java and .NET files in the project?*

Procedure Look for files with the .java, .class, .obj, .cs, .cc, or .c

extensions existing with the source code.

Statement Isolate topic and queue names by not hard-coding them in <u>client</u> code.

Rationale Since topics and queues are vendor-specific, maintain portability by isolating the

hard-coded topics and queues from the rest of the application. To do this, use

helper classes or property files.

Derived From

Justifies

Referenced By Message-based Applications

Acquisition

Phase

Evaluation Criteria Development

1. Test Does the client code use hard-coded topics and queues in

unisolated places in the application?

Procedure Verify that all occurrences of hard-coded topics and

queues are in isolated locations within the source code.

Statement

Localize **CORBA**-vendor-specific source code into separate modules.

Rationale

The general guidance is to minimize CORBA vendor-specific source code, while recognizing that vendor-specific features are necessary in certain circumstances. However, isolating vendor-specific code reduces maintenance effort.

Vendor capabilities tend to change more rapidly than CORBA-standard specifications. Experience shows that vendor updates frequently require modification to application source code, due to changing vendor interface conventions. These modifications impose vendor-version-specific constraints on the application, thereby complicating maintenance.

Example

Encapsulating CORBA ORB operations

The following examples show how to encapsulate binding operations for a C++ ORB, and naming service operations for a Java ORB.

C++ ORB binder template

The code below shows a sample template for binding to the C++ ORB. IONA'S ORBIX was used in this example.

```
ServerBinder.h (Template)
this is a generic binder to ORBIX
*/
#ifndef _BINDER_H_
#define _BINDER_H_
#ifndef IOSTREAM_H
#define IOSTREAM_H
#include <iostream.h>
#endif
#ifndef STDLIB H
#define STDLIB H
#include <stdlib.h>
#endif
template <class SERVERNAME, class VARPTR>
class Binder
{ private:
   char* serverName;
 public:
   Binder(char* svName):serverName(svName){};
   ~Binder(){};
   int bind( VARPTR* p)
   { int attempts = 0, success = 0;
     int maxtries = 5, retval = 0;
     while ( ( attempts < maxtries )</pre>
            && (!success)
     { ++attempts;
       cout << "Binding to server, attempt "
           << attempts
           << endl;
       try
       { (*p) = SERVERNAME::_bind();
         cout << "Bound to server"
             << endl;
         success = retval = 1;
       } // End try
       catch ( CORBA::SystemException &systemException )
       { cout << "SystemException, ServerBinder::bind"
```

```
<< endl
               << systemException;
          success = 1;
          retval = 0;
        } // End catch SystemException
         catch (...)
         { cout << "unknown Exception, ServerBinder::bind"
               << endl;
           success = 1;
           retval = 0;
         } // End catch all
      } //end while
     return retval;
    } //end bind
} //end Binder
#endif
```

Ada ORB binder template for C++

The code below shows a C++ template for binding to an Ada ORB. ORBexpress was used in this example.

```
ada_binder.h (Template)
this is a generic binder to ORBExpress
*/
#ifndef _ADA_BINDER_H_
#define _ADA_BINDER_H_
#ifndef IOSTREAM_H
#define IOSTREAM_H
#include <iostream.h>
#endif
#ifndef STDLIB_H
#define STDLIB_H
#include <stdlib.h>
#endif
template <class SERVERNAME, class VARPTR >
class Ada_Binder
{ private:
    char* adaIorString;
  public:
    Ada_Binder
      ( char* iorString)
       : adaIorString ( iorString )
     {};
     ~Ada_Binder(){};
     int bindToAda( VARPTR* p)
     { int attempts = 0, success = 0;
       int maxtries = 5, retval = 0;
      while ( ( attempts < maxtries)</pre>
              && (!success)
       { ++attempts;
        cout << "Binding to server, attempt "</pre>
             << attempts
             << endl;
        try
        { cout <<"adaIorString:"
               << endl
               << adaIorString
               << endl;
              (*p) = SERVERNAME::_bind(adaIorString);
//can't use string_to_object in this version
//it kills the ada IOR
             CORBA::Object_ptr myptr
11
             CORBA::Orbix.string_to_object
               ( adaIorString );
//
             (*p) = SERVERNAME::_narrow(myptr);
           cout << "Bound to server" << endl;</pre>
           success = retval = 1;
```

```
} // End try
         catch (CORBA::SystemException& systemException)
         { cout << "SystemException, "
                << "AdaServerBinder::bind"
                << endl
                << systemException;
           success = 1;
           retval = 0;
         } // End SystemException
         catch (...)
         { cout << "Unknown Exception, "
                << "AdaServerBinder::bind"
                << endl;
           success = 1;
           retval = 0;
         } // End catch all
      } // end while
     return retval;
    } // end bind
} // end ADA_Binder
#endif
```

Example

Naming service operations for a Java ORB

Java helper class

This example is a helper class, **JavaNamingHelper.java**, that encapsulates CORBA naming service operations for all services to use. We used Java <u>JDK</u> 1.4 ORB to create this example.

```
import java.util.*;
import org.omg.CORBA.*;
import org.omg.CORBA.ORB.*;
import org.omg.CORBA_2_3.ORB.*;
import org.omg.CosNaming.*;
import org.omg.CosNaming.NamingContext.*;
import org.omg.CosNaming.NamingContextPackage.*;
import CBRNSensors.JSLSCAD.*;
public class JavaNamingHelper
{ static NamingContext nameSvc = null;
  static org.omg.CORBA.Object objref = null;
  static JSLSCADSensor myCBRNSensor = null;
  static org.omg.CORBA.Object myobj = null;
  public JavaNamingHelper()
  private static void showNamingContext
    ( org.omg.CORBA.ORB myorb )
  public static NamingContext getNamingSvc
    ( org.omg.CORBA.ORB lclorb,
      String nameSvcName
  { NamingContext lclNameSvc = null;
    try
    { org.omg.CORBA.Object nameSvcObj
        = lclorb.resolve_initial_references
            ( "NameService" );
       // . . . other business logic removed
       //
                for brevity
    } // End try
    catch(org.omg.CORBA.COMM_FAILURE cf)
    \{ \ . \ . \ . \ // \ {
m error \ code \ goes \ here}
    } // End cstch
    catch ( org.omg.CORBA.ORBPackage.InvalidName invalidName)
    { . . . // error code goes here
```

```
} // End catch
    catch ( SystemException systemException )
    { . . .// error code goes here
  } // End getNamingSvc
  public static org.omg.CORBA.Object getObjFromNameSvc
    ( org.omg.CORBA.ORB myorb,
      String targetSensorName
    )
  { . . . // business logic goes here
  } //end getObjFromNameSvc
  public static int setObj2NameSvc
    ( org.omg.CORBA.ORB myorb,
     BasesSensor mySensor,
      String targetSensorName
    )
  {. . . // business logic goes here
  }//end setObj2NameSvc
}; //end class JavaNamingHelper
```

Java server implementation

The code below is a sample Java server implementation that uses the naming service helper class.

```
import java.io.*;
import java.util.*;
import org.omg.CORBA.*;
import org.omg.CORBA.ORB.*;
import org.omg.CORBA_2_3.ORB.*;
import org.omg.PortableServer.*;
import org.omg.CosNaming.*;
import org.omg.CosNaming.NamingContext.*;
import org.omg.CosNaming.NamingContextPackage.*;
class MyServer
{ public static Properties props;
  public static ORB myorb = null;
  public static NamingContext nameSvc = null;
 public static RootSensor mySensor = null;
  public static String propertyFilePath = null;
  public static final String MY_SENSOR_NAME = "MYSENSOR";
  static public void main(String[] args)
  { // handle arguments
    System.out.println(" CORBA Server starting...\n");
    { // Initialize the ORB.
      myorb = ORB.init(args, props);
      //instantiate servant and create ref
      POA rootPOA
        = POAHelper.narrow(myorb.resolve_initial_references
           ( "RootPOA" );
       . . // rest of initialization code goes here
    } // End try
    catch ( org.omg.CORBA.ORBPackage.InvalidName invalidName )
    { . . . //error code goes here
     // End invalidName
    // other exception types to catch go here
    catch ( SystemException systemException)
    { System.err.println ( systemException );
    } // End systemException
    // naming service hookup
   JavaNamingHelper.setObj2NameSvc
      ( myorb, mySensor,
       MY_SENSOR_NAME
      );
    try
    { System.out.println(" Ready to service requests\n");
     myorb.run();
    } // End trv
    catch(SystemException systemException)
```

```
{ System.err.println ( systemException );
} // End catch systemException
} // End static block
} // End MyServer
```

Java client implementation

The code below is a sample client implementation that uses the naming service helper class.

```
import java.io.*;
import java.util.*;
import org.omg.CORBA.*;
import org.omg.CORBA.ORB.*;
import org.omg.PortableServer.*;
import org.omg.CosNaming.*;
import org.omg.CosNaming.NamingContext.*;
import org.omg.CosNaming.NamingContextPackage.*;
import CBRNSensors.*;
import CBRNSensors.JSLSCAD.*;
import CBRNSensors.JSLSCAD.Impl.*;
public class JSLSCADClient
{ public static Properties props;
  public static ORB myorb = null;
 public static String mySensorStr = null;
  private static org.omg.CORBA.Object objref = null;
  // helper class to handle orb connections etc.
  private static void connectToOrb
    ( String args[] )
  { try
    { myorb = ORB.init(args,props);
} // End try
    catch(SystemException systemException)
    { System.err.println
        ( systemException.toString() );
      return;
    } // End catch systemException
    System.out.println("get naming service\n");
    obiref
      = JavaNamingHelper.getObjFromNameSvc
         ( myorb,
          mySensorStr
         );
    sensorObi
      = JSLSCADSensorHelper.narrow(objref);
    try
    { POA rootPOA
        = POAHelper.narrow(myorb.resolve_initial_references
           ( "RootPOA" );
     rootPOA.the_POAManager().activate();
    } // End try
    catch(org.omg.CORBA.ORBPackage.InvalidName invalidName)
    { //error code here
    } // End catch InvalidName
    . . . // other exceptions that may be required
          // for the operations
    catch(SystemException systemException)
    { System.err.println
        ( "System Exception during ops");
      System.err.println
        ( systemException );
    } // End systemException
  } // End connectToOrb
  //helper method to handle orb specific issues
  private static void disconnectFromOrb()
  { . . . // business logic goes here
  } // End disconnectFromOrb
  public static void main
    ( String args[] )
  { // Initialize the ORB.
```

```
System.out.println ( "Initializing the ORB\n" );
      props = new Properties();
       // load property values
      // use helper methods
      connectToOrb ( args );
       { . . . // client business logic goes here
       } // End try
      catch ( Exception exception )
          . . // Exception handling code goes here
       } // End exception handler
      disconnectFromOrb( );
  } // end main
} // end client
[G1008]
```

Derived From

Justifies

[G1202]

Referenced By

CORBA

Acquisition Phase

Development

Evaluation Criteria

The following evaluation criteria relate to non-IDL compiler auto-generated code. Further, the criteria relate to modules which are not annotated to contain vendorspecific code.

1. **Test** Does the module contain vendor names anywhere in code

text?

Review the code looking for a service that can be used to **Procedure**

obtain configuration.

Examples None

2. Are any non-CORBA compliant CORBA:: objects declared **Test**

or defined in the module?

Procedure Review the code for a service that can be used to obtain

configuration.

Statement

Isolate user-modifiable configuration parameters from the CORBA application source code.

Rationale

Configuration parameters control the behavior of the CORBA ORB service environment and client/service processes during startup, execution, and termination. This parameterization allows execution-time control modification without having to rebuild, reinstall, or redeploy.

Configuration defines the state of the client-and-service environment throughout the lifetime of the processes involved. This relates to considerations such as the allocation of threading and resources, POA policies, the instantiation of servants and their invocations, failure and security behavior, connection management, quality of service prioritization, and so forth. The point is that CORBA provides an extremely complex but flexible environment for distributed computing interaction. Consequently, the designer requires flexible guidance to handle this option-rich environment.

Configuration processes and their related parameters fall into two categories. The first involves configuration matters, which are defined to be perpetually static by the system architecture. The second involves matters that are intended to be modifiable by users.

The first category, immutable configuration settings, relates to fundamental underlying assumptions that are foundational for the implementation. These are matters for which no user modification is ever intended as it would lead to unspecified behavior. Consider the example of a service implementation that is programmed to be single threaded. In this case, multi-threading controls are irrelevant and multiple instantiation would lead to dangerous confusion. For immutable configuration parameters, localized and well-commented implementation in the application source code is appropriate.

For user-modifiable configuration settings, there are two further by-design divisions. The first involves configuration settings that are intended to be accessible by distributed processes. The second involves host-specific settings which relate to resources locally available, for which remote access is not desired. These are discussed in the related sublevel guidance

Derived From

[G1204], [G1205] **Justifies**

CORBA Referenced By

Acquisition Phase

Development

See sublevel guidance to evaluate this guidance.

Evaluation Criteria

Statement

Do not modify **CORBA IDL** compiler auto-generated stubs and skeletons.

Rationale

The purpose of the IDL auto-generated stub and skeleton files is to provide a source code facility/mechanism for the developer in a specific language to use the IDL-described object interface in that specific language. The internal content of these files changes with the application's IDL modification, with IDL compiler-environment configuration settings, and with vendor-product compiler and ORB upgrades. By design, these files are not intended to be modified by the application developer. Developer modification of any auto-generated stub or skeleton file will typically lead to very severe maintenance hazards and failed application rebuild results.

The stub files describe the language source-code interface from the client side. Their use involves including the client stub header in the application's call invocation code.

The skeleton files describe the language source code interface from the service implementation side. Their use involves including the skeleton header in the application's operator implementation code. Their use also requires developer modification of a renamed clone of the auto-generated skeleton body file. These techniques are described in every ORB vendor's programming reference manuals.

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria **CORBA**

Development

1. Test

Is any application code contained in the auto-generated

code?

Procedure

Inspect the auto-generated file creation/modification dates to verify that no tampering occurred after the IDL compilation step in the build process.

Examples

The following examples are all based upon a single CORBA IDL interface.

Code

MyIdlInterface.idl

```
interface MyIdlInterface
{
  readonly attribute string version;
  void stop();
  void start();
  string error();
}; // End MyIdlInterface
```

ORBExpress compiler

The ORBExpress IDL compiler generates these files:

- myIdlInterface.h Client-side stub header
- myIdlInterface.cxx Client-side stub implementation
- MyIdlInterface_s.h Abstract servant header
- **MyIdlInterface_s.cxx** Abstract servant implementation
- MyIdlInterface_impl.h Server implementation header
- MyIdlInterface_impl.cxx Server implementation implementation

Note: The only files that should be edited are

MyIdlInterface_impl.h and

MyIdlInterface_impl.cxx. The IDL compiler checks for the existence of the implementation (i.e. _impl) files and will not overwrite them.

MyIdlInterface_impl.cxx

```
// Generated for interface MyIdlInterface
// in myIdlInterface.idl
#include "MyIdlInterface_impl.h"
MyIdlInterface_impl::MyIdlInterface_impl
  ( PortableServer::POA* oe_poa,
    const char* oe_object_id
  ) : POA_MyIdlInterface
        ( oe_object_id,
          oe_poa
\{ \ . \ . \ . \ // \ {\tt TO \ DO: \ add \ implementation \ code \ here}
} // emd constructor
MyIdlInterface_impl::MyIdlInterface_impl
  ( const MyIdlInterface_impl& obj )
  : POA_MyIdlInterface(obj)
{ . . . // TO DO: add implementation code here
} // End constructor
MyIdlInterface_impl::~MyIdlInterface_impl()
\{ . . . // TO DO: add implementation code here
} // End destructor
CORBA::Char* MyIdlInterface_impl::version
  ( CORBA::Environment& _env )
{ return CORBA::string_dup(_version);
} // End version
void MyIdlInterface_impl::stop
   ( CORBA::Environment& _env )
{ . . . // TO DO: add implementation code here
} // End stop
void MyIdlInterface_impl::start
  ( CORBA::Environment& _env )
{ . . . // TO DO: add implementation code here
} // End start
CORBA::Char* MyIdlInterface_impl::error
  ( CORBA::Environment& _env )
{ CORBA::Char* result;
  . . . // TO DO: add implementation code here
  return result;
} // End error
```

Java JDK compiler

The Java JDK IDL compiler generates these files:

- MyIdlInterface.java
- MyIdlInterfaceHelper.java
- MyIdlInterfaceHolder.java
- MyIdlInterfaceOperations.java
- MyIdlInterfacePOA.java
- _MyIdlInterfaceStub.java

Note: Do not edit any of these files. Place the server implementation code in a file that extends from MyIdlInterfacePOA.java. This isolates the ORB implementation and prevents subsequent IDL compilations from accidentally overwriting the files. The code for the auto-generated MyIdlInterfacePOA.java class and the implementation class appears below:

MyIdlInterfacePOA.java

```
/**

* MyIdlInterfacePOA.java .

* Generated by the IDL-to-Java compiler

* (portable), version "3.1"

* from myIdlInterface.idl

*/
public abstract class MyIdlInterfacePOA
    extends org.omg.PortableServer.Servant
    implements MyIdlInterfaceOperations,

org.omg.CORBA.portable.InvokeHandler

{ . . . // rest of the auto-generated code
    removed for brevity
} // End MyIdlInterfacePOA
```

MyIdlInterfaceImpl.java

```
package myIdlImpl;
import org.omg.CORBA.*;
import org.omg.CORBA.ORB.*;
import org.omg.CORBA_2_3.ORB.*;
import org.omg.PortableServer.*;
public class MyIdlInterfaceImpl
  extends MyIdlInterfacePOA
  private String strVersion;
  private String errString;
  public String version ()
  \{\ .\ .\ .\ //\ {\tt implementation}\ {\tt code}\ {\tt goes}\ {\tt here}
    return strVersion;
  } // End version
  public void stop ()
  { . . . // implementation code goes here
} // End stop
  public void start ()
  \{\ .\ .\ .\ //\ {\tt implementation}\ {\tt code}\ {\tt goes}\ {\tt here}\ \} // End start
  public String error ()
  {. . . // implementation code goes here
   return errString;
  } // End error
} // End MyIdlInterfaceImpl
```

Statement

Use the "Fat Operation Technique" in **IDL** operator invocation.

Rationale

This reduces the CORBA messaging overhead. The performance cost of network CORBA messaging is determined by two factors: latency and marshaling rate. Call latency is the minimum cost of sending any message at all. The marshaling rate is determined by the sizes of sending and receiving parameters and of return values.

In the situation of a large number of objects involving objects that hold a small amount of stat, the call latency cost far exceeds the marshalling costs. Taking advantage of this reality, the "Fat Operation Technique" involves constructing structure objects which hold an aggregation of related attributes, and using the resulting structures in operation invocation parameters and returns. This amounts to transferring a larger amount of information with each network transaction.

For more information, see <u>Advanced CORBA Programming with C++</u> by Henning & Vinoski, 1999 Addison Wesley, Chapter 22.

Derived From

Justifies

Referenced By

CORBA

Acquisition Phase

Development

Evaluation Criteria 1. Test

1

Does the IDL contain function calls which have structure objects that are passed as parameters or returned from

operators?

Procedure Inspect the IDL file and manually check for parameters or

returns using objects defined as structures, and verify that they are passed from methods also declared in the IDL.

Statement: Use the <u>Department of Defense Metadata Specification</u> (<u>DDMS</u>) for standardized

tags and taxonomies.

Rationale: These standardized tags or Metacards will be developed, maintained, and placed

under configuration as appropriate and will comply with the <u>DDMS</u> and <u>COI</u> guidance. These include specifications defining the tagging for security

classification and dissemination control. See

http://diides.ncr.disa.mil/mdregHomePage/mdregHome.portal for the current

DDMS standards.

Derived From

Justifies

Referenced By Metadata Registry, WSDL

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: Has the Program documented the <u>DDMS</u> profile used for

published data assets in accordance with **COI** guidance?

Procedure: Check <u>DoD Metadata Registry</u> to determine whether

program is associated with **COI** (s).

Statement Use <u>OASIS UDDI</u> specification 2.0 or higher.

Rationale UDDI provides a registration for services, and UDDI 2.0 has become a standard

method for publishing discovery services.

Derived From

Justifies

Referenced By

<u>UDDI</u>

Acquisition Phase

Development

Evaluation Criteria

1. Test Are the web services registered in a UDDI registry?

Procedure Verify the registration in the UDDI registry.

Examples None

2. Test Is the registry UDDI 2.0 or higher?

Procedure Determine if the particular UDDI registry is UDDI Version

2.0 or higher.

Statement All <u>UDDI</u> inquiries should use the standard <u>UDDI APIs</u>.

Rationale There is a standard <u>API</u> that uses <u>SOAP</u> messages to communicate with the UDDI

registry. To increase compatibility and portability, use this API exclusively.

Derived From

Justifies

Referenced By UDDI

Acquisition Phase

Development

Evaluation Criteria 1. Test

Are all the interfaces to the UDDI registry made using the UDDI standard API?

Procedure

The standard API for UDDI is SOAP based. Requests and responses are passed using XML documents. Test the traffic flow between the client and the UDDI registry for messages that are defined in the UDDI specification. Use standard libraries to send and receive the messages (e.g. JUDDI for Java).

Checking for the use of packages like JUDDI does not require the application to be running.

Examples

The following is an example as provided in the UDDI API reference: http://uddi.org/pubs/ProgrammersAPI-V2.04-
Published-20020719.htm# Toc25137712.

find_binding

The find_binding API call returns a bindingDetail message that contains zero or more bindingTemplate structures matching the criteria specified in the argument list.

Syntax

```
<find_binding
  serviceKey="uuid_key"
  [maxRows="nn"] generic="2.0"
  xmlns="urn:uddi-org:api_v2" >
  [<findQualifiers/>]
  <tModelBag/>
</find_binding>
```

Arguments

serviceKey

This uuid_key is used to specify a particular instance of a businessService element in the registered data. Only bindings in the specific businessService data identified by the serviceKey passed will

be searched.

maxRows

This optional integer value allows the requesting program to limit the number of results returned.

 $\textbf{findQualifiers} \\ \textbf{This optional collection of findQualifier}$ elements can be used to alter the default behavior of search functionality. See the findQualifiers appendix for more information.

tModelBag

This is a list of tModel uuid_key values that represents the technical fingerprint of a bindingTemplate structure contained within the businessService specified by the serviceKey value. Only bindingTemplates that contain all of the tModel keys specified will be returned (logical AND). The order of the keys in the tModel bag is not relevant.

Returns

This API call returns a bindingDetail message upon success. In the event that no matches were located for the specified criteria, the bindingDetail structure returned will be empty (i.e., it contains no bindingTemplate data.) This signifies a zero match result. If no arguments are passed, a zero-match result set will be returned.

In the event of an overly large number of matches (as determined by each Operator Site), or if the number of matches exceeds the value of the maxRows attribute, the Operator site will truncate the result set. If this occurs, the response message will contain the truncated attribute with the value "true".

Caveats

If any error occurs in processing this API call, a dispositionReport element will be returned to the caller within a SOAP Fault. The following error number information will be relevant:

 $\pmb{E_invalidKeyPassed} signifies \ that \ the \ \textbf{uuid_key} \ value$ passed did not match with any

known serviceKey or tModelKey values. The error structure will signify which condition occurred first, and the invalid key will be indicated clearly in text.

 $E_unsupported$

signifies that one of the **findQualifier** values passed was invalid. The invalid qualifier will be indicated clearly in text.

Statement Implement the data tier using readily available <u>COTS RDBMS</u> products that

implement the <u>SQL</u> standard and provide a rich set of generic capabilities such as row-level locking, <u>stored procedures</u>, <u>triggers</u>, and a high-level language <u>API</u>

interface.

Rationale COTS RDBMSs are mature technical products, the capabilities of which are being

continually expanded to adapt to and accommodate new technologies. Moreover, there is a large technical community able to develop and maintain data systems based on these products. It is likely that a COTS DBMS will provide all of the data

tier capabilities required by the developer.

Derived From

Justifies

Referenced By Database Implementations

Acquisition Phase

Development

Evaluation Criteria 1. Test Is the proposed COTS DBMS product a readily available

and supportable COTS product that implements the SQL

standard?

Procedure Verify that the COTS DBMS product is widely in use in

the DoD environment (e.g., Oracle, SqlServer, or DB2),

has a large support community, and is likely to be

supported for the lifecycle of the project.

Statement

Use standard <u>data models</u> developed by <u>Communities of Interest</u> (<u>COI</u>) as the basis of program or project data models.

Rationale

Standard <u>data models</u> are under development in many areas of the DoD and will be stored in and made available from DoD <u>metadata</u> repositories. The use of these models or portions thereof supports interoperability among applications. The <u>C2IEDM data model</u>, which is used in the <u>Command and Control</u> area, is an example of one of these standard <u>data model</u> development efforts.

Derived From

Justifies

Referenced By

Analysis, <u>Database Development</u>, <u>Data Modeling</u>, <u>Family of Interoperable</u> Operational Pictures (FIOP)

Acquisition Phase

Development

Evaluation Criteria

1. Test Have standard <u>data models</u> been considered for use in the

system?

Procedure Determine whether standard DoD <u>data models</u> exist for

the technical areas accommodated in the system

requirements. Verify that the <u>data model</u> developed for the application accommodates the use of these <u>data models</u>.

Examples None

2. Test If the system is a command-and-control application, has

preference been given to the use of the Command & Control Information Exchange Data Model (<u>C2IEDM</u>)

rather than locally defined values?

Procedure Examine the system <u>data model</u> and verify that the

<u>C2IEDM data model</u> has been incorporated.

Statement

Develop a two-level database models: one level captures the <u>conceptual</u> or logical aspects, and the other level captures the <u>physical</u> aspects.

Rationale

There are a number of modeling tools available that permit the development of Entity-Relationship diagrams. Developers can use these tools to create conceptual models that are independent of the DBMS in which the system is implemented, and to develop the physical models that are translated directly into DDL (data definition language), the SQL code used to create the database. Using a conceptual model permits implementation or reuse of a complex ERD on multiple DBMS products.

Derived From

Justifies

Phase

Referenced By

Acquisition

Evaluation Criteria

Database Development, Family of Interoperable Operational Pictures (FIOP)

Development

1. Test Has a separate <u>conceptual</u> or <u>physical</u> model been

developed?

Procedure Verify the presence of a conceptual or logical model.

Statement The <u>data models</u> should contain information necessary to generate a <u>data dictionary</u>.

Rationale A <u>data dictionary</u> is an integral part of every system including databases. A

description of each data item and the units in which the contents are measured are essential. <u>Data modeling</u> tools provide a mechanism for storing information

necessary to produce a data dictionary.

Derived From

Justifies

Referenced By Database Development, Data Modeling, Family of Interoperable, Operational

Pictures (FIOP)

Acquisition

Evaluation

Criteria

Phase

Development

1. Test Has description information been included in the <u>data</u>

model?

Procedure Examine the physical <u>data model</u>.

Statement

<u>Domain analysis</u> should define the constraints on input data validation.

Rationale

<u>Domain analysis</u> is an integral part of any data system including databases. Domains describe the set or range of values that are acceptable for a specific data item. These include, at a minimum:

- Data type
- Precision
- Minimum
- Maximum
- Length

These values are used to validate the data.

In the database, the range checking is done via check constraints on the data item. These <u>check constraints</u> are generated from the <u>physical data model</u> as part of the DDL.

Derived From

Justifies

Referenced By

<u>Database Development, Data Modeling</u>, <u>Family of Interoperable Operational</u> Pictures (FIOP)

Acquisition Phase

Fliase

Evaluation Criteria Development

1. Test *Has domain analysis been included in the <u>data model?</u>*

Procedure Examine the <u>physical data model</u>.

Statement <u>Normalize</u> the <u>data models</u>.

Rationale Normalization is a central <u>tenet</u> of <u>relational database</u> theory. It also part of <u>OOA</u>.

A database should usually be normalized to at least third normal form. Although there are seven normal forms, normalization beyond third normal form is rarely

considered in practical database design.

Objects developed in the absence of data normalization are prone to unnecessary

complexity required to keep multiply copies of data.

Derived From

Justifies

Referenced By Database Development Data Modeling

Acquisition Phase

Development

Evaluation Criteria

1. Test Is the database design in third normal form?

Procedure Examine the conceptual/logical <u>data model</u>.

Statement Define declarative <u>foreign keys</u> for all relationships between tables to enforce

referential integrity.

Development

Rationale Foreign key constraints enforce referential integrity. The principle of referential

integrity requires that the foreign key values of a child table are either null or

match exactly those of the primary key in the parent table.

Derived From

Justifies

Referenced By RDBMS Internals

Acquisition Phase

Evaluation Criteria 1. Test Have foreign-key constraints been incorporated into the

database?

Procedure Examine the database to determine whether foreign-key

constraints have been included in the database creation

scripts and created in the database.

Statement: Support n-tier architectures for efficient and accurate maintenance operations.

Rationale: Modern software design methodologies call for the implementation of an n-tiered

(including 2 tier) architecture. The separation of the presentation, business logic and data layers with well defined interfaces between each provide scalability,

efficient maintenance and simplify development.

Derived From

Justifies

Referenced By Family of Interoperable Operational Pictures (FIOP)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Has the system been designed and developed using a multi-

tier architecture?

Procedure: Verify that the system design accommodates a multi-tier

architecture

Statement

Use <u>stored procedures</u> for operations that are focused on the insertion and maintenance of data.

Rationale

Current software design methodologies and architectures call for the implementation of an n-tiered architecture with business rules in the middle tier and data stored in a separate data tier. When multiple applications access a common database, however, the rules may be best located at the data-tier level. Otherwise, changes in one application would have to be coordinated across all applications. Thus their use to implement detailed <u>business logic</u> and algorithms should be limited to enterprise databases used by multiple applications.

Derived From

Justifies

Referenced By RE

Acquisition Phase

RDBMS Internals

Development

Evaluation Criteria

1. Test Are database triggers used?

Procedure Check for stored procedures that are triggered on insertion,

deletion, and update events.

Examples

```
CREATE TRIGGER PersonCheckage
AFTER INSERT OR UPDATE OF age
ON Person
FOR EACH ROW
BEGIN
IF (:new.age < 0) THEN
RAISE_APPLICATION_ERROR
( -20000,
    'no negative age allowed'
);
END IF;
END;.
```

Statement Use <u>triggers</u> to enforce <u>referential</u> or <u>data integrity</u>, not to perform complex <u>business</u>

<u>logic</u>.

Rationale Triggers are fired on events. Current software design methodologies and

architectures call for the implementation of an n-tiered architecture with business rules in the middle tier and data stored in a separate data tier. Implementing business logic in triggers, as well as in the middle tier, violates this concept.

Derived From

Justifies

Referenced By RE

Acquisition Phase

Evaluation Criteria RDBMS Internals

Development

1. Test Has business logic been incorporated into database

triggers?

Procedure Examine the database trigger code to determine whether

business logic or calls to stored procedures incorporating

business logic have been coded into them.

Use a build tool. Statement

A build tool allows for the encapsulation of building instructions into machine-Rationale

readable files or sets of files. The instructions can be successfully and consistently

repeated.

Derived From

[G1218], [G1219], [G1220], [G1221], [G1222], [G1223], [G1224], [G1225] **Justifies**

Automate the Build Process Referenced By

Acquisition Phase

Development

Evaluation

See sublevel guidance to evaluate this guidance.

Criteria

Statement Define all external resources by using a separate resource-ref element for each

resource.

Rationale This allows the source code to look up a resource by a "virtual" name that is

mapped to the actual **JNDI** location at deployment time.

Derived From [G1079]

Justifies

Referenced By

J2EE environment, Java Naming & Directory Interface (JNDI)

Acquisition Phase Development

Evaluation Criteria 1. Test Are there any resource references that are defined in the

application code?

Procedure Check the code for connect operations that do not use a

JNDI lookup.

Statement Define configuration data such as <u>environment variables</u>, parameters, and properties

by using **resource-env-ref** elements.

Rationale Configuration data is basically a name-value pair. This allows the tailoring of the

application to different contexts without having to modify source code and

consequently rebuild and retest.

Derived From [G1079]

Justifies

Referenced By J2EE environment, Java Naming & Directory Interface (JNDI)

Acquisition Phase

Development

Evaluation Criteria 1. Test Are there any environment variables that must be defined

before the application can be run?

Procedure Check OS startup scripts (e.g., bat, cmd, csh, bsh)

for the use of any environment variables.

Check the OS environment for any installation-defined

environment variables.

Examples None

2. Test Are there any property files that need to be defined before

the application can be run?

Procedure Check for the existence of properties files.

Examples None

3. Test *Are there any parameters that must be defined before the*

application can be run?

Procedure Check for any startup parameters provided on the startup

command line.

Statement

Use the <u>CORBA Portable Object Adapter</u> (<u>POA</u>) instead of the <u>Basic Object Adapter</u> (<u>BOA</u>).

Rationale

The CORBA Basic Object Adapter (BOA) was the CORBA Version 1 specification for the client-server object capability. The BOA specification was found to be so incomplete that vendor-specific interpretations were required for operable implementation. In CORBA Version 2, the Portable Object Adapter (POA) was significantly more complete and flexible. In the current marketplace, POA implementations are standard and, in quality implementations, are not vendor-specific. Consequently, using POA eliminates one significant area of vendor-specific coding.

BOA	POA
Focuses on CORBA server	Services for lifecycle management
implementations and not CORBA object implementations	Abstract layer between ORB and object
Naming convention issues on server side	Standard, portable interface for communicating with ORB runtime
Tightly coupled to ORB implementation	Two servant incarnation styles
Non-standardized way to connect to ORB	
Four activation models for server processes	

Derived From

Referenced By

[G1118]

Justifies

CORBA

Acquisition Phase Development

Evaluation Criteria 1. Test

Does any CORBA application code reference the CORBA::BOA identifier?

Procedure

Review the code for the use of the **CORBA::BOA** identifier.

Examples

1. BOA coding example

a. **Client side** - The code below shows a C++ CORBA client BOA initialization for the ORBIX ORB. Other ORB vendors may have different initialization sequences.

```
catch ( CORBA::SystemException &sysEx )
    { cerr << "Unexpected system exception" <<
  endl;
      cerr << &sysEx;
      exit(1);
    } // End CORBA::SystemException
    catch(...)
    { // an error occurred while trying
      // to bind to the grid object.
      cerr << "Bind to object failed" << endl;
      cerr << "Unexpected exception " << endl;</pre>
      exit(1);
    } // End catch ...
  } // End main
b. Server side - Use the code below as a model. This
example shows a C++ CORBA server BOA init for the
ORBIX ORB. For BOA, other ORBS will have a different
initialization sequence.
  { MyObject::myOrb_
      = CORBA::ORB_init(argc, argv, "Orbix");
    MyObject::myboa_
      = MyObject::myOrb_->BOA_init(argc, argv,
  "Orbix_BOA");
  } // End try
  catch ( CORBA::SystemException &sysEx )
  { //some exception handling code
  } // End catch
  try
  { NoeLoggerCfg::myboa_-
  >impl_is_ready("MyServiceName",
    CORBA::ORB::INFINITE_TIMEOUT);
  } // End try
  catch ( CORBA::SystemException &sysEx )
  { //exception handling code
2. POA coding example
a. Client side - This example shows a C++ CORBA client
POA init for the ORBIX ORB. For BOA, other ORBS will
have a different initialization sequence.
  int main
    ( int argc,
      char **argv
  { CORBA::ORB_var myOrb = CORBA::ORB_init(argc,
  argv);
    try
    { CORBA::Object_var obj
        = ... // however you get the object
  reference
      if(CORBA::is_nil (obj))
       { cerr << "Nil object reference" << endl;
        throw 0;
      } // End if
    } // End try
    catch ( CORBA::SystemException &sysEx )
    { cerr << "Unexpected system exception" <<
  endl;
      cerr << &sysEx;
      exit(1);
    } // End catch CORBA::SystemException
    catch ( ... )
    { cerr << "Unexpected system exception" <<
  endl:
      exit(1);
    } // End catch ...
    myinterface::myobject_var myvar;
```

```
try
    { myvar =
  myinterface::myobject::_narrow(obj);
    } // End try
    catch ( CORBA::SystemException &sysEx)
    { cerr << "Unexpected system exception" <<
  endl;
      cerr << &sysEx;
      exit(1);
    } // End catch CORBA::SystemException
  } // End main
b. Server side - Use the code below as a model. This
example shows a C++ CORBA server POA init for the
ORBIX ORB. For POA, other ORBS will have a different
initialization sequence.
  int main
    ( int argc,
      char *argv[ ]
  { try
    { // initialize the ORB
      orb_var orb = CORBA::ORB_init(argc, argv,
  "Orbix");
      // obtain an object reference for the root
  POA
      object_var obj
        = orb->resolve_initial_references
  ("RootPOA");
      POA_var poa = POA::_narrow(obj);
      // incarnate a servant
      My_Servant_Impl servant;
      // Implicitly register the servant with the
  root POA
      obj = servant._this ();
      //start the POA listening for requests
      poa -> the_POAManager ()->activate ();
      //run the orb's event loop
      orb->run ();
    } // End try
    catch ( CORBA::SystemException &sysEx )
    { // some exception handling code
    } // End catch
  } // End main
```

Statement

Localize frequently used CORBA-specific code in modules that multiple applications can use.

Rationale

In a family of applications, similar patterns of CORBA <u>ORB</u> invocation sequences frequently arise. This is common in service object initialization, policy association, discovery, binding, and release handling. Implementing this functionality in a utility library paradigm localizes the code to reduce maintenance and facilitate extensibility, and assures consistency across the family of applications.

Derived From

Justifies

Referenced By

Acquisition Phase

Development

Evaluation Criteria

1. Test Do the standard object initialization CORBA invocations

occur in more than one module?

Procedure The presence of "CORBA::ORB_var" or

"CORBA::ORB init" in C++ indicates ORB

initialization. The presence of "CORBA::Object_var"

in C++ indicates ORB access.

Examples None

2. Test Do the standard object policy association CORBA

invocations occur in more than one module?

Procedure The presence of "CORBA::PolicyList" in C++

indicates policy presence.

Examples None

3. Test Do the standard object policy association CORBA

invocations occur in more than one module?

Procedure The presence of "CORBA::PolicyList" in C++

indicates policy presence.

Examples None

4. Test *Do the standard object discovery CORBA invocations*

occur in more than one module?

Procedure The presence of "Resolve_NamingService()"in

C++ indicates intended access to one of CORBA's

discovery capabilities.

Examples None

5. Test *Do the standard object binding and release CORBA*

invocations occur in more than one module?

Procedure The presence of "::_narrow(obj.in())" or

"CORBA::is_nil(" in C++ indicates activity associated

with obtaining and validating an object binding to a

legitimate reference. The presence of "CORBA(release)(" in C++ indicates intended release of a CORBA-bound object reference.

Statement Create configuration services to provide distributed user control of the appropriate

configuration parameters.

Rationale For user-modifiable configuration settings that are intended to be accessible by

distributed processes at runtime, the appropriate mechanism for implementation involves <u>CORBA</u> services. The first form is a network service to be invoked as a client by the target system application at initialization. This can support a consistent, network-wide distribution of startup parameters. The second form is a service implemented by the target application which allows communication to the application during execution (after startup). This allows <u>real-time</u> configuration changes for matters such as <u>POA</u> instantiation threading policies to address load

management.

Derived From [G1119]

Justifies

Referenced By CORBA

Acquisition Phase

Development

Evaluation Criteria

1.Test *Is a service defined in the IDL to obtain the configuration parameters?*

ProcedureReview the code for a service that can be used to obtain configuration.

Examples The following code is an example of a CORBA server that instantiates a configuration service. The service manages the individual configuration parameters for the servers on the ORB.

Ada example

```
CORBA.ORB.IIOP_English;
pragma Elaborate_All(CORBA.ORB.IIOP_English);
with CORBA ;
with CORBA.BOA;
with CORBA.ORB ;
with CORBA.Object ;
with Configuration. Impl ;
with Configuration. Helper ;
with Ada. Exceptions ;
with Ada.Text_IO;
with my_CORBA ;
with Event_Ada_API ;
procedure Configuration_Server is
    -- required for OrbExpress
   First_Variable : CORBA.ORB.Life_Span ;
    -- declare the object instance
    Configuration_Object : Configuration.Ref ;
    --variables needed for ior writing
   No_Timeout : constant := 0.0;
    Config_Name : constant String
      := Configuration.Helper.Simple_Name ;
    Config_Host : Corba.String ;
    Config Port : Corba.String ;
begin -- Configuration_Server
  -- create (and initialize) the object
  -- config file is read and the port needed
  -- is in there
 Configuration_Object
    := Configuration.Impl.Create(Config_Name) ;
```

```
GET_HOSTNAME:
begin
  Config_Host
    := Configuration.Get_String
       ( Self => Configuration_Object,
        Name => Corba.To_Corba_String
                  ( "Local_Host_Shortname" )
exception -- GET_HOSTNAME
  when others =>
   Ada.Text_IO.Put_Line
      ( "ERROR: Missing parameter"
       & "<Local_Host_Shortname> "
       & "in the config_parameters.txt file."
end GET_HOSTNAME;
GET_CS_PORT:
begin
  Config_Port
    := Configuration.Get_String
       ( Self => Configuration_Object,
        Name => Corba.To_Corba_String
                  ( "Config_Service_Port" )
       );
Exception -- GET_CS_PORT
  when others =>
    Ada.Text_IO.Put_Line
      ( "ERROR: Missing parameter "
       & "<Config_Service_Port> "
       & "in the config_parameters.txt file."
      );
end GET CS PORT;
Ada.Text_IO.Put_Line
  ( "Host => "
      & Corba.To_Standard_String(Config_Host)
      & " Port => "
      & Corba.To_Standard_String(Config_Port)
  );
--timeout 0 so we can write IOR out
CORBA.BOA.Impl_Is_Ready
    ( Time_Out
                          => No_Timeout,
      Server_Instance_Name => Config_Name,
      Listen_On_Endpoints =>
        "tcp://"
        & Corba.To_Standard_String(Config_Host)
        & ":"
         & Corba.To_Standard_String(Config_Port)
    __ ______
    -- HERE IS WHERE CODE FOR THE IOR TO BE
    -- USED ON THE C++ ORB
-- get the IOR and write it to disk
my_CORBA.Write_IOR_To_File
  ( Server_Name => Config_Name,
    Server_Ref =>
      CORBA.Object.Ref(Configuration_Object)
  );
READY_BLOCK:
  -- notify subscribers of availability
  -- of configuration parameters via the
  -- event service
  Event_Ada_API.Send
    ( Channel_Name => "Config_Channel",
                  => "Configuration Service Ready."
     Event
Exception - READY_BLOCK
  when others =>
```

```
Ada.Text_IO.Put_line
        ( "Configuration_Server : "
         & Exception sending ready signal."
        );
  end READY_BLOCK;
  Ada.Text_IO.Put_line
    ( "Configuration_Server : "
      & Configuration Service Ready."
    );
  CORBA.BOA.Impl_Is_Ready
    ( Time_Out
                           => CORBA.Infinite_Timeout,
      Server_Instance_Name => Config_Name
    ) ;
exception -- Configuration_Server
  when X_Other: others =>
   Ada.Text_IO.Put_line
      ( "Configuration_Server : "
        & Ada.Exceptions.Exception_Name(X_Other)
      );
end Configuration_Server ;
```

C++ example

The following code snippets depict a C++ server that instantiates a version collection service for an About box. It uses the IORs from the servers on the Ada ORB via the IOR files, and invokes those objects to get version information. It uses the utility templates for binding. It exemplifies the approach described in Encapsulate CORBA ORB operations for C++.

Note: This was done on the ORBIX C++ and Ada ORBs.

```
#include <iostream.h>
#include <rw/cstring.h>
#ifndef _STDIO_H
#include <stdio.h>
#endif
#ifndef _STRING_H
#include <string.h>
#endif
#ifndef _STDLIB_H
#include <stdlib.h>
#endif
#ifndef _ASSERT_H
#include <assert.h>
#endif
// Include files for all the objects desired for
// collecting version information
//Ada configuration service
#ifndef configuration_hh
#include <configuration.hh>
// include files for other desired services;
// removed for brevity
// other support objects and utilities
#ifndef _CORBA_UTILS_
#include <corba utils.h>
#endif
#ifndef __LOG_API_H_
#include <log_api.h>
#endif
#ifndef _VERSION_AGENT_GLOBALS_H_
#include "version_agent_globals.h"
#endif
const RWCString Version_Agent_i::MSG_VERSION_NOT_FOUND_
 = "Version Info. not found for ";
const CORBA::ULong Version_Agent_i::MAXSERVERS_
```

```
Version_Agent_i:: Version_Agent_i(): theVersionInfoPtr_(0)
{ theVersionInfoPtr
    = new versionInfoType(MAXSERVERS_);
  theVersionInfoPtr_->length(MAXSERVERS_);
} // End constructor
Version_Agent_i:: ~Version_Agent_i()
{ // Do nothing
) // End destructor
FUNCTION NAME: createVersions
PURPOSE: helper function that gets the version info
TNPUT:
OUTPUT:
************************
void Version_Agent_i::createVersions ()
{ char *iorString;
  int bBindOk = 0;
  int versionCnt = 0;
  versionInfoType* rl = theVersionInfoPtr_;
  CORBA::ULong MAXSERVERS Version_Agent_i::MAXSERVERS_;
  // server variables for all the objects desired
  // for collecting version information
  // most declarations removed for brevity
   EventServiceFactory_var es_var;
  // Ada configuration service
   Configuration_var cfg_var;
  // === load the versions of the individual components
  // Code for other services removed for brevity
  // This is an ADA service using the IOR string
  { //****************** config service ***********
   logMsg
     ( "get config service version",
       Log_Api::DEBUG_1_MSG
      );
    RWCString errMsg
      ( Version_Agent_i::MSG_VERSION_NOT_FOUND_.data()
     );
    errMsg.append ( "Configuration Service" );
    // here we get the IOR from the ADA orb using
    // the helper methods
      iorString = getIorFile("Configuration");
    //template class to hide binding issues to the ADA ORB
    If ( iorString )
    { Ada_Binder < Configuration,
      Configuration_var > bo ( iorString );
     bBindOk = bo.bindToAda(&cfg_var);
      // get the version info and load it
      If ( bBindOk
          && !( CORBA::is_nil(cfg_var))
     { try
       { char* str = cfg_var->version();
        if (str)
         { (*theVersionInfoPtr_)[versionCnt]
            = CORBA::string_dup(str);
         delete str;
        } // End if
         else
         { (*theVersionInfoPtr_)[versionCnt]
             = CORBA::string_dup(errMsg.data());
         } // End else
       } // End try
       catch(...)
       { (*theVersionInfoPtr_)[versionCnt]
          = CORBA::string_dup(errMsg.data());
       } // End catch
      cfg_var->_closeChannel();
     } // End if
     else
     { (*theVersionInfoPtr_)[versionCnt]
```

```
= CORBA::string_dup(errMsg.data());
    } // End else
    if(iorString)
    { free (iorString);
      iorString = NULL;
    } // End if
  } //endif iorstring
  else
  { (*theVersionInfoPtr_)[versionCnt]
      = CORBA::string_dup(errMsg.data());
  } // End else
  //leaving scope releases the corba object
 } //end cfg_svf
bBindOk = 0;
 versionCnt++;
 assert(versionCnt <= MAXSERVERS);</pre>
} // End createVersions
FUNCTION NAME: start
PURPOSE: handle startup specific stuff
INPUT:
OUTPUT:
void Version_Agent_i:: start
 ( CORBA::Environment &IT_env
  ) throw (CORBA::SystemException)
{ //get all the version info
 createVersions();
} // End start
               ************
FUNCTION NAME: stop
PURPOSE: handle stop specific stuff
INPUT:
**********************************
void Version_Agent_i:: stop
  ( CORBA::Environment &IT_env
  ) throw (CORBA::SystemException)
{ // Release info
  // Let CORBA time out the service
  logMsg ( "stop received" );
 VersionAgentGlobals::myboa->setNoHangup ( 0 );
  VersionAgentGlobals::myboa->deactivate_impl
   ( "Version_Agent" );
} //end version impl
```

Statement

Use non-source code persistence to store all user-modifiable <u>CORBA</u> service configuration parameters.

Rationale

For user-modifiable configuration settings that are host-specific and that are not intended to be accessible by distributed processes at runtime, the appropriate mechanism for implementation involves local persistent storage. The appropriate form of local storage depends on the local host architecture and may be file- or host-DBMS oriented. It is important that such parameters are not stored in source code that requires build processes for modification.

It should be noted that for <u>SOA</u> services, configuration parameters relating to invoked services should not be service-host-specific at the invoking client application.

Derived From

[G1119]

Justifies

Referenced By CORBA

Acquisition Phase

Development

Evaluation Criteria 1. Test Are there any user-modifiable configuration parameters

hard coded in the non-auto-generated files?

Procedure Inspect the code for constant strings or constants that

contain configuration parameters.

Statement Add new functionality rather than redefining existing interfaces in a manner that

brings incompatibility.

Rationale By not replacing old methods of objects, library functionality consumers can

continue to operate and not be forced to upgrade.

Derived From [G1004]

Justifies

Referenced By

Acquisition Phase Development

Evaluation Criteria 1. Test Are methods that are being replaced marked with

deprecated tags?

Procedure Check revision history to make sure that methods are

deprecated and not removed unless they have expired. "Expired" means that they have passed the expected shelf life, as defined by the project standards or other standards

documentation.

Examples None

2. Test *Do new methods being added contain information on*

methods they are replacing?

Procedure Check to make sure newly added methods contain

information and rationale on the methods they are

replacing.

Statement For Java, use <u>JDK</u> logging facilities.

Rationale Java has a built-in logging framework that is portable across platforms, projects,

and installations.

Derived From [G1010]

Justifies

Referenced By

Acquisition Phase

Development

1.

Evaluation Criteria **Test** Does the application use anything other than the specified

logging frameworks?

Procedure Check for use of logging frameworks other than the JDK.

Statement For <u>.NET</u>, use Debug and Trace from the System.Diagnostics <u>namespace</u>.

Rationale .NET has a built-in logging framework that is portable across .NET projects and

installations.

Derived From [G1010]

Justifies

Referenced By

Acquisition

Phase

Evaluation Criteria Development

1. Test *Does the application use anything other than the specified*

logging frameworks?

Procedure Check for use of logging frameworks other than

System.Diagnostics.

Statement For Java, use <u>JDBC</u>.

Rationale JDBC is Java's standard <u>API</u> for accessing databases.

Derived From [G1014

Justifies

Referenced By

Acquisition

Phase

Evaluation Criteria **Decouple from Applications**

1. Test Does the application use an API other than JDBC to

access the database?

Procedure Check for vendor-specific APIs such as Oracle's OCI.

Examples None

2. Test Does the application use a vendor specific extension that is

not ANSI-compliant <u>SQL</u>?

Procedure Check for non-ANSI-compliant SQL.

Statement For C/C++ and <u>.NET</u> use <u>ODBC</u>.

Rationale ODBC is C/C++ Window's standard <u>API</u> for accessing databases.

Derived From [G1014]

Justifies

Referenced By

Acquisition

Phase

Evaluation Criteria **Decouple from Applications**

1. Test Does the application use an API other than ODBC to

access the database?

Procedure Check for vendor-specific API.

Examples None

2. Test Does the application use vendor-specific extension that is

not ANSI-compliant SQL?

Procedure Check for non-ANSI-compliant SQL..

Statement Provide an architecture design document.

Rationale An architectural design document provides the evaluators with a roadmap of the

application. This helps the evaluator verify that the application follows guidance

such as using the Model View Controller model.

Derived From [G1020]

Justifies

Referenced By Public Interface Design

Acquisition

Phase

Evaluation Criteria Development

1. Test Do the project deliverables for evaluation include a

document that contains the architectural design of the

application?

Procedure See if an architectural design document exists.

Provide a document with a plan for <u>deprecating</u> obsolete <u>interfaces</u>. **Statement**

This information allows users to phase out deprecated interfaces. For instance, Sun Rationale

plans to maintain backward compatibility for the JDK for seven years. This means developers can count on deprecated methods not being removed for seven years.

[G1020] **Derived From**

Justifies

Public Interface Design Referenced By

Development

Acquisition

Phase

Evaluation

Criteria

1. Test Do the project deliverables for evaluation include a

document that contains a plan for deprecating obsolete

interfaces?

See if a document with a plan for deprecating obsolete **Procedure**

interfaces exists.

Statement Provide a coding standards document.

Rationale The standards ensure a consistent code base. A coding standards document defines

rules to keep code readable and maintainable.

Derived From [G1020]

Justifies

Referenced By Public Interface Design

1.

Acquisition Phase

Development

Evaluation Criteria **Test** Do the project deliverables for evaluation include a coding

standards document?

Procedure See if a coding standards document exists.

Statement Provide a software release plan document.

Rationale The release plan document ensures that there is a formal process for releasing the

software. It includes a description of how to acquire the software from SCM and

how to build, label, and release it.

Derived From [G1020]

Justifies

Referenced By Public Interface Design

Development

Acquisition

Phase

Evaluation Criteria 1. Test Do the project deliverables for evaluation contain a

release plan document?

Procedure See if a software release plan exists.

Statement

Components should be externally configurable.

Rationale

To be portable and to accommodate reuse, components must be configurable using external descriptors usually defined in <u>XML</u>. Examples of things that might need to be configured include:

- A data source for the component to obtain a JDBC connection
- The location of a service that the component must communicate with
- The location of implementation classes that the component uses

Derived From

Justifies

Referenced By

Implement a Component-Based Architecture, [G1002]

Acquisition Phase

Development

Evaluation Criteria **1. Test** *Are deployment descriptors used?*

Procedure Check for the existence of deployment descriptors in the

appropriate directories. Usually the file is named

web.xml.

Statement Support operation in an automated mode.

Rationale During testing, human interaction can be a cause of error and unrepeatable results.

Operating in automated mode can eliminate these errors.

Derived From [G1190]
Justifies [G1002]

Referenced ByAutomate the Build Process

Acquisition Phase

Development

Evaluation Criteria **1. Test** *Does the tool have a build all target?*

Procedure Check the build scripts or descriptors of the build tool for

the ability to build the entire project, system, or

application.

Statement Check out files from configuration control.

Rationale To make sure all the parts of the build are under configuration control, compare all

files with the configuration baseline, and download the appropriate files.

Derived From [G1190]
Justifies [G1002]

Referenced By Automate the Build Process

Acquisition Phase

Development

Evaluation Criteria 1. Test Does the tool have a checkout target?

Procedure Check the build scripts or descriptors of the build tool for

the ability to check out the entire project, system, or

application.

Statement Compiles source code and dependencies that have been modified.

Rationale To limit the changes made between builds, only compile code that has been

modified. If there are no intermediate files, then compile all files.

Derived From [G1190]
Justifies [G1002]

Referenced ByAutomate the Build Process

Acquisition Phase Development

Evaluation Criteria **1. Test** Does the tool have a compile target?

Procedure Check the build scripts or descriptors of the build tool for

the ability to compile the entire project, system, or

application.

Examples None

2. Test Do all the intermediate files (e.g., .obj or .class) have

the same date and time stamps?

Procedure Scan the files for date and time stamps.

Statement Create libraries or archives after all required compilations are completed.

Rationale Libraries should be able to be recreated independently of any executables and

should always verify that any intermediate files are not stale.

Derived From [G1190]
Justifies [G1002]

Referenced By Automate the Build Process

Acquisition Phase

Development

Evaluation Criteria **1. Test** Does the tool have a generate library target?

Procedure Check the build scripts or descriptors of the build tool for

the ability to generate the composing libraries or archives.

Create executables Statement

An executable is dependent on many files, including source files, intermediate Rationale

files, and libraries or archives. The building of the executable must support a control process that includes configuration management, compiling, and testing.

[G1190] **Derived From**

Justifies

Automate the Build Process Referenced By

Development

Acquisition

Phase

Evaluation Criteria

1. Test Does the tool have an executable target?

> Check the build scripts or build tool descriptors for the **Procedure**

> > ability to build the executables for the entire project,

system, or application.

Statement Capable of running unit tests.

Rationale All code should be able to be tested independently of creating intermediate files,

libraries, or executables.

Tests should be unit tests as well as system-level tests.

Derived From [G1190]

Justifies

Referenced ByAutomate the Build Process

Acquisition

Phase

Development

Evaluation Criteria **1.** Test Does the tool have a test target?

Procedure Check the build scripts or descriptors of the build tool for

the ability to test the entire project, system, or application.

Clean out intermediate files that can be regenerated. Statement

For security reasons, all files that comprise the build need to be under Rationale

configuration control. Cleaning out all files is essential in ensuring that only

approved code is incorporated into the build.

[<u>G1190</u>] **Derived From**

Justifies

Automate the Build Process Referenced By

Development

Acquisition

Phase

Evaluation Criteria

Test 1. Does the tool have a clean target?

> Check the build scripts or descriptors for the build tool for **Procedure**

the ability to remove the entire project, system, or

application files.

None **Examples**

Statement The build tool should be independent of the <u>Integrated Development Environment</u>

Rationale Some build tools are tightly coupled with an <u>Integrated Development Environment</u>

 $(\underline{\text{IDE}})$ that causes vendor lock-in and license issues when the software is delivered

to the government.

Derived From [G1190]

Justifies

Referenced By Automate the Build Process

Acquisition Phase

Development

Evaluation Criteria **1.** Test Does the build tool require a license?

Procedure Check for files with the name makefile.

Examples None

2. Test *Is the build tool one of the recognized standards, such as*

ant?

Procedure Check for files named build.xml.

Examples None

3. Test *Is the build tool one of the recognized standards, such as*

make or nmake?

Procedure Check for files with the name makefile.

Statement Do not hard-code the <u>endpoint</u> of a <u>web service vendor</u>.

Rationale An endpoint is the URL or location of the <u>web service</u> on the <u>Internet</u>. A major

benefit of web services is the ability to relocate a web service to another location, or dynamically discover and use a web service using registry facilities. Some web service vendors hard-code the URL of the web service, which causes maintenance

and portability problems.

Derived From [G1091]

Justifies

Referenced By <u>Insulation and Structure</u>

Acquisition Phase

Development

Evaluation Criteria **1. Test** Are there any hard-coded web service vendor endpoints in

the client code?

Procedure Parse the code and look for hard-coded endpoints. These

endpoints look just like a normal HTTP web address.

Statement Do not hard-code the configuration data of a <u>web service vendor</u>.

Rationale Some vendors generate code that passes web-service vendor-specific configuration

data during initialization or startup. This reduces the portability of the code and can

cause maintenance problems later.

Derived From [G1091]

Justifies

Referenced By <u>Insulation and Structure</u>

Acquisition

Phase

Evaluation Criteria Development

1. Test Is there any web-service vendor-specific configuration

data in the client code?

Procedure Parse the code and look for hard-coded configuration data

that might be used to configure the vendor's web service.

Examples None

Vendor-dependent connections to the enterprise should isolate vendor-specifics **Statement**

using design patterns (e.g., <u>façade</u>, <u>proxy</u>, or <u>adapter</u>) or property files.

Increases maintainability. Guidance [G1071] asserts that vendor-neutral connection Rationale

mechanisms should be used. When vendor-specific connection mechanisms are

unavoidable, this guidance will apply.

[G1071] **Derived From**

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria

Development

1. **Test** Is the connection mechanism vendor-dependent?

> **Procedure** Examine the source code for vendor-specific imports or

> > includes.

Make sure that all references to the vendor-specific connection mechanisms are isolated to a single class (like a helper) or set of methods that are used as part of an isolation design pattern such as façade, proxy, or adapter.

Also, look for hard-coded vendor-specific connection

strings.

Examples None

Statement

Isolate the web-service portlet from platform dependencies using the *OASIS WSRP Specification 1.0* protocol.

Rationale

The OASIS <u>WRSP</u> 1.0 Specification accounts for the fact that <u>producers</u> and <u>consumers</u> may be implemented on very different platforms, such as a J2EE -based web service, a web service implemented on Microsoft's .Net platform, or a <u>portlet</u> published directly by a <u>portal</u>.

Derived From

Justifies

Referenced By Web Portals

Acquisition Phase

Development

Evaluation Criteria

1. Test

Does the web service implement the WRSP Markup interface?

Procedure Lool

Look for the definition of the getMarkup, performBlockingInteraction, initCookie and releaseSessions methods as defined in the OASIS WSRP Markup API Specification.

Examples

public MarkupResponse getMarkup

(RegistrationContext registrationContext,
 PortletContext portletContext,
 RuntimeContext runtimeContext,
 UserContext userContext,
 MarkupParams markupParams
) throws java.lang.Exception
public void performBlockingInteraction

(RegistrationContext registrationContext, PortletContext portletContext, RuntimeContext runtimeContext, UserContext userContext.

MarkupParams markupParams,
InteractionParams interactionParams

) throws java.lang.Exception

) throws java.lang.Exception public Extension[] releaseSessions

(RegistrationContext registrationContext,

java.lang.String[] sessionIDs
) throws java.lang.Exception

2. Test

Does the web service implement the WRSP Service Description interface?

Procedure

Look for the occurrence of the **getService**, **register**, and **getServiceDescription** methods as defined in the OASIS WSRP Service Description API

Specification.

Examples

public static ServiceDescriptionService
getService

(java.lang.String baseEndpoint

) throws java.lang.ExceptionThrows: jpublic ServiceDescription

getServiceDescription

(RegistrationContext registrationContext, java.lang.String[] desiredLocales 3.

4.

Test

Procedure Look for the occurrence of the getService, getPortletDescription, clonePortlet. destroyPortlets, setPortletProperties, getPortletProperties and getPortletPropertyDescription methods as defined in the OASIS WSRP Portlet Configuration API Specification. public static PortletManagementService **Examples** getService (java.lang.String baseEndpoint) throws java.lang.Exception public PortletDescriptionResponse getPortletDescription (RegistrationContext registrationContext, PortletContext portletContext, UserContext userContext, java.lang.String[] desiredLocales) throws java.lang.Exception public PortletContext clonePortlet (RegistrationContext registrationContext, PortletContext portletContext, UserContext userContext) throws java.lang.Exception public DestroyPortletsResponse destroyPortlets (RegistrationContext registrationContext, java.lang.String[] portletHandles) throws java.lang.Exception public PortletContext setPortletProperties (RegistrationContext registrationContext, PortletContext portletContext, UserContext userContext, PropertyList propertyList) throws java.lang.Exception public PropertyList getPortletProperties (RegistrationContext registrationContext, PortletContext portletContext, UserContext userContext, java.lang.String[] names) throws java.lang.Exception public PortletPropertyDescriptionResponse getPortletPropertyDescription (RegistrationContext registrationContext, PortletContext portletContext, UserContext userContext, java.lang.String[] desiredLocales) throws java.lang.ExceptionThrows Test Does the web service implement the WRSP Registration interface? Procedure Look for the occurrence of the **getService**, register, deregister, and modifyRegistration methods as defined in the OASIS WSRP Specification. public static RegistrationService getService **Examples** (java.lang.String baseEndpoint) throws java.lang.Exception public RegistrationContext register (java.lang.String consumerName, java.lang.String consumerAgent, boolean methodGetSupported,

) throws java.lang.Exception

Configuration interface?

Does the web service implement the WRSP Portlet

```
java.lang.String[] consumerModes,
   java.lang.String[] consumerWindowStates,
   java.lang.String[] consumerUserScopes,
   java.lang.String[] customUserProfileData,
   Property[] registrationProperties
) throws java.lang.Exception
public ReturnAny deregister
( java.lang.String registrationHandle,
   byte[] registrationState
) throws java.lang.Exception
public RegistrationState modifyRegistration
( RegistrationContext registrationContext,
   RegistrationData registrationData
) throws java.lang.Exception
```

Use industry standard HTML data entry fields on web pages. **Statement:**

Macromedia Flash and Java Applets can also be used for data input, but are not **Rationale:**

HTML standards and tend to decrease the maintainability of a website.

Derived From

Justifies

Look Aspects Referenced By Development

Acquisition Phase

Evaluation Criteria:

Do any web pages have data entry fields? 1. Test:

> **Procedure:** Search all web pages for the "applet" and "embed" tags.

> > Load each page found in the search by loading and visually inspecting to see if Flash or Applets are used for data

entry.

Examples:

Correct usage: Person's Name:

<form method="post" action="myaction">Person's

Name:

<input type="text" name="persons-name" size="40"</pre> maxlength="40">

</form>

Incorrect usage:

<applet code="inputtextfield.class" width="200"</pre>

height="200">

Flash:

<embed src="inputtextfield.swf" width="200"</pre>

height="200">

Statement: Label all data entry fields.

Rationale: A label provides the user with a brief description of the text to be entered. Labels

are essential for a user to understand the data entry field.

Derived From

Justifies

Referenced By Look Aspects
Acquisition Development

Phase

Evaluation Criteria:

1. Test: Are all data entry fields labeled?

Procedure: Search all web pages for the word "form" and load each

resulting web page in a browser. Visually inspect each data

entry field to make sure they have labels.

Examples: None

Statement: A label should appear either to the left or above data entry fields.

Rationale: Putting labels to the left or above makes data entry forms easier to understand

because user read from left to right and top to bottom. The trade-offs between placing a label to the left or above would be: labels to the left can be hard to associate with the relevant field if the distance between the two is too far while labels placed above the field will increase the overall length of the page and

necessitate additional scrolling.

Derived From

Justifies

Referenced By Look Aspects

Acquisition Development

Acquisition Phase Evaluation

Criteria:

1. Test: Do any labels appear to the right or below a data entry

field?

resulting web page in a browser. Visually inspect each data entry field to make sure the labels are to the left or top.

Search all web pages for the word "form" and load each

Examples: None

Procedure:

Text entry areas must include scroll bars if the data buffer is greater than the **Statement:**

viewable area.

Scroll bars provide a visual cue to the user that the text extends beyond the Rationale:

viewable area. Scroll bars will appear by default for an HTML text area.

Derived From

Justifies

Look Aspects Referenced By

Acquisition

Phase

Test: 1.

Development

Evaluation Criteria:

Do any web pages turn off scroll bars for text areas?

Procedure: Search all web pages and style sheets for the phrase

> "overflow:hidden" or a form thereof. This turns off scroll bars using styles, but only works in certain browsers. Make

sure it is not used.

Examples:

Correct usage:

Scroll bars should not be hidden.

Incorrect usage: Inline style:

<html> <body>

<form>

<textarea style="overflow:hidden"></textarea>

</form> </body> </html>

External style:

textarea.scroll{overflow:hidden;}

Statement: Provide instructions and HTML examples for all style sheets.

Rationale: An instruction manual will enable developers to use the style sheet correctly and

efficiently.

Derived From

Justifies

Referenced By
Acquisition
Look Aspects
Development

Phase

Evaluation Criteria:

1. Test: Are instructions included for each style sheet provided?

Procedure: Verify that a document is provided that contains

instructions and example code for each style provided.

Examples: Correct usage:

Cascading style sheet:
.td-items{text-align:right;}

Example of usage:

td style="items">100

Incorrect usage:

No HTML example explaining style usage.

Statement: Use style sheets for web pages.

Rationale: A style sheet, by serving as the starting point for all the pages in a website, helps to

ensure that all pages have the same basic features and appearance. Style sheets allow web designers to use a single file to control the style and layout of multiple

web pages.

Derived From

Justifies

Referenced By Feel Aspects

Acquisition Development

Acquisition Phase

Evaluation Criteria:

1. Test: Does every web page use a style sheet?

Procedure: Search every web page for a "link" tag and make sure it

exist in every web page that contains a header.

Examples: Correct usage:

<head>
k rel=""stylesheet" type="text/css"
href="mystyle.css"/>
</head>

Incorrect usage:

<head>

(no link tag, no style sheet)

</head>

Statement: Do not modify the contents of the web browser's status bar.

Rationale: Gratuitous scrolling text often amounts to little more than showing off, and it can

slow access to your pages.

Derived From

Justifies

Referenced By Feel Aspects
Acquisition Development

1.

Phase

Evaluation Criteria:

Test: Do any of the web pages modify the browser status bar?

Procedure: Search every web page for the word "status" and visually

inspect each of the search results to see if the status bar has

been modified.

Examples: Correct usage:

Web pages contain no references to

window.status

Incorrect usage:

window.status = 'text to display in status

bar'

Statement: Do not use tickers on a web site.

Rationale: Irritates the user and uses unnecessary bandwidth.

Derived From

Justifies

Referenced By
Acquisition
Development

Acquisition Phase

Evaluation Criteria:

1. Test: Do any web pages contain scrolling text?

Procedure: Most tickers are written using Flash or Applets. Search all

web pages for the "applet" and "embed" tags. Load each page found in the search and visually inspect to make sure

no tickers exist.

Examples: Correct usage:

No applet or flash references contain tickers.

Incorrect usage:

Applet:

<applet code="myticker.class" width="200"
height="200">

Flash:

<embed src="myticker.swf" width="200"
height="200">

Use the browser default setting for links. **Statement:**

Browsers underline links by default. Do not rely on "mouse over" to identify links. **Rationale:**

Using mouse over to designate links can confuse and slow down infrequent users

because they are uncertain which links perform which functions.

Derived From

Justifies

Feel Aspects Referenced By Development

Acquisition

Phase

Evaluation Criteria:

1. Test: Do any web pages or style sheets modify the browser

default settings for links?

Procedure: Search all the web pages and style sheets for "A:link",

"A:visited" and "A:active". Inspect all search results and

make sure none of them modify the "A:" items.

Examples: Correct usage:

> Web pages and style sheets should have no reference to A:link, A:visited or A:active.

Incorrect usage:

A:link, A:visited, A:active{textdecoration:none;}

Statement: In tabular data displays, alphabetic data should be left justified within a column.

Rationale: Text, which is left, justified is easier to read.

Derived From

Justifies

Referenced By

Acquisition

Development

Acquisition Phase

Pnase

Evaluation Criteria:

1. Test: Is all-tabular alphabetic data left justified?

Procedure: Search all style sheets for the word "text-align". Examine

the results for tabular alphabetic data and make sure the "text-align" attribute is set to "left". May need to visually inspect web pages to see if a defined align style is used

within the tabular data.

Examples: Correct usage:

Cascading style sheet:

.td-textonly{text-align:left;}

HTML:

 Smith

Incorrect usage:

No alignment or incorrect alignment used.

Statement: In tabular data displays, numeric data without decimals should be right justified.

Rationale: Whole numbers, displayed in a column are commonly aligned starting with the

number in the 10's place.

Test:

Derived From

Justifies

Referenced By Feel Aspects

Acquisition Development

Acquisition Phase

iase

1.

Evaluation Criteria:

Is all tabular whole number data right-justified?

Procedure: Search all style sheets for the word "text-align". Examine

the results for tabular whole number data and make sure the "text-align" attribute is set to "right". May need to visually inspect web pages to see if a defined align style is

used within the tabular data.

Examples: Correct usage:

Cascading style sheet:

.td-items{text-align:right;}

HTML:

100

Incorrect usage:

No alignment or incorrect alignment used.

Statement: In tabular data displays, numeric data with decimals should be justified by the

decimal point.

Rationale: It is common practice to align non-whole numbers by the decimal point for

readability.

Derived From

Justifies

Referenced By

Acquisition

Development

Acquisition Phase

Evaluation Criteria:

1. Test: Is all-tabular non-whole number data justified by decimal

point?

Procedure: Search all style sheets for the word "text-align". Examine

the results for tabular non-whole number data and make sure the "text-align" attribute is set to ".". May need to visually inspect web pages to see if a defined align style is

used within the tabular data.

Examples: Correct usage:

Cascading style sheet:

```
.td-subtotal{text-align:".";}
```

HTML:

```
100.33
```

Incorrect usage:

No alignment or incorrect alignment used.

Statement: Never use leading zeros in numeric data.

Rationale: Leading zeros are usually not used when using numeric variables such as integer,

float, long, or short. In most coding languages, numeric data is displayed without

leading zeros.

Derived From

Justifies

Referenced By Feel Aspects

Acquisition Development

Acquisition Phase

Evaluation Criteria:

1. Test: Does the application output numeric data without leading

zeros?

Procedure: Visually inspect numeric data in all web pages and style

sheets and make sure leading zeros are not used.

Examples: None

Use linked style sheets, do not use embedded styles. **Statement:**

Only by referencing an external file will you be able to update the look of an entire Rationale:

website with a single change. Also, by pulling style definitions out of the pages,

they (web pages) will be smaller and faster to download.

Derived From

Justifies

Feel Aspects Referenced By

Acquisition

Phase

1. **Evaluation**

Development

Criteria:

Test: Does a web page use the <LINK> tag to include external

style sheets instead of embedding styles?

Procedure: View the source of the HTML page. The header tag

(<head>) should contain links to external style sheet (.css) files. The header tag should not contain any <style> tags.

Examples: Correct usage:

External style:

<head>

<link rel=stylesheet href="style.css"</pre>

type="text/css" media=screen>

<link rel=stylesheet href="basic.css"</pre>

type="text/css" media=screen>

</head>

Incorrect usage:

Embedded style:

<head>

<style type="text/css"> td{background:#ff0;}

</style>

</head>

Statement: Use only one font for body text.

Rationale: Users may not have a wide variety of fonts available in their browser, so it is best

to use a single, common font. The general standard is to make body text sans serif since most people find sans-serif fonts easier to read on monitors and serif fonts

better for printed materials.

Derived From

Justifies

Referenced By Feel Aspects

Acquisition Development

Acquisition Phase

Evaluation Criteria:

1. Test: Does the HTML or style sheet refrain from using more

than one font?

Procedure: Search all web pages and style sheets for the word "font".

Make sure only one type of font is used for body text. May need to visually inspect web pages to see if a defined font

style is used within the body.

Examples: Correct usage:

Cascading style sheet:

.body-main{font:sans-serif;}

HTML:

<body style="main">

Incorrect usage:

Several font styles are used within a body.

Statement: Do not use absolute font sizes.

Rationale: Users' browser settings will be customized and vary. Setting absolute font sizes

may make the page unreadable. It annoys users to click on the "make text bigger" button and have nothing happen because the font sizes were defined as an absolute

number of points.

Derived From

Justifies

Referenced By Feel Aspects

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *Are any absolute font sizes utilized?*

Procedure: Search all web pages and style sheets for the word "font".

Inspect the results to make sure no fixed fonts are used

(e.g. 12pt).

Examples: Correct usage:

Relative or no font sizes settings are used.

Cascading style sheets:

```
p{font-size:200%;}
p{font-size:2em;}
```

Incorrect usage:

Cascading style sheets:

```
p{font-size:12pt;}
```

HTML (the font attribute should not be used at all within HTML code, only external style sheets):

```
<font size=1>size=1</font>
<font size=2>size=2</font>
<font size=3>size=3</font>
<font size=4>size=4</font>
<font size=5>size=5</font>
<font size=6>size=6</font>
<font size=7>size=7</font>
```

All buttons must have text labels. **Statement:**

Users should not be forced to interpret the meaning of an image on a button. Rationale:

Derived From

Justifies

Feel Aspects Referenced By Development

Acquisition

Phase

Evaluation Criteria:

1. Test: Do all buttons contain text labels?

> Search all web pages for the word "form" and make sure **Procedure:**

all the "value" attributes are set to text label.

Examples: Correct usage:

> <form action="mailto:me@abc.com"</pre> method="post">

<input type="submit" name="emailbut"</pre> value="Send feedback" />

</form>

Incorrect usage: Using images only:

> <input type="image" src="send.gif" name="</pre> emailbut"/>

Statement: Provide feedback when an online transaction will require the user to wait.

Rationale: Users may think that the program has stopped running or malfunctioning.

Derived From

Justifies

Referenced By
Acquisition
Development

Acquisition Phase

Evaluation Criteria:

1. Test: Does the system provide feedback during long processes?

Procedure: Run the application and observe any processes that take

longer than 10 seconds to complete. Observe if any status indication is provided to alert the user of the status.

Examples: None

Use text-based website navigation. **Statement:**

Text-based navigation works better than image-based navigation because it enables Rationale:

users to understand the link destinations. Users with text-only browsers and browsers with deactivated graphics can see only text-based navigation options.

Derived From

Justifies

User Experience Referenced By Development

Acquisition

Phase

Evaluation Criteria:

Test: 1. Are there any instance where graphics are used for

navigation?

Procedure: Visually inspect all web pages and make sure navigation

elements are textual.

Examples: None

Use descriptive labels for all clickable graphics. **Statement:**

Clickable images generally confuse users, especially images that contain only Rationale:

graphics. Some that contain both graphics and words are also confusing because users do not know if the images are clickable without using the mouse pointer.

Derived From

Justifies

User Experience Referenced By

Acquisition

Phase

Development

Evaluation Criteria:

Test: Do web pages contain clickable images? 1.

> **Procedure:** Search all web pages for image ("img") tags embedded

> > inside link ("a") tags. Visually inspect each image found in

the search and make sure there is an associated text

description.

Examples: Correct usage:

Click myimage to go to www.mywebsite.com<img

src="myimage.gif">

Incorrect usage:

Provide a site map on all websites. **Statement:**

Development

Shows explicit organization of the site. Inexperienced users do not readily form a Rationale:

mental model of the way that information is organized in a website, making it hard

for them to recover from navigational errors.

Derived From

Justifies

User Experience Referenced By

Acquisition

Phase

1. Test: Does the website have a site map? **Evaluation**

Procedure:

Criteria:

"sitemap", "site map" and "map". Visually inspect the

search results to make sure a site map is included.

Search all web pages for anything with the name

Examples: None

Statement: Provide redundant text links for linked images and each active region of an image

map.

Rationale: Lets users navigate the web site even if their browser cannot display images.

Derived From

Justifies

Referenced By User Experience

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Do any web pages contain image maps or linked images?

Procedure: Search all web pages for images and visually inspect to make sure redundant text links exist for all active regions on image maps and redundant text links exist for all linked images.

Examples: Correct usage:

Image map:

```
<map name="myimagemap">
<area shape="rect1" coords="20,25,84,113"
href="rect1.html">
<area shape=rect2 coords="40,50,168,226"
href="rect2.html">
</map>
```

Redundant text links for image map:

```
<a href="rect1.html">rect1</a>
<a href="rect2.html">rect2</a>
Linked image:
<a href="http://www.mywebsite.com"><img src="myimage.gif"></a>
```

Redundant text link for linked image:

```
<a href=" href="http://www.mywebsite.com"> mywebsite </a>
```

Incorrect usage:

No redundant text links exist for linked images or image maps.

Statement: Do not use the refresh command in web pages.

Rationale: Refreshing the page confuses the users.

Derived From

Justifies

Referenced By

Acquisition

Development

Acquisition

Phase

Evaluation Criteria:

1. Test: Does the refresh command appear in the web page?

Procedure: Search all web pages for the word "meta" and examine the

results to make sure "refresh" is not used. Within the tag.

Examples: Correct usage:

No automatic refreshing of web pages.

Incorrect usage:

<META http-equiv="refresh" content="60">

Statement:

Secure all endpoints.

Rationale:

You are only as secure as your weakest link. Therefore, all access points in an application should be secured. An endpoint is defined as an entry or an exit point of an application. Any access point can be vulnerable to attacks. For instance, if an application file reads configuration settings from a properties file, that file can be corrupted or incorrectly configured. This can cause incorrect behavior in the application. Also if component, module or application provides remote access or is part of any inter-process communications, these areas are vulnerable to attacks. For instance, if the application provides an external socket interface, does it validate commands being sent by the client?

This high level guidance covers all instances not covered by sub-level guidance found in other sections.

Derived From

Justifies

Referenced By

General Application (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *Check application processing of data files (configuration*

files, properties files, preferences, xml, etc...).

Procedure: Does the application handle invalid configuration, provide

appropriate defaults, and protect sensitive data.

Examples:

2. Test: *Check application handling of externally accessible API(s)*

and external ports.

Procedure: Verify sensitive data is protected, and verify all network

base protocols validate commands and values.

Statement: Practice layered security.

Rationale: Application with layered security provides more protection against attacks.

Combining multiple layers of security defenses can provide additional protection

when one layer is broken.

This high level guidance covers all instances not covered by sub-level guidance

found in other sections.

Derived From

Justifies

Referenced By General Application (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *Check application processing of data files (configfiles,*

preferences, xml, etc...).

Check application handling of externally accessible

API(s).

Procedure:

Statement: Validate all inputs.

Rationale: Input validation is not limited to presentation tier (validate input fields). All

external API(s) should validate inputs prior to use. Invalid inputs can exhaust

resources such as memory, disk and processing.

This high level guidance covers all instances not covered by sub-level guidance

found in other sections.

Test:

Derived From

Justifies

Referenced By General Application (Security)

1.

Acquisition

Phase

Evaluation Criteria:

Development

Check application range validation of externally accessible API(s).

Procedure: Does the application use prefix or postfix validation

(asserts) to verify input parameters.

Examples:

2. Test: *Check application handling of null values.*

Procedure: Does the application provide proper handling for null

input?

Statement: Audit errant behavior, application violations and use of application cryptographic

features.

Rationale: Auditing / logging errant behavior and application violation can not only be a

developer's best friend in finding the source of the problem but can also help in determining the extent of the problem. Without auditing violations, security

problems many escape detection.

Derived From

Justifies

Referenced By General Application (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: Ensure application provides logging facilities and uses it

to log errant application behavior.

Procedure: Check to make sure all application exceptions are log for

analysis. Make sure exceptions are not thrown away.

Statement: Unit Test all code.

Rationale: A high percentage of all security violations can be attributed to inadequate or non-

existent unit testing. Hackers can take advantage of these

Derived From

Justifies

Referenced By General Application (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Does project unit test the code base?

Procedure: Use a coverage tool to determine how much of the

project's code have been tested.

Check for use of a unit testing framework (JUnit for

example).

Statement: Ensure the separation of <u>encrypted</u> and unencrypted information.

Rationale: Not separating encrypted and unencrypted information can cause the application to

incur performance hits due to unnecessary encryption. It can also cause

inconsistent application processing.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By General Application (Security)

Acquisition Phase

Development

Evaluation

Criteria:

1. Test:

Procedure:

Statement: <u>Identify</u> and <u>authenticate</u> users of the application.

Rationale: This ensure there is some traceability and also provides the first in a multilayer

security system.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By General Application (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: *Does the application require user certificates?*

Does the application authenticate with another service

(LDAP, database or simple password)?

Procedure:

Statement: Provide a security policy file.

Rationale: Security should not be an afterthought after the application have already been

designed and implemented. A security policy file can go along way in ensuring that

application security has been part of the design and implementation of the application. A security policy file can identify all the security measures that the

application has laid out.

Derived From

Justifies

Referenced By General Application (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: *Does project have Security Policy File?*

Procedure: Check for existence of a Security Policy file.

Statement: Applications handling unclassified medium value information in Moderately

Protected Environments, unclassified high value information in Highly Protected Environments, and discretionary access control of classified information in Highly Protected Environments shall be Public Key Enabled to interoperate with DoD Class

3 PKI.

Rationale: The guidance defines the application types require to support DoD class 3 PKI.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Public Key Infrastructure (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *Is the application using a DoD class 3 PKI approved FIPS*

140-1 cryptographic module?

Does the application comply with al l PKI guidance in this

document?

Procedure: Check cryptographic module to see if it is a FIP 140-1

compliant.

Statement: Applications handling high value unclassified information in Minimally Protected

environments must be Public Key Enabled to interoperate with DoD Class 4 PKI.

Rationale: The guidance defines the application types require to support DoD class 4 PKI.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Public Key Infrastructure (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Is the application using a DoD class 4 PKI approved FIPS

140-1 cryptographic module?

Does the application comply with al l PKI guidance in this

document?

Procedure: Check cryptographic module to see if it is a FIP 140-1

compliant.

Applications shall protect cryptographic objects and functions from tampering. **Statement:**

If cryptographic objects such as private keys, key store, and CA trusted certificates Rationale:

are not protected, the system is not secure.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Public Key Infrastructure (Security) Referenced By Development

Acquisition

Evaluation Criteria:

Phase

1. Test: Are cryptographic objects protected?

Procedure: Is the private key protected?

Is the key store protected?

How are trust points installed and protected?

How are keys generated and certificates obtained?

Examples: Use High Security Level setting in IE to ensure password

protection is used. See

https://infosec.navy.mil/PKI/installcerts.doc for software

certificate steps. See

https://infosec.navy.mil/PKI/cacbrochurev5.doc for CAC.

Statement: Applications must use <u>LDAP</u>, <u>HTTP</u>, or <u>HTTPS</u> when communicating with DoD

<u>PKI</u>.

Rationale: These are the DoD approved protocols and the only supported ones.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.3.2.1). Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Public Key Infrastructure (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: *Checks to make sure the application use only LDAP,*

HTTP, or HTTPS protocols used to communicate with

DoD PKI?

Procedure: Configure application to use HTTP.

Have application access the DoD PKI GDS Directory

(DoD411.chamb.disa.mil) via HTTP

Repeat access to GDS using HTTPS and LDAP protocols.

Application must be capable of being configured for use with the DoD PKI. **Statement:**

Application must be able configurable to accept certificates, load key stores with Rationale:

private key, add trust points, etc...

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.4). Version 1.0. July 13, 2000.

Derived From

Justifies

Public Key Infrastructure (Security) Referenced By Development

Acquisition

Phase

Evaluation

Criteria:

1. Test: Check to make sure the application is configurable to

accept certificates, load key stores, and add trust points?

Procedure: Does the application provide external configuration files,

properties files, and configuration applications?

Tests of this requirement may involve inspections of user

and administrator manual.

Application must provide documentation for configuration and setup for use with **Statement:**

the DoD PKI.

If the application can not be configured or setup correctly, the application is Rationale:

insecure. Without detail documentation, personnel with little knowledge of security

or PKI will have little chance of keeping the overall system secure.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.5). Version 1.0. July 13, 2000.

Derived From

Justifies

Public Key Infrastructure (Security) Referenced By

Test:

1.

Acquisition

Phase

Evaluation Criteria:

Development

Make sure there is documentation (such as Standard Operating Procedures [SOPs]) on how to configure and setup the application to interoperate within the DoD PKI.

Verified by inspection of the SOPs and by a demonstration **Procedure:**

that the application performs as documented when the

configuration guidance is followed.

Examples: Most application manuals have detailed instructions in

enabling PKI (either under the heading "enabling SSL" or

"certificates").

Statement: The application shall have the ability to import and export keys (software certificates

only).

Rationale: The whole PKI system is predicated on the use of public-private key pair. So the

ability to import and use private keys is critical to a functional PKI application.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.5). Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Key Management (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: The ability of the application to import and keys

associated with standard certificates for individuals shall

be demonstrated

Procedure: The application shall import at least one set of keys and

certificates for each certificate type supported by the application. The applications shall demonstrate

interoperability by performing representative subscriber and relying party operations with each certificate type and

its related keys.

Note: The correctness of the exported file shall be

verified through analysis.

Examples: Internet Explorer can import/export certificates using

Tools -> Internet Options. Click on Internet tab and click on Certificates link. Import/Export options are located

here.

Unix based Web servers keys are exported by making a copy of the keys file and placing it in a safe location.

Statement: Applications shall use key pairs and <u>Certificates</u> created for individuals using DoD

PKI methods and procedures defined by the <u>DoD Class 3 Public Key Infrastructure</u> <u>Interface Specification</u>, Draft Specification, 13 January 2000 and the *Personal*

Information Exchange Syntax Standard.

Rationale: The DoD PKI supports these standards for importing keys and certificates. If the

key or certificate are not created or issued by approved DoD Certificate architecture, it can not be trusted to interoperate within the DoD network.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.5). Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Key Management (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Make sure keys can be imported and exported using the

DoD standard and that the application can accept DoD

PKI Class 3 certificates

Procedure: Verify by importing and exporting to DoD PKI key store.

Access the application using a DoD PKI Class 3

Certificate.

Examples: For servers, verify that the application requires client side

authentication. Access the application server using a DoD

PKI certificate.

Statement: Applications shall protect <u>private keys</u>.

Rationale: Security for the private key will be completely under the subscriber's control. In

order for the PKI system to stay secure, the private key must not be compromised.

Protecting the private ensures that attackers can not decrypt secured data

communications.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.5). Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Key Management (Security)

Acquisition Phase Development

Evaluation Criteria:

1. Test: *Check the applications use and storage of the private key.*

Procedure: Check for root access to key store.

Check for password access to secure key store.

Check application access to secure key store. Is the private key encrypted when not in use?

Does the private key stay in application memory permanently or is it stay in memory only to perform

private key operations?

Are all copies of the private key destroyed when private

key operation is complete?

If the private key is password protected, is the password

randomly selected from the space of 2⁵⁶ possible

passwords or is the password protected against brute force

search attacks?

Examples: Attempt to view the contents of the private key using a

document viewer program.

Statement: Applications shall store <u>Certificates</u> for subscribers (the owner of the <u>Public Key</u>

contained in the **Certificate**).

Rationale: This will allow other parties to use the public key to encrypt messages sent to the

application. This is ONLY for signed and/or encrypted e-mail.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section (4.5). Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Key Management (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Check for the availability of the public key from the

Directory Server application.

Procedure: See if it is possible to extract the public key certificate

from the Directory Server application

Statement: Applications shall provide capability to manage and store <u>trust points</u> (<u>Certificate</u>

Authority Public Key Certificates).

Rationale: This will ensure the certificate is valid and expedite verification of the certificate.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Key Management (Security)

Acquisition

Phase

Evaluation Criteria: Development

1. Test: *Check for the availability of the Certificate Authority*

public key from the application.

Procedure: View the application's trust list to verify DoD PKI Class 3

CA certificates are present.

Examples: For Internet Explorer, one can view the DoD PKI

Class 3 CA certificates by selecting:

Tools -> Internet Options. Click on Internet tab and click on Publishers button. Click on Trusted Root Certification Authorities tab and scroll down to verify that the DoD PKI

Class 3 CA certificates are present.

Web server CA certificates can usually be viewed by the application's GUI. If a GUI is not offered, reference the

application's manual in certificate management.

Statement: Application shall be able to recover data (key provided by the DoD <u>PKI KRM</u>).

Rationale: Applications may have the need to decrypt legacy information that the application

originally encrypted.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Key Management (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: The application shall demonstrate its ability to recover a

key provided by the DoD PKI KRM.

Procedure: Can the application process legacy data encrypted with old

keys?

Statement: Applications shall use 128 bit <u>symmetric keys</u>, 1024 bit <u>asymmetric keys</u>.

Rationale: Strong encryption ensures that data can not be decrypted using modern day

resources.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition Phase Development

Evaluation Criteria:

1. Test: Check to make sure encryption levels are adequately

configured.

Check to verify that the application supports domestic(US)

grade ciphers.

Procedure: Check the server configuration and verify that the ciphers

being used are 1024 and not 512.

Examples: Web server ciphers can be verified under SSL portion of

the configuration pages of the administration server.

For Internet Explorer 5.0 and above, click the Help menu and then click the About Internet Explorer option. The

About box will list the Cipher Strength.

Statement: Applications shall be capable of performing <u>Public Key</u> operations necessary to

verify signatures on DoD PKI signed objects (viz., Certificates, CRLs, and OCSP).

Rationale: The application must verify the digital signature and check its validity against the

current CRL maintained by an on-line repository (OCSP).

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: *Visually validate the signature.*

Check the validity of the certificate by either performing an

OCSP query or downloading the appropriate CRL.

Procedure: Verify that the application can validate the signature either

by manual inspection or by corrupting the digital signature

of the certificate.

Verify that the application can check the validity of the certificate by either downloading a CRL or by performing

an OCSP query.

Examples: Make a back-up copy of the certificate. For Windows

based applications, stop the application and edit the signature of the certificate and save the certificate. Start the application back up. The application should fail to start as

the signature check will fail.

For validity checking, confirm a validity check of the certificate was performed by viewing the application's

audit log.

Statement: Applications that interact with the DoD <u>PKI</u> using <u>SSL</u> (i.e., <u>HTTPS</u>) must be

capable of encrypting and decrypting data using the Triple Data Encryption

Algorithm (TDEA).

Rationale: Applications should use cryptographic modules approved under [FIPS 140], Level

1.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Visually verify that the application uses TDEA for

encrypting and decrypting data.

Procedure: Inspect the application's configuration file to confirm that

TDEA is used for encrypting and decrypting data.

Examples: Most server based applications have cipher related

information stored under SSL, certificates, or security. Verify that TDEA is being used by the application.

Statement: Applications using <u>Symmetric Encryption</u> must be capable of generating random

Symmetric Encryption keys.

Rationale: If the application can not generate random keys, then it is vulnerable to attacks if

attackers can determine the algorithm for generating the random symmetric

encryption keys.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition Phase Development

Evaluation Criteria:

1. Test: Check the method for generating the random seed.

Procedure: Verify that the random seed is generated. This may be

achieved by viewing the application's vendor

documentation.

Examples: Most server based applications either user MOD_SSL or

OPEN_SSL. These two toolkits properly use random seed

generators.

Apache based servers may require the administrator to type

random keystrokes on the keyboard. This process is

generating the random seed.

Statement: Applications shall protect <u>symmetric keys</u> for the life of their use.

Rationale: Symmetric keys are mainly used for each unique session. Not secure to reuse

symmetric keys for recurring use unless the keys are encrypted for storage.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: Check to make sure symmetric keys are not used

repeatedly.

Procedure: Verify that the symmetric keys are protected for the life of

their use. This may be achieved by viewing the

application's vendor documentation

Examples: Most server based applications either user MOD_SSL or

OPEN_SSL. These two toolkits properly protect the

symmetric keys for the life of their use.

Statement: Applications shall encrypt <u>symmetric keys</u> when not in use.

Rationale: Symmetric keys enable both sides of the conversation to have knowledge of the

key for encryption. It can not be given out freely, which means if it is going to be

stored for repeated use, it should be encrypted first before storage.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: Check to make sure symmetric keys are not stored

unencrypted.

Procedure: Visual inspection that the symmetric keys are not stored

unencrypted.

Statement: Applications shall be capable of producing <u>SHA</u> digests of <u>messages</u> to support

verification of DoD PKI signed objects.

Rationale: Symmetric keys enable both sides of the conversation to have knowledge of the

key for encryption. It can not be given out freely, which means if it is going to be

stored for repeated use, it should be encrypted first before storage.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Encryption Services (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Check to make sure symmetric keys are using SHA digest.

Procedure: Visually validate that the SHA digest is used for symmetric

keys.

Examples: Most application servers allow one to configure the

hash to SHA1. Please note that the default for most

applications is MD5.

Statement:

The application shall be able to request and obtain new <u>Certificates</u> for subscribers.

Rationale:

If the application generates subscriber keys, the application shall demonstrate the ability to generate keys, request new certificates, and obtain new certificates through interaction with the DoD PKI. If the generated keys are for encryption applications, the application shall demonstrate its ability to provide keys to the DoD PKI KRM.

Note

This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Section 4.3.2.2 Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By

Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test:

Check to make sure that the application can request and

obtain new certificates for subscribers.

Procedure: For application servers, verify that the application can

successfully request a certificate via the appropriate certificate request page from a DoD PKI CA.

For application servers, verify that the application can successfully download an issued certificate from a DoD

PKI CA.

Examples: Instructions in obtaining a DoD PKI certificate for a user

are available at https://infosec.navy.mil/PKI/users.html.

Instructions for obtaining a DoD PKI certificate for web servers including Netscape, Lotus, and IIS is available at https://infosec.navy.mil/PKI/training.html#general.

Statement: The application shall be able to retrieve <u>Certificates</u> and use them in relying party

operations.

Rationale: The ability to retrieve certificates from DoD certificates repositories further

ensures the certificates authenticity.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Section 4.3.2.3 Version 1.0. July 13,

2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Verify the application can obtain certificates from a DoD

PKI certificate repository.

Procedure: Verify that the application can communicate with a DoD

PKI certificate repository such as GDS.

Examples: This test procedure is only required for applications that

must send encrypted e-mail. For this scenario, assume that Outlook is used. Instructions for using Outlook 2000 is

available at

https://infosec.navy.mil/PKI/Outlook_2000_0704.pdf

Statement: Application shall be able to check <u>Certificate</u> status.

Rationale: Applications must verify the validity of the certificate prior to establishing trust

with the other entity. The DoD supports two mechanisms for validating certificates, CRL(s) or OSCP. CRL(s) will be the legacy system and applications should favor

OSCP for new development.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Section 4.3.2.4. Version 1.0. July 13,

2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *CRL*: See sublevel guidance [<u>G1330</u>].

Procedure: Verify that the application is successfully downloading a

CRL.

Examples: Visually inspect the application is configured to use

CRLs for validity checking. This can be achieved by looking at the directory in which the application

stores the CRLs.

2. Test: *OSC Responder: See sublevel guidance [G1331].*

Procedure: Verify that the application is successfully performing

OCSP queries to an OCSP Responder.

Examples: Visually inspect the application is configured to use OCSP

for validity checking. This can be achieved by looking at the configuration file to see that the application is configured to use OCSP. One can also visually look at the

application's log file to validate that the application is

making OCSP queries.

Statement: Application shall be able to perform status checking using retrieve Certificate

Revocation List (CRL) or Online Certificate Status Protocol (OCSP).

Rationale: Applications must verify the validity of the certificate prior to establishing trust

with the other entity. CRL is the legacy mechanisms for validating certificates. Applications should favor OSCP for new development. This is the sublevel

guidance to [G1329].

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Section 4.3.2.4.1 Version 1.0. July

13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *CRL:*

Applications operating in environments with network connectivity to a CRL distribution point should be able to obtain a current CRL. Applications should be able without user intervention to obtain a current CRL to check the status of a certificate that contains a CRL distribution point extension (see [IF]). Applications with network connectivity unable to automatically find CRL distribution points should be capable of being configured with a distribution point that the application then uses to obtain CRLs as needed. Applications shall be capable of accepting a CRL for use in certificate status checking.

Procedure: Verify that the application is successfully downloading a

CRL.

Examples: Visually inspect the application is configured to use CRLs

for validity checking. This can be achieved by looking at the directory in which the application stores the CRLs.

Statement: Application shall be able to retrieve <u>Certificates</u> and <u>CRL</u> from archive (directory

service).

Rationale: Applications must verify the validity of the certificate prior to establishing trust

with the other entity. CRL is the legacy mechanisms for validating certificates. Applications should favor OSCP for new development. This is the sublevel

guidance to [G1329].

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Section 4.3.2.4.2 Version 1.0. July

13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: OSCP Responder:

Applications may use an OSPC responder to check the status of a particular certificate when the DoD and/or Navy has an operational responder. Applications shall prepare and transmit the request to the responder using HTTP in accordance with the DoD Class 3 PKI

Infrastructure Interface Specification, Draft Specification. The application shall be able to accept OSCP responses.

Procedure: Verify that the application is successfully performing

OCSP queries to an OCSP Responder.

Examples: Visually inspect the application is configured to use OCSP

for validity checking. This can be achieved by looking at the configuration file to see that the application is configured to use OCSP. One can also visually look at the

application's log file to validate that the application is

making OCSP queries.

Statement: <u>Certificate</u> signatures shall be verified using the <u>Certificate</u> issuer's <u>Public Key</u>.

Rationale: Applications will be able to verify the authenticity of the data stored in the

certificate.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: Verify that the certificate was issued by a DoD PKI Class 3

CA.

Procedure: Visually verify the application's certificate was issued by a

DoD PKI Class 3 CA.

Examples: Most applications have a GUI to view the application's

certificate. View the certificate and look at the issuer's Distinguished Name and associated Serial Number. Next, check the issuer's certificate and verify that the Serial Number is the same as the one in the application's

certificate.

Statement: Certificate's effective date shall fall within the Certificate's validity period.

Rationale: Expired certificates should not be accepted except in cases where legacy data was

archived.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: *Verify that the certificate has not expired and is within the*

certificate's validity period.

Procedure: Visually inspect the certificate's validity dates. The

certificate should be valid and not expired.

Examples: Each digital certificate has a lifetime. When viewing a

certificate, the certificate will have a valid from date and a valid to date. The current date should fall within this range.

Statement: Applications shall ensure that the intended use of the <u>Certificate</u> is consistent with

the extensions.

Rationale: DoD PKI certificates support extensions which are used to indicate different levels

of standard bodies or organizations. Applications use of the certificate should be

consistent with the organization in which serves.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: The applications must support the extensions used by the

DoD PKI.

Procedure: Verify that the application supports the extensions used by

the DoD PKI.

Examples: Reference Appendix D in the Interface Specification to

determine what extensions are required for the application.

Statement: Applications shall be capable of being configured to operate with only DoD <u>PKI</u>

trust points.

Rationale: DoD trust points ensure certificates are chained to the issuer of the certificate and

are authentic.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase Development

Evaluation Criteria:

1. Test: The application is configured to operate with only DoD

PKI Trust Points (CA certificates)

Procedure: Visually inspect that only the DoD PKI certificates are

trusted by the application.

Examples: Applications typically allow one to view the trust points

via the administrative interface to the application. CA certificates are typically located under Certificate

Management, SSL, or Security.

Statement: The application shall demonstrate its ability to store DoD <u>PKI trust points</u>.

Rationale: Applications are public keys of issuing authority. It is a trusted link the chain of certificates. It expedites the certificate validation process because if the certificate

is trusted, then any link the path to ROOT certificate is trusted.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Section 4.3.1.3 Version 1.0. July 13,

2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: The application can install and trust DoD PKI trust points

from the intermediate CAs to the Root certificate.

Procedure: Visually inspect that the intermediate DoD PKI CA

certificates and the DoD PKI Root certificate are located in

the application's trusted root database.

Examples: Applications typically allow one to view the trust

points via the administrative interface to the application. CA certificates are typically located under Certificate Management, SSL, or Security.

Statement: Application shall be capable of path development and path processing.

Rationale: Path development and path processing is critical to verifying the validity of the

certificate.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Section 4.3.4 Version 1.0. July 13,

2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase Development

Evaluation Criteria:

1. Test: *Check for application ability to verify a certificate.*

Procedure: Several paths will be provided for verifying the path

processing capability. Both valid and invalid paths will be tested. Tests such as expired and revoked certificates, invalid signatures, broken chains, and improper use of extensions will be used. Certificates that do not satisfy the profile for DoD certificates will be used for verifying these

requirements.

Examples: In Internet Explorer, remove the DoD PKI Root certificate

from the trust points. View an intermediate CA certificate and click on Certification Path. You will see that the path

is invalid.

View the validity period of a DoD PKI certificate. Set the

clock forward to beyond this validity period. The

application should indicate that the certificate has expired.

Statement: Applications and <u>Certificates</u> need to be able to support multiple organizational

units.

Rationale: DoD requirements dictate that certificates shall support multiple organizational

units.

Note: This guidance is derived from the DoD Class 3 PKI – Public Key-Enabled

Application Requirements Document. Version 1.0. July 13, 2000.

Derived From

Justifies

Referenced By Certificate Processing (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: The application can process a certificate that contains

multiple organizational units in the Distinguished Name.

Procedure: Visually inspect the DoD PKI CA certificates stored in the

application. You will notice that each certificate contains multiple organizational units (OU=DoD, OU=PKI)

Examples: The majority of certificate request forms do not contain

entries for multiple organizational units. In this case, include all of the organizational unit information in the single line. For example, for Navy, please enter the following information next to the Organizational Unit line:

Navy, OU=DoD, OU=PKI.

Once the certificate is issued, visually inspect this certificate to verify that the certificate contains these

Organizational Unit values.

Practice defensive programming by checking all method arguments. **Statement:**

Data validation is not limited to Graphical User Interfaces. API(s) and library Rationale:

functions are also susceptible to corruption. The integrity of application can

benefit from identifying invalid data as early as possible.

Derived From

Justifies

Application Programming Interface (Security) Referenced By

Acquisition

Development Phase

Evaluation Criteria:

Test: 1. Check to make sure applications perform range validation.

> **Procedure:** Check for unit tests.

> > Check thrown exceptions.

Purposely send invalid data to API(s) to test the integrity

and handling of invalid data.

Statement: Log all exceptional error conditions.

Rationale: Logging exceptional conditions that the application is not expecting can help in

identifying security problems and trace or trigger security alerts.

Derived From

Justifies

Referenced By Application Programming Interface (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Check to make sure applications perform logging of

exceptional conditions.

Procedure: Check exception handlers for logging support.

Statement: Use a security manager support to restrict application access to privileged system

resources.

Rationale: Desktop applications by default do not install a security manager. Installing a

security manager could prevent unsecured access to system resources such as network and file system. Desktop applications can benefit from using a security

manager to ensure that system resources are protected.

Derived From

Justifies

Referenced By Java API (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: Check for the installation of security manager in the

desktop application.

Procedure: Check application main method for installation of a

security manager.

Statement: Class internal variable access should be restricted to the class.

Rationale: One of the primary tenants in Object Oriented Programming is encapsulation.

Restricting access to internal variables not only secure the Class / Object against corruption (no data validation), it is also a maintenance issue. Hiding the implementation details allows the flexibility of underlying implementation to

change.

Derived From

Justifies

Referenced By Java API (Security)

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: *Check classes for exposing internal data members.*

Procedure: Make sure all internal class variables are declared private

or protected.

Statement:

Declare classes final to stop inheritance and prevent methods from being overridden.

Rationale:

Utility classes and classes that do not intend to be extended (classes used for user authentication) should lock down their implementation. Locking implementation can prevent methods from being overridden. Not locking down implementation can cause corruption internal class data or allow errant code to run. For example, imagine the possibility of a class that perform credit card processing that can be overridden.

Class implementation can be lock down by declaring class final or methods final.

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation Criteria:

Java API (Security)

Development

1. Test: Check sensitive, security related, and utility classes for

final key use.

Procedure: Check classes used in Security related processing

(authentication, authorization) final keyword.

Check classes that have sensitive data (social security numbers, medical data, and salary information) for final

keyword.

Check Utility classes for final keyword.

Statement: Encrypt sensitive data stored in configuration or resource files.

Rationale: Sensitive data used for application configuration files (XML), user profiles, or

resource files should be protected from tampering. The sensitive data should be encrypted and or a message digest or checksum should be calculated to check for tampering. Application should handle generation, accessing and storing data to

these files.

Derived From

Justifies

Referenced By Application Resource Security

Acquisition

Phase

Evaluation Criteria:

Development

1. Test: *Check to configuration files and user profiles to see if*

 $sensitive \ data \ are \ in \ the \ clear \ unencrypted.$

Procedure: Check properties files, XML configuration files or user

profiles for sensitive data in the clear.

Check for an application to edit, and creation of the file.

Statement: Bundle read only resources as part of the software and <u>digitally signed</u> the software

bundle to prevent tampering.

Rationale: Static resources such as menu text, GUI labels, and error messages are often stored

in resource bundles (properties files). To prevent tampering, these files should be bundled with the application. The library could be digitally signed which can be

checked for tampering.

Derived From

Justifies

Referenced By Application Resource Security

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Check library for resource files and the library has been

digitally signed.

Procedure: Make sure the library or application bundle contains all

external read-only resources and does not exist outside of

the application. Check for separate files.

For Java, check the jar files META-INF for digital

signature information.

Statement:

Audit database access.

Rationale:

Auditing is critical for data access traceability. If the RDBMS was attacked, auditing is essential not only for figuring out what had occurred but also to recover lost data. Database access auditing logs each access of the database by a given user in an audit log. The log is aware of which database user made each change. But current middle tier based technology (e.g. J2EE, .Net, CORBA, etc.) shares connections and connection pools and may only have a single database user. Thus the burden is on the middle tier to know the identity of each user and be able to pass this information on the database (e.g., design each table to have data items such as updated by, created by, etc.)

New industry standards are emerging in which auditing will be used to trigger

Derived From

Justifies

Referenced By

D----1-----

Acquisition Phase

Evaluation Criteria:

Development

1. Test: Actual user logging is not available automatically in

DBMS systems. Only the database connection owner is known. For web based applications, often only the IP address of the user is known. Actual user access can only be determined if the application has user authentication and passes the user identity to the database for inclusion in

the audit log.

Relational Database Management Systems (Security)

Procedure: Does the application database include actual user rather

than database connection owner?

Statement: Secure remote connections to database.

Rationale: Just because the database is behind the corporate firewall, does not mean someone

inside the firewall can't not access or listen in on the wire.

Net-centricity implies that a database should be on the network and not constrained to be sitting behind an application server. This means that many unanticipated users may eventually access the database. Thus database security should thus not

be based on isolation.

Derived From

Justifies

Referenced By Relational Database Management Systems (Security)

Acquisition Phase Development

Evaluation Criteria:

1. Test: Check to make sure data between database and client is

secure.

Procedure: Check for secure protocol between application and

database. (SSL)

Check for secure data access by IP address.

Check for configuration in the database (user) which limit

user from a specified host.

Log database Transactions. **Statement:**

Transaction logging is generally handled by the database management system and Rationale:

records all changes made to the database, critical for data recovery and

traceability.

Derived From

Justifies

Relational Database Management Systems (Security) Referenced By

Acquisition

Phase

Development

Evaluation Criteria:

Test: 1. Make sure that database transactions are logged.

> **Procedure:** Commercial database management systems have a feature

> > to log database transactions. Check to determine whether the feature has been turned on in the database management

system.

Statement: Validate all input that will be use as part of any dynamically generated <u>SQL</u>.

Rationale: Not validating or filtering parameters used in dynamically generated SQL

statements can lead to SQL injection attacks.

Derived From

Justifies

Referenced By Relational Database Management Systems (Security)

Development

Acquisition

Phase

Evaluation Criteria:

1. Test: *Check for filtering or data validation code.*

Procedure: Filter out character like single quote, double quote, slash,

back slash, semi colon, extended character like NULL,

carry return, new line, etc, in all input strings.

Statement: Implement a strong password policy for <u>RDBMS</u>.

Rationale: Clean database installation often contains no passwords for root users. Also, new

user accounts often defaults to no password or standard password. Having no passwords allows users access any data. Database users should always be given strong passwords. This implies a non null password, locking unused user accounts

and ensuring that system user accounts are not using default passwords

Derived From

Justifies

Referenced By Relational Database Management Systems (Security)

Acquisition

Development

Phase Evaluation

Criteria:

1. Test: Check user table for passwords.

Procedure: Check for null or empty values for passwords in the user

table.

Use a commercially available or open source default password analysis tool to ensure that all user accounts do

not retain default passwords and to ensure that all

passwords are strong.

Statement:

Enhance Database security by using multiple user accounts with constraints.

Rationale:

Constrain access to individual tables and functions by creating multiple user accounts for an application and constraining the accounts to specific functions. As a general policy, user accounts should be constrained to the minimal required database access. For example, creation of a read only account should be constrained by granting only select on the tables of interest to the read only user. This aids in password management as well as limiting the potential impact of SQL injection attacks. By granting only insert on a table, for example, and not granting select, the user could in effect create a write only database.

Each application will have different requirements in regards to grants and access to tables. If one application is compromised, it will not affect the other applications.

It also has traceability to determine which application has allowed a security violation.

Derived From

Justifies

Referenced By

Relational Database Management Systems (Security)

Acquisition Phase

Evaluation Criteria:

Development

Test: 1. Check each database application user to ensure that the

account constraints are in accordance with the user

function.

Procedure: Are there read-only accounts for read-only applications

such as reports?

Do accounts have unwarranted privileges (such as DBA

access)?

Does each application making use of a database have its on

set of database user accounts?

Statement: Use database clustering and RAID for high availability of data.

Rationale: Database clusters combined with RAID technology (e.g., data striping and

mirroring) can help ensure continued operation of a system that suffers hardware or

software failure.

Derived From

Justifies

Referenced By Relational Database Management Systems (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: Make sure the system is designed to support high

availability.

Procedure: Check for the existence of a cluster and/or failover

capability.

Check for the existence of RAID data storage for the

database.

Use read only, and write only databases for sensitive data. **Statement:**

Large web sites such as Amazon use write only database to protect sensitive data Rationale:

such as credit cards. This ensures that sensitive data can not be read externally.

Separate internal applications can continue to process the data.

Derived From

Justifies

Relational Database Management Systems (Security) Referenced By

Acquisition

Phase

Evaluation Criteria:

1. Test:

Development

Make sure sensitive data is not readable, and modifiable

externally by the application.

Procedure: Check the access rights in user tables.

Statement: Authenticate data using row or column level <u>Encryption</u>.

Rationale: For sensitive data, row or column level encryption should be used to protect the

data. This cost nothing to the application developer and is more a function of the database design. The database automatically handles the encryption and decryption transparently of the application needing to support it. This will protect sensitive data in cases where the database has been compromised. The data will be

encrypted so it can't be used.

Derived From

Justifies

Referenced By Relational Database Management Systems (Security)

Acquisition Phase

Development

Evaluation Criteria:

1. **Test:** Make sure sensitive data either column or row has been greated with energyption support (database dependent)

 $created\ with\ encryption\ support\ (database\ dependent).$

Procedure: Check to make sure the database supports row and column

level encryption.

Check the column attributes or table attributes to make

sure that encryption has been enabled.

Use **SOAP** standard for all **Web Services**. **Statement:**

The web services security specifications are designed as an extension of SOAP. Rationale:

The specs are unusable without SOAP.

Derived From

Justifies

General Web Services (Security) **Referenced By**

Acquisition Phase

Development

Test: 1. Evaluation

Criteria:

Procedure:

Statement: Do not rely on transport level security like <u>SSL</u> or <u>TLS</u>.

Rationale: Web Services inherently involve multiple intermediaries between the message

sender and the ultimate destination. The intermediaries may not use transport level

security.

Derived From

Justifies

Referenced By General Web Services (Security)

Acquisition

Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Turn on auditing and sign the audit logs.

Rationale: Auditing will help track all the activity that occurs on a service provider. A signed

audit log will prevent hackers from covering their tracks after a break in.

Derived From

Justifies

Referenced By General Web Services (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test:

Procedure:

Do not place Web Service security policies inside a UDDI registry. Put all security **Statement:**

policies in the WSDL file.

UDDI registries are not enjoying the industry acceptance as anticipated. Using the Rationale:

WSDL will ensure interoperability and maintainability.

Derived From

Justifies

General Web Services (Security) Referenced By

Acquisition

Phase

Development

1.

Evaluation Criteria:

Procedure:

Test:

Statement: Use the <u>XML</u> Infoset standard to serialize <u>message</u>.

Rationale: XML signatures rely on a character-by-character comparison for proper operations.

A one character difference is a different result. So using a standard for serialization

is very important to successful communications.

Derived From

Justifies

Referenced By General Web Services (Security)

Acquisition

Phase

sition Development

Evaluation Criteria:

1. Test:

Procedure:

Statement: Service providers should place their canonicalization method inside the WSDL file

as an assertion(portType binding or port).

Rationale: This assures that all users have a consistent view of all non-critical information like

line breaks, tabs and closing tags.

Derived From

Justifies

Referenced By General Web Services (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test:

Procedure:

Statement: Use very intensive input validation (using a <u>schema</u>).

Rationale: Prevent malicious agents from compromising the integrity of a service. (well-

formed?).

Derived From

Justifies

Referenced By General Web Services (Security)

Acquisition

Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Do not use clear text passwords.

Rationale: Prevents a hacker from intercepting and seeing a real password.

Derived From

Justifies

Referenced By Authentication (Security)

Acquisition

Phase

Development

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Hash all passwords using the combination of a timestamp, a nonce and the password

for each message transmission.

Rationale: Prevents a hacker from intercepting and using a clear-text-hashed password in his

own message.

Derived From

Justifies

Referenced By <u>Authentication (Security)</u>

Acquisition

Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Specify a timeout value for all security tokens.

Rationale: Limits a hackers ability to intercept and use the entire security token (username,

password, timestamp, password) in his own message.

Derived From

Justifies

Referenced By <u>Authentication (Security)</u>

Acquisition Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Sign all <u>message</u>.

Rationale: Prevents hackers from changing intercepting and modifying a message.

Derived From

Justifies

Referenced By Integrity (Security)

Acquisition

Development

Phase

Evaluation

1. Test:

Criteria:

Procedure:

Sign only the part of the message that needs to be signed. **Statement:**

Allows message parts to be targeted at different intermediaries along the message Rationale:

path to the final destination.

Derived From

Justifies

Integrity (Security) **Referenced By**

Acquisition Phase

Development

Test: 1. Evaluation

Criteria:

Procedure:

Statement: Sign any part of a <u>message</u> not <u>encrypted</u>.

Rationale: Prevents hackers from changing intercepting and modifying a message.

Derived From

Justifies

Referenced By <u>Integrity (Security)</u>

Acquisition

Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Sign all requests made to a security token service.

Rationale: Prevents hackers from intercepting a message and requesting a security token.

Derived From

Justifies

Referenced By <u>Integrity (Security)</u>

Acquisition

Phase

Development

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Sign all <u>WSDL</u> files.

Rationale: Prevents hackers from changing parts of the WSDL file.

Derived From

Justifies

Referenced By <u>Integrity (Security)</u>

Acquisition

Development

Phase

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Use the <u>Digital Signature Standard</u> for creating <u>Digital Signatures</u>.

Rationale: Following Industry standards ensure interoperability.

Derived From

Justifies

Referenced By <u>Integrity (Security)</u>

Acquisition

Phase

Development

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Use an X.509 <u>Certificate</u> to pass a <u>Public Key</u>.

Rationale: This ensures that the owner passing the key is who he says.

Derived From

Justifies

Referenced By <u>Integrity (Security)</u>

Acquisition

Development

Phase

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Encrypt all message.

Rationale: Prevents hackers from reading sensitive information.

Derived From

Justifies

Referenced By Confidentiality (Security)

Acquisition

Phase

Development

Evaluation

on 1. Test:

Criteria:

Procedure:

Statement: Encrypt only the part of the <u>message</u> that needs to be encrypted.

Rationale: Allows message parts to be targeted at different intermediaries along the message

path to the final destination.

Derived From

Justifies

Referenced By Confidentiality (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test:

Procedure:

Statement: Use <u>Asymmetric Encryption</u>.

Rationale: Most web services exchange very few messages so the fact that asymmetric

encryption is computationally intensive is a non-issue. Symmetric encryption is more efficient but, it is done by sharing a secret key outside the SOAP message

communication, which is less portable.

Derived From

Justifies

Referenced By Confidentiality (Security)

Acquisition Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: Do not <u>encrypt</u> key elements that are needed for correct <u>SOAP</u> processing.

Rationale: This allows intermediaries to correctly process the XML without knowing how to

perform decryption.

Derived From

Justifies

Referenced By Confidentiality (Security)

Acquisition Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Statement: All connections to the <u>LDAP</u> repository must be performed using <u>LDAP</u> 3.0.

Rationale: To ensure interoperability of the directory repository component by other

consumers the use the industry proven LDAP standards is highly recommended. It is preferred that all interaction with this components use a SSL secure LDAP connection at port 636 to avoid any security by sending requests in the clear.

Derived From

Justifies

Referenced By

LDAP (Security)

Acquisition Phase

se

Development

Evaluation Criteria:

1. Test: Check the LDAP connection at port 389 by sending the

command to the LDAP Bind Request Operation.

Procedure: Send a simple BIND request to the directory. The function

of the BIND operation is to initiate a protocol session between a client and the server, and to allow the

authentication of the client to the server.

Examples: Add Bind Request code sample for LDAP.

2. Test: Check port 636 if supporting secure LDAP or SLDAP

Procedure: Test the connection using...

Examples: Add Bind Request code sample for SLDAP.

Statement: Encrypt the <u>LDAP</u> repository.

Rationale: To ensure security the LDAP repository must be encrypted to avoid outside attacks

against the data in the datastore.

Derived From

Justifies

Referenced By

Development

LDAP (Security)

Acquisition

Phase

Evaluation Criteria:

1. Test:

Check the LDAP connection at port 389 by sending the

 $command\ to\ the\ LDAP\ Bind\ Request\ Operation.$

Procedure: Send a simple BIND request to the directory. The function

of the BIND operation is to initiate a protocol session between a client and the server, and to allow the authentication of the client to the server.

Examples: Add Bind Request code sample for LDAP.

2. Test: Check port 636 if supporting secure LDAP or SLDAP

Procedure: Test the connection using...

Examples:

environ.put(Context.INITIAL_CONTEXT_FACTORY,
 "com.sun.jndi.ldap.LdapCtxFactory");

environ.put(Context.PROVIDER_URL,
"ldap://localhost:636/");

environ.put(Context.SECURITY_AUTHENTICATION,
"EXTERNAL");

environ.put(Context.SECURITY_PROTOCOL, "ssl");

DirContext contxt = new
InitialDirContext(environ);

Must use **SAML** version 2.0. **Statement:**

SAML 2.0 support XML assertions for support cross domain access and web Rationale:

services. The value to this type of access is the passing of an assertion eliminates to

the need to create another account in another domain.

Derived From

Justifies

SAML (Security) Referenced By

Acquisition

Phase

Test: 1. Evaluation

Criteria:

Procedure:

Development

Statement: SAML based rule engines should be based on XACML 2.0 standards.

Rationale: XACML based rules can define the mechanism for creating the rule and policy set

that enable meaningful authorization decisions. XAMCL is also integrated with SAML to support Role based access control or hierarchical resources, such as

portions of XML documents.

Derived From

Justifies

Referenced By SAML (Security)

1.

Acquisition

Phase

Evaluation Criteria:

Development

Test the rules engine with a soap call.

Emulate a rule and run against rule engine using SOAP

messaging.

Examples:

Procedure:

Test:

Statement: Encrypt all sensitive persistent data.

Rationale: When data is persisted, there is always a chance that the security of the system that

stores the data may be compromised. To minimize the risk, all sensitive data such as passwords and personal information should be encrypted when it is persisted.

Derived From

Justifies

Referenced By Data Tier (Security)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: Is all sensitive data that is persisted encrypted?

Procedure: Look at all data stores and check for encrypted passwords

and other sensitive data..

Examples:

Statement:

Be associated with one or more communities of interest (COI's).

Rationale:

The DoD's Net-Centric Data Strategy emphasizes the establishment of communities of interest (COI's). This strategy introduces management of data within communities of interest (COI's) rather than standardizing data elements across the DoD. Thus all DoD Programs must map to one of more COI's. DoD Programs should participate in COIs as a normal course of doing business. They will identity relevant COIs; actively collaborate with them to promote reuse and cross-coordination of metadata; sponsor participation of system developers in the COI process and where appropriate contribute engineering expertise to the COI as a stakeholder SOR. New programs will include community collaboration requirements in acquisition documents as required by NESI.

Derived From

Justifies

Referenced By

Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII Checklist

Acquisition

Phase

Evaluation Criteria:

Development

1.

Test: Has the Program been associated with a <u>COI</u> (community

of Interest)?

Procedure: Check DoD Metadata registry to determine whether

program is associated with **COI** (s).

Statement:

Use a <u>registered namespace</u> in the <u>XML Gallery</u> in the <u>DoD Metadata Registry</u>.

Rationale:

The use of the <u>DoD Metadata Registry</u> helps to avoid name collisions and conflicts.

The assignation of a unique <u>registered namespace</u> permits a program to be uniquely identified and categorized. The DoD's Net-Centric Data Strategy requires that data products be stored in shared spaces to provide access to all authorized users and that these data products be tagged with <u>metadata</u> to enable discovery of data by authorized users. The use of a unique <u>registered namespace</u> provides an absolute identifier to products associated with a particular product and is an <u>XSD</u> schema requirement.

Derived From

Justifies

Referenced By

Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase

Evaluation Criteria: Development

1. Test: *Has the program been assigned a namespace for its XML*

data assets?

Procedure: Check DoD Metadata registry to determine whether

program is associated with **COI** (s).

Statement: Review XML Information Resources in the <u>DoD Metadata Registry</u> using those

which can be reused.

Rationale: The DoD's Net-Centric Data Strategy requires that <u>XML</u> information resources

within a <u>COI</u> in the <u>DoD Metadata Registry</u> be examined by DoD projects for possible reuse to help foster common standards within a <u>COI</u> and promote

interoperability.

Note that the proposed <u>DoD Metadata Registry</u> tools have not been formally released. The Beta version thereof is in testing. Automatic Waivers of this requirement will be permitted until the tools are formally released.

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase

Development

Evaluation Criteria:

1. **Test:** Has the program reused information resources from the

DoD Metadata Registry?

Procedure: Check the XSD's associated with the program to determine

whether XSD's referenced by other namespaces have been used. Check the <u>DoD Metadata Registry</u> to determine whether the Program has registered the reuse of XML information resources belonging to other namespaces. Reuse is indicated by formally subscribing to selected

components in the registry.

Statement: I Identify <u>XML Information Resources</u> for registration in the <u>XML Gallery</u> of the

DoD Metadata Registry.

Rationale: The DoD's Net-Centric Data Strategy requires that <u>XML Information Resources</u>

developed during the course of a program be identified, examined for usefulness by other DoD Programs in the same or related <u>COI</u>'s and be submitted for inclusion in

the XML Gallery of the DoD Metadata Registry.

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Has the program submitted new information resources to

the DoD Metadata Registry?

Procedure: Check the XSD's associated with the program namespace

to determine whether they have been registered in the **DoD**

Metadata Registry XML Gallery.

Statement: Identify <u>data elements</u> developed in the <u>relational database</u> technology during the

program for registering in the Data Element Gallery of the DoD Metadata Registry.

Rationale: The DoD's Net-Centric Data Strategy requires that data elements developed during

the course of a program be identified, examined for usefulness by other DoD Programs in the same or related <u>COI</u>'s and be submitted for inclusion in the Data

Element Gallery of the **DoD Metadata Registry**.

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Has the Program submitted common database elements to

the DoD Metadata registry?

Procedure: Check the <u>DoD Metadata Registry</u> Data Element Gallery

to determine whether the program has submitted database

elements for reuse.

Statement: Review predefined commonly used <u>data elements</u> in the <u>Data Element Gallery</u> of the

 $\underline{DoD\ Metadata\ Registry}\ using\ those\ in\ the\ \underline{relational\ database}\ technology\ which\ can$

be reused in the program.

Rationale: The DoD's Net-Centric Data Strategy requires that data element information

resources within a <u>COI</u> in the <u>DoD Metadata Registry</u> be examined by DoD Programs for possible reuse to help foster common standards within a <u>COI</u> and promote interoperability. Elements include US State Codes and Country Codes. This reuse is to be done in preference to reuse existing industry standard <u>data</u>

elements or developing new data elements.

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase

Development

Evaluation Criteria:

1. Test: *Has the Program reused common database elements?*

Procedure: Check the <u>DoD Metadata Registry</u> Data Element Gallery

to determine whether the program has registered database elements for reuse. Reuse is indicated by formally subscribing to selected components in the registry. Check the program database to see whether registered data

elements have been included therein.

Examples: None

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Statement:

Use predefined commonly used database tables in the DoD Metadata Registry.

Rationale:

The DoD's Net-Centric Data Strategy requires that data table information resources within a COI in the DoD Metadata Registry be examined by DoD Programs for possible reuse to help foster common standards within a COI and promote interoperability. This reuse is to be done in preference to reuse existing industry standard <u>data elements</u> or developing new <u>data elements</u>. Some examples are: Country Code, US State Code, Purchase Order Type Code, Security Classification Code. These tables are found in the Reference Data Set Gallery of the DoD

Metadata Registry.

Derived From

Justifies

Referenced By

Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII Checklist

Acquisition Phase

Development

Evaluation Criteria:

1. Test: Has the Program reused common database tables?

> Check the DoD Metadata Registry Reference Dataset **Procedure:**

> > Gallery to determine whether the program has registered database tables for reuse. Reuse is indicated by formally subscribing to selected components in the registry. Check the program database to see whether registered data tables

have been included therein.

Statement: Publish database tables which are of common interest by registering them in the

Reference Data Set Gallery of the DoD Metadata Registry.

Rationale: The DoD's Net-Centric Data Strategy requires that data tables developed during the

course of a program be identified, examined for usefulness by other DoD Programs in the same or related <u>COI</u>'s and be submitted for inclusion in the <u>Reference Data</u>

Set Gallery of the DoD Metadata Registry.

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase Development

Evaluation Criteria:

1. Test: Has the Program submitted common database tables to the

DoD Metadata registry?

Procedure: Check the <u>DoD Metadata Registry</u> Reference Dataset

Gallery to determine whether the program has submitted

database tables for reuse.

Statement: Standardize on the terminology published by relevant <u>COIs</u> listed in the <u>Taxonomy</u>

Gallery of the DoD Metadata Registry.

Rationale: A <u>taxonomy</u> partitions the body of knowledge associated with a <u>COI</u> and defines

the relationships among component parts. A <u>taxonomy</u> permits classification of concepts associated with a <u>COI</u>. This in turn provides categories and definitions for discovery tags which aids in information use and retrieval by authorized users. Program use of <u>COI taxonomies</u> occurs in several places. 1) <u>taxonomy</u> use to describe information services for discovery, 2) <u>taxonomies</u> created by the <u>COI</u> as a means to extend the <u>DDMS</u> for data asset discovery, 3) <u>taxonomies</u> used to support

mediation

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition Phase Development

Evaluation Criteria:

1. Test: *Has the Program adhered to the standard taxonomies for*

the *COI*'s associated with the program?

Procedure: Check the DoD Metadata Registry and Taxonomy Gallery

to determine whether <u>taxonomies</u> exist for the <u>COI</u> in

which the program resides.

Statement: Identify <u>taxonomy</u> additions or changes in conjunction with the <u>COIs</u> during the

program for potential inclusion in the <u>Taxonomy Gallery</u> of the <u>DoD Metadata</u>

Registry.

Development

Rationale: To maintain an accurate and effective taxonomy in a specific <u>COI</u>, it is necessary

for programs associated with the **COI** to identify and submit potential taxonomy

changes or additions to the **DoD Metadata Registry**.

Derived From

Justifies

Referenced By Metadata Registry

Acquisition

Phase

Evaluation Criteria:

1. Test: Has the Program submitted taxonomy additions or

changes to the DoD Metadata registry?

Procedure: Check the <u>DoD Metadata Registry</u> and <u>Taxonomy Gallery</u>

to determine whether the program has submitted taxonomy

changes for reuse.

Statement: Adhere to a common mechanism of service location.

Rationale: Program information services are provided via a shared space for use by

consumers. In order to locate these services and access the corresponding information provided, the services should be registered in the <u>service registry</u> per

direction of the shared information space manager.

Derived From

Justifies

Referenced By Metadata Registry, Family of Interoperable Operational Pictures (FIOP), ASD NII

Checklist

Acquisition

Phase

Development

Evaluation Criteria:

1. Test: Has the Program generated default service definitions and

registered them in the DoD service registry?

Procedure: Review that there is a service definition (<u>URL</u>'s, <u>WSDL</u>

entries, etc) for each of the program information services

and that they have been registered accordingly.

Statement: Establish a Workflow Design Pattern <u>Repository</u> in the DoD environment.

Rationale: Many DoD software development projects require the solution of a small number

of standard problems. Typically, developers reinvent the same algorithm. Workflow Design Patterns provide a mechanism to capture standard problem solutions and make them available to the development community. This has the advantage of standardizing pattern nomenclature and saving development time.

Derived From

Justifies

Referenced By Metadata (Mediation)

Acquisition

Phase

Development

Evaluation Criteria:

1. Test:

Procedure:

Statement: Use Design Patterns found in the DoD Workflow Design Pattern Repository for web

service business process development.

Rationale: By standardizing on a predefined set of Design patterns, software developers in the

DoD environment will promote reuse, simplify testing, simplify documentation,

and reduce software errors.

Derived From

Justifies

Referenced By Metadata (Mediation)

Acquisition

Development

Phase

Evaluation 1. Test:

Criteria:

Procedure:

Statement: Add a mechanism to permit the addition of design patterns to the DoD Workflow

Design Pattern Repository.

Rationale: When standard design pattern do not fit the task at hand and new patterns must be

developed, ensure that these new patterns are added to the DoD Workflow Design Pattern Repository. Note that a range of existing design patterns have been built into some of the business process languages and that it may not be possible to

implement a new design pattern.

Derived From

Justifies

Referenced By Metadata (Mediation)

Acquisition Phase

Development

Evaluation

1. Test:

Criteria:

Procedure:

Best Practices Details

Best Practices Details

A NESI Best Practice is very similar to guidance except the definition of a Best Practice is not as rigorous. A Best Practice is **not** required to be:

- Absolute
- Definitive
- Testable
- Measurable

Best Practices are provided as "advice" to the program or project and can optionally have all the same parts as NESI Guidance. If a NESI Best Practice has evaluation Criteria, it can be required by a specific program or project and is completely at the discretion of the program or project. This type of situation is likely to occur when a NESI Guidance Statement covers an emerging or new standard.

NESI Best Practices Example

Examples can be provided as a reference for illustrative purposes for some or all of the Guidance Details and Best Practice Details provided within the Perspective. These are not to be confused with the detailed examples that are provided as part of the Evaluation Criteria.

This section contains a complete set of the numbered best practices that are referenced elsewhere in this guide.

Statement Use one of these standard fonts in web pages, in this order of preference: Verdana,

Universal, Sans Serif. Do not use Times New Roman.

Rationale Web pages are easier to read with suggested fonts.

Derived From

Justifies

Referenced By GUI Design AcquisitionDevelopment

Phase

Evaluation None

Statement Do not underline any text unless it is a link.

Rationale Underlined text is the default behavior of an HTML link. Many users consider this

the norm and may find a web page difficult to read if other items are underlined.

Derived From

Justifies

Referenced By AcquisitionGUI Design
Development

Phase

Evaluation None

Statement Use hex codes for all colors (e.g., #FFFF33), never the color name (e.g., yellow).

For an online hexadecimal color chart, see

http://webmonkey.wired.com/webmonkey/reference/color_codes/.

Rationale Increases compatibility between browsers. Industry standard.

Derived From

Justifies

Referenced By GUI Design

Acquisition Development

Phase

Evaluation

Criteria

None

Statement Do not change the default colors of the links.

Rationale Web pages are easier to read because users have become accustomed to the default

colors.

Derived From

Justifies

Referenced By GUI Design AcquisitionDevelopment

Phase

Evaluation None

Statement Do not build a <u>web page</u> where the horizontal width is greater than the screen.

Vertical scrolling is fine. Plan for the lowest common denominator to be super-VGA

resolution or 600 x 800.

Rationale This enables you to print pages on most printers and render pages on most displays.

Derived From

Justifies

Referenced By GUI Design

None

Acquisition Development

Phase

Evaluation

Criteria

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Statement Use standard controls that provide input choices for the user. These controls might

include radio buttons, check boxes, list boxes, and drop-downs.

Rationale Reduces user input errors.

Derived From

Justifies

Referenced By

Acquisition

Development

Phase

Evaluation None

Statement All application developers should use the <u>ANT</u> build tool to build, package, and

deploy J2EE applications.

Rationale There are several good <u>IDEs</u> on the market to support developing J2EE

applications. However, different IDEs tend to auto-generate code that does not port

to other IDEs, creating a problem when sharing code between groups using different IDEs. To minimize these compatibility issues and development

environment turf wars, common building tools need to be used.

Derived From

Justifies

Referenced By Automate the Build Process

Acquisition

Phase

Development

Evaluation Criteria None

Statement When <u>deploying</u> a new application to a WebLogic <u>application server</u> (e.g., <u>ear</u>,

war, rar), do not edit the WebLogic startup file to add application-specific information. This file is used for server startup only and should not contain

application-specific logic. The system administrator must approve and coordinate all

updates to this file.

Rationale Server startup should not depend on an individual application.

Derived From

Justifies

Referenced By J2EE environment

Acquisition Phase

Development

Evaluation

None

Statement Do not edit the config.xml file manually. The config.xml file is the

persistent store used by the WebLogic server to store runtime configuration parameters. Instead, use the WebLogic management console to configure the WebLogic server. Any edits done through the management console will be written

to config.xml.

Rationale Editing the config.xml file manually can introduce unpredictable server errors

and cause loss of important configuration data.

Derived From

Justifies

Referenced By J2EE environment

Acquisition Phase Development

Evaluation

None

Statement Use the System.Text.StringBuilder class for repetitive string

modifications such as appending, removing, replacing, or inserting characters.

Rationale Strings in .NET are immutable. This means that every time a string is created as a

result of a string operation such as concatenation, a new string is created for each intermediate string in a set of operations. This has a lot of string management

overhead. StringBuilder avoids these problems.

Derived From

Justifies

Referenced By .NET Framework

Acquisition

Phase

Development

Evaluation Criteria

1. Test Are there repetitive string operations that use string

operations instead of StringBuilder operations?

Procedure Scan all C# code for repetitive string operations such as

appending, removing, replacing, or inserting characters.

Statement Write all <u>.NET</u> code in C#.

Rationale Because of the high degree of similarities between C# and Java, .NET code written

in C# is easily ported to Java. .NET has removed most of the advantages of one

language (C#, C++, J++, VB) over another.

Derived From

Justifies

Referenced By .NET Framework

Acquisition

Phase

Development

Evaluation Criteria

1. Test

Are any .NET languages delivered other than C#?

Procedure Scan delivered code for registered .NET file extensions

other than C#.

Statement Compile all code using the .NET Just-In-Time compiler.

Rationale There are two different ways to generate machine code within the .NET

environment: <u>Just-In-Time (JIT)</u> and Native Image Generator (NGEN). The NGEN method provides performance advantages by using the native image cache portion of the global assembly cache, which is specific to the machine where the .NET <u>common language runtime</u> is installed. It is machine-dependent and is less

portable.

Derived From

Justifies

Referenced By .NET Framework

Acquisition

Phase

Development

Evaluation Criteria 1. Test Is ngen.exe used?

Procedure Scan all delivered code for the use of ngen.exe or the ngen

command.

Statement Use Windows unattended setup to install Message Queuing software by remotely

using an answer file.

Rationale It should not be necessary to have a "human in the loop" when installing the

Message queuing software. This reduces errors during installation and helps

establish a uniform installation base.

Derived From

Justifies [BP1226], [BP1227], [BP1228], [BP1229], [BP1230]

Referenced By

Acquisition Development

Phase

Evaluation See sublevel best practices to evaluate this guidance.

Statement Mark all MSMQ messages as recoverable.

Rationale MSMQ normally only stores the contents of messages in memory, which will be

lost if a power, hardware, or software failure occurs. By marking messages as recoverable, messages are also stored to disk so the contents can be recovered after

a failure.

Derived From

Justifies

Referenced By

Acquisition

Phase

Development

Evaluation Criteria 1. Test Are all messages and message queues marked as

recoverable?

Procedure Scan the code for the creation of messages and message

codes, and make sure each has the recoverable

attribute set to true.

Statement Specify all MSMQ queues as transactional if they support multiple-step processes.

Rationale <u>Transactions</u> allow multi-step processes to behave correctly when a <u>rollback</u>

occurs.

Derived From

Justifies

Referenced By

Acquisition Phase

Development

Evaluation

None

If using <u>Java</u>-based messaging (e.g., <u>JMS</u>), register destinations in <u>Java Naming and</u> Statement

<u>Directory Interface</u> (JNDI) so <u>message clients</u> can use <u>JNDI</u> to look up these

destinations.

Rationale JNDI is an industry standard for Java-based applications.

Derived From

Justifies

Message-based Applications, Java Naming & Directory Interface (JNDI) **Referenced By**

Acquisition Development

Phase

Evaluation

None

Statement When using <u>CORBA</u> strings, follow the best practice guidelines in the child

documents listed below.

Rationale Aids in memory management by reducing memory leaks and memory-related

errors.

Derived From

Justifies [BP1231], [BP1232], [BP1233], [BP1234], [BP1235]

Referenced By CORBA

Acquisition Development

Phase

Evaluation See sublevel best practices to evaluate this guidance.

Statement Adhere to a core set of <u>SQL</u> features. Minimize use of proprietary extensions to the

 $SQL\ standard.$

Rationale It is almost impossible to use Oracle, SQL Server, or DB-2 without using

proprietary extensions to the SQL standards. In many cases, however, these

extensions are later incorporated into the standard.

Derived From

Justifies

Referenced By

Acquisition

Phase

Development

Evaluation Criteria 1. Test Have the developers adhered to a core set of features and

minimized use of proprietary extensions to the SQL

standard?

Procedure Examine a representative sample of database scripts and

stored procedures.

Statement Use SQL-2003 features in preference to <u>SQL-92</u> or <u>SQL-99</u>.

Rationale SQL-2003 includes many XML and OODB extensions and features. Use it in

preference to SQL-99 or SQL-92 entry-level features, to justify the

recommendations against using native XML databases and OODB databases.

Derived From

Justifies

Referenced By

Acquisition

Phase

Development

1.

Evaluation Criteria **Test** Have the developers used SQL-2003 features rather than

SQL-92 or SQL-99 features?

Procedure Examine a representative sample of database scripts and

stored procedures.

Statement Use a <u>database modeling</u> tool that supports a two-level model (<u>Conceptual/Logical</u>

and Physical) and ISO-11179 data exchange standards.

Rationale ISO-11179 is a metadata repository standard. The tools we have been using operate

in a mode where the model is stored locally in an <u>XML</u> file or in a vendor-specific repository. For many applications, there is no need to use the repository at all. <u>Configuration Management</u> could be affected by checking the model in and out of a tool such as Source Safe. Entity-Relationship <u>data model</u> is synonymous with a

Conceptual Data Model.

Derived From

Justifies

Referenced By Database Development, Family of Interoperable Operational Pictures (FIOP)

Acquisition Phase

Development

Evaluation Criteria

1. Test *Is a database modeling tool being used and does it support*

the ISO-11179 data exchange standards?

Procedure Verify that the requirement for a database modeling tool is

included in the system requirements. If ISO-11179 standard-based metadata repository products become available, determine whether the product provides an

interface thereto.

Statement Conceptual and logical models should be vendor-neutral whenever possible.

Rationale The leading database vendors do not have a common set of data types or object

name length limitations, and there are no <u>ANSI</u> standards that address these issues. To maintain vendor-neutral models, vendor-specific features will not be accepted.

Derived From

Justifies

Referenced By <u>Database Development</u>

Acquisition

Phase

Development

Evaluation Criteria 1. Test Has the data model been designed using vendor-neutral

design criteria?

Procedure Examine the conceptual/logical data model.

Statement To ensure decoupling from the visualization layer, do not develop to the ATLAS

<u>APIs</u>. Develop to either the JMTK COE APIs, or to the <u>OGC</u> open-standards APIs (<u>GO-1</u>: an OGC abstraction layer added to ATLAS that allows developers to use OGC GO-1/GEOBJECTSAPI calls and Geobjects). C2PC bindings allow

developers to use either strategy.

Rationale

Derived From

Justifies

Referenced By

Acquisition

Development

Phase

Evaluation None

Criteria

Statement Locate the Answer file for the MSMQ in the MSMQ installation directory on the

computer from where the unattended setup will be initiated.

Rationale This allows the installation process to be consistently repeated.

See (MSMQ Concepts 3.6).

Derived From [BP1109]

Justifies

Referenced By

Acquisition Phase

Development

Evaluation Criteria

1. Test Is the answer file in the MSMQ installation directory?

Procedure Find out where the MSMQ answer files are located. If the

location is not provided, search for a file that contains one

of the answer file settings listed in this guidance.

Statement

Do not allow dependent clients to be installed.

Rationale

MSMQ-dependent clients require synchronous access to an MSMQ server and create performance issues on the server. Consequently, dependent clients cannot operate if they are disconnected from the rest of the enterprise networks.

Dependent clients cannot be run under local accounts.

Dependent clients leave all encrypted messages in plain text between the client and

server.

Derived From

[BP1109]

Justifies

Referenced By

Acquisition Phase Development

Evaluation Criteria

1. Test Is msmq_LocalStorage = ON in the MSMQ answer

file?

Procedure Scan the answer file for the setting.

Examples None

2. Test Is SupportingServer set in the MSMQ answer file?

Procedure Scan the answer file for the setting.

Statement Do not use the features found in MSMQ v3.0 HTTP transport.

Rationale This is an extension of the Internet Information Services (IIS) and should be

avoided.

Derived From [BP1109]

Justifies

Referenced By

Acquisition

Phase

Development

Evaluation

Criteria

1. Test Is msmq_HTTPSupport = OFF in the MSMQ answer

file?

Procedure Scan the answer file for the setting.

Statement Do not use the features found in MSMQ v3.0 message queue triggering.

Rationale This is an extension of the Internet Information Services (IIS) and should be

avoided.

Derived From [BP1109]

Justifies

Referenced By

Acquisition Phase Development

1.

Evaluation Criteria Test Is msmq_TriggersService = OFF in the MSMQ

answer file?

Procedure Scan the answer file for the setting.

Statement Do not use the SupportLocalAccountsOrNT4 feature.

Rationale This entry enables weakened security for Active Directory on a <u>domain</u> controller,

which is then replicated to all other domain controllers in every domain in your

forest.

See (MSMQ Concepts 3.6)

Derived From [BP1109]

Justifies

Referenced By

Acquisition Phase

Development

Evaluation Criteria

1. Test Is SupportLocalAccountsOrNT4 = FALSE in the

MSMQ answer file?

Procedure Scan the answer file for the setting.

Statement Use CORBA::String_var in <u>IDL</u> to pass string types in C++.

Rationale To correct memory management and reduce memory leaks and runtime faults.

Derived From [BP1122]

Justifies

Referenced By CORBA

Acquisition Development

Phase

Evaluation Criteria 1. Test Is String_var used in the implementation code that was

not auto generated?

Procedure Check implementation code that was not autogenerated for

all occurrences of "string" and verify that they are

String_var.

Statement Do not pass or return a zero or null pointer; instead, pass an empty string.

Rationale To correct memory management and reduce memory leaks and runtime faults.

Derived From [BP1122]

Justifies

Referenced By CORBA

Acquisition

Phase

Criteria

Development

Evaluation 1. Test Are there any returns that contain pointers that are

assigned zero?

Procedure Check code to make sure that all strings returned always

have a safety check for zero or null pointers, and assign

them to empty strings.

Statement Do not assign CORBA::String_var type to INOUT method parameters.

Rationale To correct memory management and reduce memory leaks and runtime faults.

Derived From [BP1122]

Justifies

Referenced By CORBA

Acquisition

Phase

Development

Evaluation Criteria 1. Test Are there any IDL implementation classes using methods

that contain CORBA::String_var?

Procedure Inspect CORBA code to make sure INOUT parameters are

not assigned to CORBA::String_var values.

Statement Assign string values to OUT, INOUT, or RETURN parameters using operations to

allocate or duplicate values rather than creating and deleting values.

Rationale Correct memory management and reduce memory leaks and reduce runtime faults.

Derived From [BP1122]

Justifies

Referenced By CORBA

Acquisition

Development

Phase

Evaluation Criteria 1. Test Are string_dup, string_alloc and

string_free being used?

Procedure Search CORBA code for the use of string_dup,

string_alloc and string_free.

Examples None

2. Test Are new and delete operators being used for strings being

assigned to OUT, INOUT or RETURN parameters?

Procedure Inspect CORBA code to make sure OUT, INOUT, and

RETURN parameters are not using strings managed with

the new and delete operators.

Statement Assign string values to returned-as-attribute values using operations to allocate or

duplicate values rather than creating and deleting values.

Rationale To correct memory management and reduce memory leaks and runtime faults.

Derived From [BP1122]

Justifies

Referenced By CORBA

Acquisition

Phase

Development

Evaluation Criteria 1. Test Are string_dup, string_alloc and

string_free being used?

Procedure Search CORBA code for the use of string_dup,

string_alloc and string_free.

Examples None

2. Test Are new and delete operators being used for strings being

returned-as-attribute?

Procedure Inspect CORBA code to make sure returned-as-attribute

string values are not using strings managed with the new

and delete operators.

Statement Present complete and coherent sets of concepts to the user.

Rationale The <u>interface</u> should not require the consumer to continually implement multiple

interfaces when a single interface can accomplish the same thing.

Derived From

Justifies

Referenced By Public Interface Design

Statement Design <u>interfaces</u> to be statically typed.

Rationale Designing a statically typed interface allows consumers to use early binding rather

than late binding. This minimizes the risk for runtime errors due to late binding.

Derived From

Justifies

Referenced By Public Interface Design

Statement Minimize the <u>interface's</u> dependencies on other <u>interfaces</u>.

Rationale Minimizing the dependency of an interface on other interfaces simplifies the use of

the interface by consumers.

Derived From

Justifies

Referenced By Public Interface Design

Statement Express <u>interfaces</u> in terms of application-level types.

Rationale Use application-level types to maintain the meaning of values used with the

interface. This enables data validation and other runtime safety checks against the

data.

Derived From

Justifies

Referenced By Public Interface Design

Statement

Use assertions only to aid development and integration.

Rationale

Assertions allow you to evaluate Boolean expressions to determine if the code is executing within the proper operating constraints. For example, if a calculated temperature is supposed to be between -273 degrees and +1,000 degrees, you can test the results of the calculation with an assertion. Once the code is tested and/or integrated, this calculation no longer needs to occur after each calculation.

Assertion execution is integrated into the <u>compiler</u>. Consequently, you can add it into the executable or eliminate it by setting compiler options (i.e. switches). Assertions are therefore ideal for adding code that is useful during development or integration, but wasteful in delivered code.

Derived From

Justifies

Referenced By

Public Interface Design

Acquisition Phase

Development

Evaluation Criteria

1. Test

Do public methods that implement interfaces have assertions?

Procedure

Check all implementations of public interfaces to ensure that all public methods that are part of the interface do not use the assert command.

Examples

The following example shows a correct implementation of a public method in a public interface.

} // NameInterface

The following example shows an incorrect implementation of a public method in a public interface. Do not use the implementation exemplified by the red code.

```
} // End getName
} // NameInterface
```

Statement Java-based portlets should be based on <u>JSR 168</u>.

Rationale JSR 168 enables <u>interoperability</u> between Java <u>portlets</u> and <u>portals</u>. This

specification defines a set of APIs for portal computing that addresses the areas of

aggregation, personalization, presentation, and security.

http://www.jcp.org/en/jsr/detail?id=168

Derived From

Justifies

Referenced By WEB Portals

Statement Encapsulate Java-based <u>portlets</u> in a <u>WAR</u> file.

Rationale Storing <u>JSR-168</u>-compliant code in the portal container improves <u>interoperability</u>

and code reuse.

Derived From

Justifies

Referenced By WEB Portals
Acquisition Phase Development

Statement Follow a naming conventions:

Rationale The names of schemas, users, tables, and columns need to be unique and

descriptive. Unfortunately, it is possible (but undesirable) to give the same name to multiple objects: for example, assigning the name "employee" to a database, table, and column. Many naming conventions get around this by appending a suffix that indicates the kind of object: for example, **Employee_Db**, **Employee_Tbl**,

Employee_Id, Employee_Indx.

Avoid generic column names such as "ID." Systems often have many kinds of IDs, and even if the system really only does have a single ID, it will be more difficult to merge with other databases if they have also used the column name "ID."

Some DBMSs support mixed-case names of unlimited length, while others are case-insensitive. For portability, assume that names are case-insensitive and limited to 30 characters. Do not use reserved words from the <u>SQL-92</u>, <u>SQL:1999</u>, or SQL:2003 standards.

Derived From

Justifies [BP1249], [BP1250], [BP1251], [BP1252], [BP1253], [BP1254]

Referenced By RDBMS Internals

Acquisition Phase

quisition Development

Evaluation Criteria

1. Test *Is there a naming convention?*

Procedure Check for the existence of a document that governs naming

conventions, or look for patterns in the database metadata.

Examples Use database commands to look at the database metadata:

select username from all_users
select table_name from user_tables
select index_name from user_indexes

Statement Do not use generic names for database objects such as databases, schema, users,

tables, views, or indices.

Rationale Assigning generic names to user-defined objects within a database can lead to

confusion and unexpected results. For example, naming a database "instance" within the <u>RDBMS</u> database is confusing to the humans who have to read commands that reference the database. In addition, the RDBMS software may

parse it incorrectly.

Note: Although some RDBMS interpreters allow you to use a generic or reserved word to name objects if the name is surrounded with quotes, you should not do this

either.

Derived From [BP1248]

Justifies

Referenced By RDBMS Internals

Acquisition

Phase

Development

Evaluation Criteria **1.Test** Are any generic names used for user-defined objects?

 $\label{eq:procedure} \textbf{Procedure} \textbf{Examine the RDBMS metadata for generic names such as database,}$

table, entity, column, attribute, select, view, etc.

Examples select table_name from user_tables where table_name in

('database','entity',...)

select column_name from user_tab_columns where

column_name in ('database','entity',...)

Statement Use case-insensitive names for database objects such as databases, schema, users,

tables, views, and indices.

Rationale The <u>SQL</u> standard does not require names to be case-sensitive. Consequently, some

DBMSs are not case-sensitive. Using case-sensitive names therefore makes

portability more difficult.

Derived From [BP1248]

Justifies

Referenced By RDBMS Internals

Acquisition Phase

Development

Evaluation Criteria **1. Test** *Are the names of database objects case-sensitive?*

Procedure Examine the database metadata for "run-on" names. If the

database supports case-sensitive names, check to see if it is

using camel-back capitalization.

Examples EMPLOYEEBENEFITSTBL

EmployeeBenefitsTbl

Separate words with underscores. Statement

Rationale The <u>SQL</u> standard does not require names to be case-sensitive. Consequently, some

> DBMSs are not case-sensitive. Using case-sensitive names therefore makes portability more difficult. To avoid these problems, use underscores to separate

words (employee_benefits_tbl) rather than camel-back capitalization

(EmployeeBenefitsTbl).

Derived From [BP1248]

Justifies

Referenced By **RDBMS** Internals

1.

Acquisition Phase

Development

Evaluation Criteria

Test Are underscores used between the words in the names of

database objects?

Procedure Examine the database metadata and look for names that do

not have underscores separating words.

EMPLOYEEBENEFITSTBL versus **Examples**

EMPLOYEE_BENEFITS_TBL EmployeeBenefitsTbl versus

Employee_Benefits_Tbl

Statement Do not use names with more than 30 characters.

Rationale Not all DBMSs support unlimited name lengths. For example, Oracle limits object

names to 30 characters. Therefore, using names longer than 30 characters can reduce portability by limiting the DBMSs that the system can be deployed on.

Derived From [BP1248]

Justifies

Referenced By RDBMS Internals

1.

Acquisition

Phase

Development

Evaluation Criteria

Test Are any of the database object names more than 30

characters in length?

Procedure Examine the database metadata and look for names that are

longer than 30 characters.

Examples

....:...1.....2.....3......4

W2_EMPLOYEE_BENEFITS_FOR_FAMILIES_TBL

Statement Do not use the SQL:1999 or SQL:2003 reserved words as names for database

objects such as databases, schema, users, tables, views, or indices.

Rationale Using reserved words as the names of database objects can cause ambiguities and

errors. It limits your ability to upgrade or port the code to other systems.

Derived From [BP1248]

Justifies

RDBMS Internals Referenced By

Acquisition

Phase

Development

Evaluation Criteria

1. Test Are any of the SQL:1999 or SQL:2003 reserved words

used to name objects in the database?

Procedure Examine the database metadata for names that are in the

list of SQL:1999 or SQL:2003 reserved words

Examples Look for any of these words:

> ABS ABSOLUTE ACCESS ACQUIRE ACTION ADA ADD ADMIN AFTER AGGREGATE ALIAS ALL ALLOCATE ALLOW ALTER AND ANY ARE ARRAY AS ASC ASENSITIVE ASSERTION ASUTIME ASYMMETRIC AT ATOMIC AUDIT

AUTHORIZATION AUX AUXILIARY AVG

BACKUP BEFORE BEGIN BETWEEN BIGINT BINARY BIT BIT LENGTH BLOB BOOLEAN BOTH BREADTH BREAK

BROWSE BUFFERPOOL BULK BY

CALL CALLED CAPTURE CARDINALITY CASCADE CASCADED CASE CAST CATALOG CCSID CEIL CEILING CHAR CHAR LENGTH CHARACTER CHARACTER LENGTH CHECK CHECKPOINT CLASS CLOB CLOSE CLUSTER CLUSTERED COALESCE COLLATE COLLATION COLLECT COLLECTION COLLID COLUMN COMMENT COMMIT COMPLETION COMPRESS COMPUTE CONCAT CONDITION CONNECT CONNECTION CONSTRAINT CONSTRAINTS CONSTRUCTOR CONTAINS CONTAINSTABLE CONTINUE CONVERT CORR CORRESPONDING COUNT COUNT BIG COVAR_POP COVAR_SAMP CREATE CROSS CUBE

CUME DIST CURRENT CURRENT COLLATION CURRENT_DATE CURRENT_DEFAULT_TRANSFORM_GROUP CURRENT_LC_PATH CURRENT_PATH CURRENT_ROLE

CURRENT_SERVER CURRENT_TIME CURRENT_TIMESTAMP

CURRENT_TIMEZONE

CURRENT_TRANSFORM_GROUP_FOR_TYPE_CURRENT_USER

CURSOR CYCLE

DATA DATABASE DATALINK DATE DAY DAYS DB2GENERAL DB2SQL DBA DBCC DBINFO DBSPACE DEALLOCATE DEC DECIMAL DECLARE DEFAULT DEFERRABLE DEFERRED DELETE DENSE_RANK DENY DEPTH DEREF DESC DESCRIBE DESCRIPTOR DESTROY DESTRUCTOR DETERMINISTIC DIAGNOSTICS DICTIONARY DISALLOW DISCONNECT DISK DISTINCT DISTRIBUTED DLNEWCOPY DI-PREVIOUSCOPY DIJURI-COMPLETE DIJURI-COMPLETEONILY DLURLCOMPLETEWRITE DLURLPATH DLURLPATHONLY DLURLPATHWRITE DLURLSCHEME DLURLSERVER DLVALUE DO DOMAIN DOUBLE DROP DSSIZE DUMMY DUMP DYNAMIC EACH EDITPROC ELEMENT ELSE ELSEIF END END-EXEC EQUALS ERASE ERRLVL ESCAPE EVERY EXCEPT EXCEPTION EXCLUSIVE EXEC EXECUTE EXISTS EXIT EXP EXPLAIN EXTERNAL EXTRACT

FALSE FENCED FETCH FIELDPROC FILE FILLFACTOR

FILTER FINAL FIRST FLOAT FLOOR FOR FOREIGN FORTRAN FOUND FREE FREETEXT FREETEXTTABLE FROM FULL FUNCTION FUSION

GENERAL GENERATED GET GLOBAL GO GOTO GRANT GRAPHIC GROUP GROUPING

HANDLER HAVING HOLD HOLDLOCK HOST HOUR HOURS IDENTIFIED IDENTITY IDENTITY_INSERT IDENTITYCOL IF IGNORE IMMEDIATE IMPORT IN INCLUDE INCREMENT INDEX INDICATOR INITIAL INITIALIZE INITIALLY INNER INOUT INPUT INSENSITIVE INSERT INT INTEGER INTEGRITY INTERSECT INTERSECTION INTERVAL INTO IS ISOBID ISOLATION ITERATE JAVA JOIN KEY KILL

LABEL LANGUAGE LARGE LAST LATERAL LC_CTYPE
LEADING LEAVE LEFT LESS LEVEL LIKE LIMIT LINENO
LINKTYPE LN LOAD LOCAL LOCALE LOCALTIME
LOCALTIMESTAMP LOCATOR LOCATORS LOCK LOCKSIZE

MAP MATCH MAX MAXEXTENTS MEMBER MERGE METHOD MICROSECOND MICROSECONDS MIN MINUS MINUTE MINUTES MOD MODE MODIFIES MODIFY MODULE MONTH MONTHS MULTISET

LONG LOOP LOWER

NAME NAMED NAMES NATIONAL NATURAL NCHAR NCLOB
NEW NEXT NHEADER NO NOAUDIT NOCHECK NOCOMPRESS
NODENAME NODENUMBER NONCLUSTERED NONE NORMALIZE
NOT NOWAIT NULL NULLIF NULLS NUMBER NUMERIC
NUMPARTS

OBID OBJECT OCTET_LENGTH OF OFF OFFLINE OFFSETS
OLD ON ONLINE ONLY OPEN OPENDATASOURCE
OPENQUERY OPENROWSET OPENXML OPERATION
OPTIMIZATION OPTIMIZE OPTION OR ORDER
ORDINARILITY OUT OUTER OUTPUT OVER OVERLAPS
OVERLAY

PACKAGE PAD PAGE PAGES PARAMETER PARAMETERS
PART PARTIAL PARTITION PASCAL PATH PCTFREE
PCTINDEX PERCENT PERCENT_RANK PERCENTILE_CONT
PERCENTILE_DISC PIECESIZE PLAN POSITION POSTFIX
POWER PRECISION PREFIX PREORDER PREPARE
PRESERVE PRIMARY PRINT PRIOR PRIQTY PRIVATE
PRIVILEGES PROC PROCEDURE PROGRAM PSID PUBLIC
OURTYNO

RAISERROR RANGE RANK RAW READ READS READTEXT
REAL RECONFIGURE RECOVERY RECURSIVE REF
REFERENCES REFERENCING REGR_AVGX REGR_AVGY
REGR_COUNT REGR_INTERCEPT REGR_R2 REGR_SLOPE
REGR_SXX REGR_SXY REGR_SYY RELATIVE RELEASE
RENAME REPEAT REPLICATION RESET RESIGNAL
RESOURCE RESTORE RESTRICT RESULT RETURN RETURNS
REVOKE RIGHT ROLE ROLLBACK ROLLUP ROUTINE ROW
ROW_NUMBER ROWCOUNT ROWGUIDCOL ROWID ROWNUM
ROWS RRN RULE RUN

SAVE SAVEPOINT SCHEDULE SCHEMA SCOPE SCRATCHPAD

SCROLL SEARCH SECOND SECONDS SECQTY SECTION
SECURITY SELECT SENSITIVE SEQUENCE SESSION
SESSION_USER SET SETS SETUSER SHARE SHUTDOWN
SIGNAL SIMILAR SIMPLE SIZE SMALLINT SOME SOURCE
SPACE SPECIFIC SPECIFICTYPE SQL SQLCA SQLCODE
SQLERROR SQLEXCEPTION SQLSTATE SQLWARNING SQRT
STANDARD START STATE STATEMENT STATIC
STATISTICS STAY STDDEV_POP STDDEV_SAMP STOGROUP
STORES STORPOOL STRUCTURE STYLESUBPAGES
SUBSTRING SUCCESSFUL SUM SYMMETRIC SYNONYM
SYSDATE SYSTEM SYSTEM_USER

TABLE TABLESPACE TEMPORARY TERMINATE TEXTSIZE
THAN THEN TIME TIMESTAMP TIMEZONE_HOUR
TIMEZONE_MINUTE TO TOP TRAILING TRAN
TRANSACTION TRANSLATE TRANSLATION TREAT TRIGGER
TRIM TRUE TRUNCATE TSEQUAL TYPE
UID UNDER UNDO UNION UNIQUE UNKNOWN UNNEST
UNTIL UPDATE UPDATETEXT UPPER USAGE USE USER

USING
VALIDATE VALIDPROC VALUE VALUES VAR_POP
VAR_SAMP VARCHAR VARCHAR2 VARIABLE VARIANT
VARYING VCAT VIEW VOLUMES
WAITFOR WHEN WHENEVER WHERE WHILE WIDTH_BUCKET
WINDOW WITH WITHIN WITHOUT WLM WORK WRITE
WRITETEXT
YEAR YEARS
ZONE

exposed to the outside communities.

Rationale The <u>command-and-control</u> (C2) <u>COI</u> has developed a <u>data model</u> to facilitate the

exchange of data within the community and by consumers of their data outside the community. Therefore, data that is to be exposed from the database to the <u>COI</u> community or its data consumers should defer to the <u>data model</u> whenever possible. The <u>data model</u> defines the data units as well as the names and structure

of the data.

Derived From [BP1248]

Justifies

Referenced By RDBMS Internals, Data Modeling

Acquisition Phase

Development

Evaluation Criteria **1. Test** If this is a <u>C2</u> system, does it use <u>C2IEDM data elements</u>

for the data that is exposed to the outside world?

Procedure Review all the data that is exposed to the outside world and

confirm that it conforms to the C2IEDM specifications.

Statement Use <u>surrogate keys</u>.

Rationale A surrogate key, also referred to as a system-generated key, database-sequence

number, or arbitrary unique identifier, is a unique, arbitrary <u>primary key</u>. It is usually generated by the <u>RDBMS</u>, but can also be generated by a database access layer such as the middle tier. It is arbitrary because it is not derived from any data that exists within the table or the database. Some other options for surrogate keys

are:

Universally Unique Identifiers (UUIDs)

(http://en.wikipedia.org/wiki/Universally Unique Identifier)

Globally Unique Identifiers (GUIDs)

(http://en.wikipedia.org/wiki/Globally_Unique_Identifier)

Derived From

Justifies [BP1256], [BP1257]

Referenced By RDBMS Internals

Acquisition

Development

Phase

Evaluation

Criteria

See sublevel guidance for evaluation criteria.

Statement Use surrogate keys as the <u>primary key</u>.

Rationale Instead of using the natural keys to uniquely identify each record, use a surrogate

key. This allows the natural key information to be modified independently of the

primary key and any foreign-key references to the key.

Derived From

Justifies

Referenced By RDBMS Internals

Acquisition

Phase

Development

Evaluation Criteria **1. Test** Are surrogate keys used instead of natural keys?

Procedure Look at the database metadata and determine if it uses surrogate or

natural keys.

Examples The following example shows natural keys. The primary keys

are made up completely or in part from naturally occurring $% \left(\mathbf{r}\right) =\left(\mathbf{r}\right)$

data in the tables.

Students:	Natural Keys					
Name		Address		Phone		
John Public	200 Ash St, Hometown, USA		800-555-1234			
Jane Doe	170 Elm Hometow		800	800-555-1212		
		Courses:				
\		Name	C	ourse #	Name	
\	\	Jane Doe	B100)	Intro Bio	
	× 1	Jane Doe	CIO)	Intro Chem	
	1	Jane Doe	P100)	IntroPhy	
	- 1	Jane Doe	E100)	English l	
	•	John Public	CIO)	Intro Chem	
		John Public	P100)	IntroPhy	

If the student name "Jane Doe" changes, all occurrences of the name must be changed.

The following example shows a surrogate key being used instead of a natural key. Maintaining data is less complex than it is with natural keys and consequently less error-prone.

Students:		Surrogate Keys				
Stu. ID	Name	Address	Phone			
4321	John Public	200 Ash St, Hometown, USA	800-555-1234			
1234	Jane Doe	170 Elm Ave, Hometown, USA	800-555-1212			

	Courses:		
\	Stu. ID	Course #	Name
\	1234	B100	Intro Bio
7.7	1234	C100	Intro Chem
ר	1234	P100	IntroPhy
l	1234	E100	English l
	4321	C100	Intro Chem
	4321	P100	Intro Phy

If the student name "Jane Doe" changes, only one occurrence of the name must be changed.

Statement Place a <u>unique key constraint</u> on the <u>natural key</u> fields.

Rationale Surrogate keys make it easier to maintain data. However, a column or set of

columns should still uniquely identify the row in the table. This column or set of columns is the "natural key" or "secondary key." This natural key should still be protected by the uniqueness constraint normally associated with a primary key.

Derived From

Justifies

Referenced By RDBMS Internals

Acquisition

Phase

Development

Evaluation Criteria 1. Test Is there a unique key index for all tables that includes a

column or set of columns not including the primary key?

Procedure Look at the database metadata to ensure that each table has

a unique key, and that the columns in the unique key are

not also part of the primary key.

Statement All data transferred via <u>XML</u> should explicitly define the encoding style.

Rationale By default, XML is encoded using Unicode. Consequently, data transferred via

<u>XML</u> should explicitly specify the encoding style. Assuming the default can cause <u>interoperability</u> problems between implementations. For example, the <u>ASCII</u>

coding style is: {insert}

Derived From

Justifies

Referenced By Parsing XML Strategies, RDBMS Internals

Acquisition

Phase

Development

Evaluation Criteria 1. Test

Procedure

Examples Look for the following XML tag as the first line returned

from queries that return \underline{XML} from the database.

<?xml version="1.0" encoding="UTF-8"?>

Statement Use indexes.

Rationale An index in an <u>RDBMS</u> is a summary of information organized to minimize the

search time. Indexes summarize the information in a table. So, an employee table

might have an index of last names, or last name and first name.

Having additional indexes on tables involves a tradeoff between query

performance and insert/update/delete performance, which requires underlying

index maintenance.

Derived From

Justifies [BP1260], [BP1261], [BP1262]

Referenced By RDBMS Internals

Acquisition

Phase

Development

Evaluation

See sublevel guidance for evaluation criteria.

Criteria

Statement All tables should have a <u>primary key</u> defined. This is generally enforced via an

underlying index.

Rationale By definition, a primary key uniquely defines each row within a table. To optimize

the use of the table and to find records by the primary key, there should be an index

that enforces the uniqueness of the key.

Derived From [BP1259]

Justifies

Referenced By RDBMS Internals

Acquisition

Phase

Development

Evaluation Criteria 1. Test Is there a primary key defined for each table listed in the

database?

Procedure Examine the database metadata to ensure there is a primary

key for each table in the database.

Example

Statement Monitor and tune indexes according to the response time during normal operations

in the production environment.

Rationale Index efficiency depends on the data being indexed. Common variables include:

A sparsely populated table versus a densely populated table

• Data added in an presorted order versus a random order

Consequently, as the data changes, the efficiency of the index changes.

Derived From [BP1259]

Justifies

Referenced By RDBMS Internals

Acquisition Development **Phase**

Evaluation There are no tests to determine if the database has been monitored and tuned

Criteria accordingly.

Statement In the case of Oracle, define indexes against the <u>foreign keys</u> (FK) columns to avoid

contention and locking issues.

Rationale

Derived From [BP1259]

Justifies

Referenced By RDBMS Internals

Acquisition

Development

Phase

Evaluation None

Criteria

Statement Gather storage requirements in the planning phase, and then allocate twice the

estimated storage space.

Rationale Storage space on the disk always poses a problem for databases, so it is necessary

to plan storage space carefully.

Derived From

Justifies

Referenced By RDBMS Internals

Acquisition

Development

Phase

Evaluation None

Criteria

Statement For high availability, use hardware solutions when geographic proximity permits.

Rationale There are many ways to achieve high availability. Some are based on hardware and

others on software. As a general rule, hardware solutions use simple redundancy and are consequently less complex and fragile. If geographic proximity is not an

issue, the hardware solution is preferable.

Derived From

Justifies

Referenced By RDBMS Internals

Acquisition

Development

Phase

Evaluation

There are no tests for this best practice.

Criteria

Statement XML validation is the responsibility of the XML document generator.

Rationale All XML passed between two systems or services must be valid. The XML

document generator is responsible for ensuring that the document is valid and well-formed. If there are problems, the document generator is the only user that can

effectively change the document.

<u>XML</u> Validity is checked via the use of a <u>W3C</u> Standard Validating parser. These parsers are built into most <u>XML</u> editors including XMLSpy but are also available as stand alone products. Either the <u>XML</u> is valid or diagnostics are returned indicating where the <u>XML</u> is invalid.

Derived From

Justifies

Referenced By Parsing XML Strategies

1.

Acquisition Phase

Development

Evaluation Criteria

Test Are all the <u>XML</u> documents exported from the system or

service valid and well-formed?

Procedure Capture all the documents and validate them, using a

product similar to XMLSpy®.

Examples None

Statement: If the availability of a control is dependent on the state of another control, the child control

is indented below the parent and is unavailable(grayed out) for input until the parent control

is selected.

Rationale: Makes it easier for the user to understand that the child controls depend on the selection of

the parent.

Derived From Justifies

Referenced

Look Aspects

By

Acquisition

Development

Phase

Evaluation

1.Test: *n/a*

Criteria:

Procedure:n/a

Enter coordinates:	
Own unit position	
Bounding box:	
Latitude:	
Longitude:	

Push button labels should be grayed out if a button is unavailable. **Statement:**

Rationale: Makes it easier for the user to understand that the button cannot be used until other

action is taken.

Derived From

Justifies

Look Aspects Referenced By Development

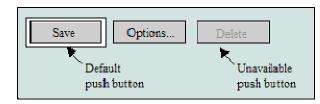
Acquisition

Phase

Evaluation Criteria:

1. Test: n/a

> **Procedure:** n/a



A check box or radio button group should be arranged in one or more rows or **Statement:**

columns and left-aligned with the label on the right, not the left.

Readability is increased. Rationale:

Derived From

Justifies

Look Aspects Referenced By Development

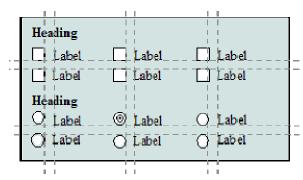
Acquisition

Phase

Evaluation Criteria:

Test: 1. n/a

> **Procedure:** n/a



Statement: When a form is initially displayed, focus should be assigned to the top leftmost

control or the control with which users are expected to interact first. Tab order is from upper left to lower right on the form, based on the order in which users are

expected to interact with the controls.

Rationale: This interface navigation convention, left to right and top to bottom, allows users to

understand the order of data entry and complete tasks in a logical sequence.

Derived From

Justifies

Referenced By Feel Aspects

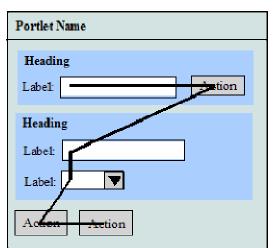
Acquisition

Development

Phase

Evaluation Criteria: **1. Test:** *n/a*

Procedure: n/a



Use a tool tip to display help information about a control when the purpose of the **Statement:**

control is not self-evident. A mouse over event is the typical mapping for invoking a

tool tip.

Rationale: Increases user efficiency by preventing click errors.

Derived From

Justifies

Referenced By Feel Aspects Development

Acquisition Phase

Evaluation

Criteria:

1. Test: n/a

> **Procedure:** n/a

Examples:

[Home] [Up] [Previous [Next] [Search] Opens a Search Page

Statement: Search results that span multiple pages should contain obvious navigation controls for moving between pages. For example, the statement of the state

and ">" can navigate back and forward one page, and "<<" and ">>" can navigate back to the beginning page and f

end page.

Rationale: User can quickly identify and use paging controls.

Derived From

Justifies

Referenced Feel Aspects

By

Acquisition Development

Phase

Evaluation 1.Test: n/a

Criteria:

Procedure:n/a

Examples:

≤< ≤ 5 6 7 ≥ ≥> Go to page:

Statement: A website hierarchy should be structured so users can reach important information

and/or frequently accessed functions in a maximum of three jumps. Use a shallow

structure rather than a deep structure.

Rationale: A users success at finding a target drops off sharply after three clicks.

n/a

Derived From

Justifies

Referenced By <u>User Experience</u>

Acquisition

Phase

Development

Evaluation Criteria:

1. Test:

Procedure: n/a

Seley Station.	Deep Sourion

Statement: Provide basic search functionality as the default with a link or button that provides more advanced search features.

Rationale: Makes the search feature cleaner and easier to use because the advanced features are hidden.

Derived From

Justifies

User Experience Referenced

By

Phase

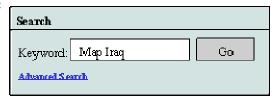
Acquisition Development

Evaluation

1.Test: n/a

Criteria:

Procedure:n/a



Advanced Search		
Search by keyword		
Keyword:	Go	
Search by category		
Look for documents in category All	. 🔻	Go

Statement: All web pages should contain a link back to the homepage. This can be in the form

of a logo and a regular HTML link called "Home".

n/a

Rationale: Helps user navigate the web site.

Derived From

Justifies

Referenced By <u>User Experience</u>

Acquisition

Phase

Development

Evaluation Criteria:

1. Test:

Procedure:



Statement: Do not design the database around the requirements of an application.

Rationale: Databases often outlive applications (ie legacy databases and evolution of

applications). Database can also support multiple applications. If design of the database was design around the application, it may present security holes that can be exploited by other applications. It is better to design the application around the

rules set by the database.

Derived From

Justifies

Relational Database Management Systems (Security) Referenced By

Acquisition

Phase

Development

1.

Evaluation Criteria:

Test: Check to make sure application business logic or rules is

not found in the database.

Procedure: Make sure data validation is done at database even if it is

already being done at the application level.

Statement: Data objects to be exposed to the enterprise will be identified, published and

validated early in the data engineering process and updated in a spiral fashion as

system development proceeds.

Rationale:

Derived From

Justifies

Referenced By

Acquisition

Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: For Systems of Record (SOR's), priority will be placed on external interfaces as they

 $migrate \ to \ \underline{XML}$

Rationale:

Derived From

Justifies

Referenced By

Acquisition

Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: For new systems, data engineering analysis will be initiated prior to Milestone A

Rationale:

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: For new systems, use cases will be identified and developed or reuse made of

existing use cases as appropriate as early in the data engineering process as possible

to inform data model development.

Rationale:

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Develop Interaction models as appropriate.

Rationale:

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Developers will design for runtime updates of enhanced <u>schemas</u>.

Rationale:

Derived From

Justifies

Referenced By Family of Interoperable Operational Pictures (FIOP)

Acquisition

Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Programs will use authoritative <u>metadata</u> established by the Joint Mission Threads

(JMT)s when available

Rationale:

Derived From

Justifies

Referenced By

Acquisition

Phase

Evaluation 1. Test:

Criteria:

Procedure:

Statement: A "metastory" for each data element will provide traceability between models and

will include relationships to standard data elements and architecture data definitions

where appropriate

Rationale: questionable

Derived From

Justifies

Referenced By

Acquisition Phase

Evaluation

1. Test:

Criteria:

Procedure: Examples:

Statement: Business rules will not be encoded in the <u>XML</u> exchange formats.

Rationale: questionable

Derived From

Justifies

Referenced By Family of Interoperable Operational Pictures (FIOP)

Acquisition

Phase

Evaluation 1. Test:

Criteria: Procedure:

Statement: Data will be segmented into "chunks" in accordance with security and export control

levels, and encryption and access controls will be applied to the "chunks".

Rationale:

Derived From

Justifies

Referenced By Family of Interoperable Operational Pictures (FIOP)

Acquisition Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: All DoD Programs requiring a <u>data model</u> should review the <u>NATO</u> Generic Hub

v.5 model ($\underline{LC2IEDM}$) as an example of a successful \underline{COI} developed model.

Rationale: The Land C2 Information Exchange Data Model (LC2IEDM), or Generic Hub

(GH, now version 5) model has been under development in the $\underline{\text{NATO}}$

environment. This model is a rich Joint battlespace operational context model. Many NATO countries have developed prototypes. The US Army has also been

active in the Generic Hub efforts.

Derived From [G1141]

Justifies

Referenced By Metadata Registry

Acquisition

Phase

Evaluation

Criteria:

1. Test:

Procedure:

Statement: Use a <u>semantic</u> description language such as <u>Ontology Web Language</u> (<u>OWL</u>) or

Resource Definition Framework (RDF) to represent an Ontology.

Rationale: Data producer recommendations are still maturing for how to handle data

producers interaction with Ontology Web Language (OWL) or Resource

Definition Framework (RDF).

Derived From

Justifies

Referenced By Metadata (Mediation)

Acquisition

Development

Phase

Evaluation Criteria:

1. Test:

Procedure:

Examples: None

Service providers should register web service using Web Services Description **Statement:**

Language (WSDL) and Universal Description, Discovery, and Integration (UDDI)

 $\underline{Semantic} \ Ontology \ languages \ such \ as \ \underline{Ontology} \ \underline{Web} \ \underline{Language} \ (\underline{OWL} \) \ or \ \underline{Resource} \ \underline{Definition} \ \underline{Framework} \ (\underline{RDF}) \ are \ currently \ immature.$ Rationale:

Derived From

Justifies

Metadata (Mediation) Referenced By

Acquisition Development

Phase

Evaluation Criteria:

1. Test:

Procedure:

Examples: None

Glossary Glossary

Glossary terms are included to help make Guidance details and Best Practices more definitive and succinct. Defining acronyms and terms within the other document components can cause conflicts between the document components and even within a single document component. An example of a conflict between the document components might be two different guidance statements that refer to a "service". One uses a broad definition and another uses a specific very narrowly defined "web service" interpretation. This problem can occur if a perspective or rationale defines the guidance differently than the actual guidance statement.

There are three parts to a NESI Glossary entry:

A word or expression that is used within the NESI documentation **Term**

that needs to have a formal definition. It may be the expression that is associated with an acronym that is used within the NESI documentation. Example: Net-Centric Enterprise Solutions for

Interoperability.

A word that is formed from the initial letters or syllables of words Acronym

and or expressions. Example: NESI. Note, this field is optional

because not all terms have acronyms.

A definition that describes what the glossary term means within the **Definition**

NESI context. The definition may be provided by another source, but it is copied into the NESI glossary to prevent the external definition from changing without being vetted through NESI Working Group. If the term has been copied from another source, a reference to the

source is provided.





Term Acronym

Definition

.NET

To address the confusing maze of computer languages, libraries, tools, and toolkits that were necessary for creating multi-tier applications, Microsoft developed the .NET Framework and integrated it into Microsoft Windows as a component. It supports building and running multi-tier and service-oriented architectures, including web services and client and server applications. It simplifies the process of designing, developing, and testing software, allowing individual developers to focus on core, application-specific code.

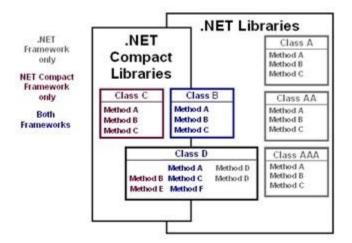
.NET Compact Framework The Microsoft .NET Compact Framework is a streamlined version of the .NET Framework that is designed to run on mobile devices with limited memory, resources, and battery power, including smart devices like Personal Digital Assistants (PDAs), mobile phones, and set-top boxes. The .NET Compact Framework includes the base class libraries from the full .NET Framework and a few libraries designed specifically for mobile devices such as Windows CE InputPanel.

Developers can create applications for the <u>.NET</u> Compact Framework in Visual Studio <u>.NET</u> 2003, using Microsoft Visual C# <u>.NET</u> or Microsoft Visual Basic <u>.NET</u>. The resulting applications are designed to run on a special, mobile-device, high performance <u>JIT</u> compiler.

To run <u>.NET</u> Compact Framework applications, the platform must support the Microsoft <u>.NET</u> Compact Framework runtime. This includes Windows CE.<u>.NET</u>, Windows CE 4.1, Microsoft Pocket PC, Microsoft Pocket PC 2002, or Smartphone 2003.

Architecture

The <u>.NET</u> Compact Framework is a subset of the <u>.NET</u> Libraries. It includes only those aspects of the <u>.NET</u> Library that are essential for the functionality. Several namespaces and classes are used exclusively in the <u>.NET</u> Library. Other namespaces, classes and methods are in both the <u>.NET</u> Library and the .Net Compact Library, and there are namespaces and classes that are exclusive to the .Net Compact Library.





\pmb{A}

		\boldsymbol{A}
Absolute Font Size		Font that always displays at a certain pre-determined size.
Abstract Window Toolkit	AWT	The AWT is part of the Java Foundation Classes (<u>JFC</u>) – the standard API for providing graphical user interfaces (<u>GUI</u> s) for Java programs.
Access Control		The methods by which interactions with resources are limited to collections of users or programs for the purpose of enforcing integrity, confidentiality, or availability constraints. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)
Accredited Standards Committee X12	ANSI ASC X12	Numbered set of commercial <u>EDI</u> transactions defined by the American National Standards Institute's Accredited Standards Committee X12. Uniform rules for the interchange of business documents defined for cross industry EDI use.
Acquisition Category	ACAT	DoD acquisition program categories that facilitate decentralized decision making, execution, and compliance with statutorily imposed requirements. The categories determine the level of review, decisio authority, and applicable procedures. (Source: http://www.dau.mil/pubs/glossary/11 Glossary 2003.pdf
Acquisition Program Baseline	APB	Establishes program threshold and objective values for the minimum number of cost, schedule, and performance attributes that describe the program over its life cycle. (Source: http://www.dtic.mil/cjcs_directives/cdata/unlimit/3170_01.pdf)
Acquisition Strategy	AS	High-level business and technical management approach designed to achieve program objectives within specified resource constraints. Framework for planning, organizing, staffing, controlling, and leading a program. (Source: http://www.dau.mil/pubs/glossary/11 Glossary 2003.pdf)
Active Server Page.	ASP	A script that is executed by Microsoft Internet Information Services. The output is returned to the user a <u>HTML</u> . Typically, an ASP script generates a customized web page on the fly before sending it to the user. ASPs are specific to Microsoft, only run on <u>IIS</u> or <u>PWS</u> , can contain HTML, <u>JScript</u> , and <u>VBScript</u> and can access <u>COM</u> components.
ActiveX		An ActiveX control is similar to a Java <u>applet</u> . However, ActiveX controls have full access to the Windows OS. This gives them much more power than Java <u>applets</u> , plus a risk that the <u>applet</u> may damage software or data on your machine. To control this risk, Microsoft developed a registration system so that browsers can identify and authenticate an ActiveX control before downloading it. Another difference between Java applets and ActiveX controls is that Java <u>applets</u> can be written to run on all platforms, whereas ActiveX controls are currently limited to Windows environments.
Adaptation		When a client cannot communicate directly with a service, an adapter is employed. Adapters provide

service mediation when services need to communicate point-to-point. Can be transport protocol as well

as data format.



Note: See Mediation.

Adapter

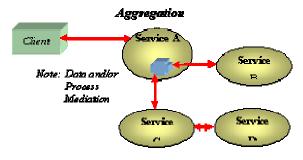
An intermediary that translates between incompatible components interfaces, allowing them to communicate.

Adapter Pattern

A generalized API that provides a common set of function calls across different applications. It enables classes with incompatible interfaces to work together. It is sometimes called a wrapper because an adapter class wraps the implementation of another class in the desired interface. This pattern makes heavy use of delegation, where the delegator is the adapter (or wrapper) and the delegate is the class being adapted.

Aggregation

When information is derived from multiple sources a mediator service may aggregate the data and thus make many services appear to be one.



Note: See Mediation.

Air Warfare

Air defense against airborne weapons including theater ballistic missiles. Operations include surveillance offensive counter air, defensive counter air, and electronic warfare.

American Geological Institute

AGI

American National **Standards** Institute

ANSI

Administrator and coordinator of the United States private-sector voluntary standardization system. AN facilitates the development of American National Standards (ANS) by accrediting the procedures of standards-developing organizations. The Institute remains a private, nonprofit membership organization supported by a diverse constituency of private and public sector organizations. (Source: http://web.ansi.org/)

American Standard Code for Information Interchange

ASCII ASCII is a character set and a character encoding based on the Roman alphabet as used in modern English (see English alphabet). ASCII codes represent text in computers, in other communications equipment, and in control devices that work with text. Most often, nowadays, character encoding has an ASCII-like base.

ASCII defines the following printable characters, presented here in numerical order of their ASCII value

!"#\$%&'()*+,-./0123456789:; \(\Rightarrow\)? @ABCDEFGHIJKLMNOPQRSTUVWXYZ[\]^_ `abcdefghijklmnopqrstuvwxyz{|}~

http://en.wikipedia.org/wiki/ASCII

Analysis of
Alternatives

AoA

Provides analysis and suggestions for performance characteristics. Assesses the advantages and disadvantages of alternatives, including the sensitivity of each alternative to possible changes in key assumptions or variables. (Source: http://www.dau.mil/pubs/glossary/11th Glossary 2003.pdf)

Anonymous Access

Accessing a resource without authentication. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

ANSI X12

Numbered set of commercial EDI transactions defined by the American National Standards Institute's Accredited Standards Committee X12. Uniform rules for the interchange of business documents define for cross industry EDI use.

Apache Ant

A Java-based build tool that automates the build process using XML descriptor files to capture the build process.

API Adapter

A generalized API that provides a common set of function calls across different applications.

Applet

A J2EE component that typically executes in a web browser. Applets can also execute in a variety of other applications or devices that support the applet programming model. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Application **Diversity**

A situation where users can pull multiple apps to access the same data or choose the same app (e.g., for collaboration).

Application Programming Interface

API

A special type of interface that specifies the calling conventions with which one component may access the resources and services provided by another component. APIs are defined by sets of procedures or function-invocation specifications. An API is a special case of an interface.

Application Server

A platform for developing and deploying multi-tier distributed enterprise applications.

Architecture

(1) The structure of components, their relationships, and the principles and guidelines governing their design and evolution over time. (2) A high-level design that provides decisions about the problem(s) that the product will solve, component descriptions, relationships between components, and dynamic operation description. (3) A framework or structure that portrays relationships among all the elements of the subject force, system, or activity. Also, the fundamental organization of a system, embodied in its components, their relationships to each other and the environment, and the principles governing its design and evolution. The organizational structure of a system or component, their relationships, and the principles and guidelines governing their design and evolution over time. (Source: IEEE 610.12)

Architecture Tradeoff Analysis Method

ATAM The SEI defines ATAM as a risk mitigation method that can occur early in the software development lif cycle when it is relatively inexpensive to change architectural decisions. (Source: http://www.sei.cmu.edu/ata/ata_init2.html)

Architecture Views, Software

Conceptual Architecture. The p urpose of the conceptual architecture is to direct attention at an appropriate decomposition of the system without delving into details. Moreover, it provides a useful vehicle for communicating the architecture to non-technical audiences, such as management, marketing, and users. It consists of the Architecture Diagram (without interfaces) and an informal component specification (which we call CRC-R cards) for each component.

Architecture, Functional

The hierarchical arrangement of functions, their internal and external (to the aggregate itself) functional interfaces and external physical interfaces, their respective functional and performance requirements, an design constraints.

Architecture, Software

(1) The software architecture of a program or computing system is the structure or structures of the system, which comprise (a) software components, (b) the externally visible properties of those components, and (c) the relationships among them. (2) The structure and relationships among the components of a computer program. The software architecture may also include the program's interface with its operations environment.

Architecture, System

(1) A logical, physical structure that specifies interfaces and services provided by the system component necessary to accomplish system functionality. (2) The structure and relationship among the components of a system. The system architecture may also include the system's interface with the operational environment.

Asset

Any sensor, weapon, aircraft, boat, unmanned air vehicle (UAV), etc., directly controlled by own ship.

Assistant Secretary of Defense ASD

(Source: http://www.dod.mil/nii/)

Assistant Secretary of Defense for Networks and Information Integration (Source: http://www.dod.mil/nii/)

Associated Measurement Report

AMR

ASD

(NII)

A sensor measurement that has been processed by the originating sensor for clutter rejection and meets defined signal-to-noise parameters, and has been associated with either a local sensor track or a global composite track.

Association

(1) The automatic or manual establishment of a relationship between two or more tracks when the information on them is deemed to pertain to the same contact. (2) The process of identifying and linking data sets that may correspond to the same object while retaining each track as an individual entity.

Assured Sharing

Trusted accessibility to net resources such as data, services, apps, people, and collaborative environments.

Asymmetric Key Cryptography Synonym for Public Key Cryptography

Atomicity, Consistency, Isolation, **ACID** The acronym for the four properties guaranteed by transactions. (Source:

 $\underline{http://java.sun.com/j2ee/1.4/docs/glossary.html}~)$

Attribute

Durability

A distinct characteristic of an object. Real-world object attributes are often specified in terms of their physical traits, such as size, shape, weight, and color. Cyberspace object attributes might describe size, type of encoding, and network address. (Source: http://www.oasis-

open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Attribute data

Any non-kinematic data provided by a sensor for a track. Examples include IFF mode codes, INTEL da

(e.g., imagery), EW data (e.g., parametric data), non-cooperative target recognition (NCTR) data, etc.

Authentication

The process that verifies the identity of a user, device, or other entity in a computer system, usually as a prerequisite to allowing access to resources in a system. The Java servlet specification requires three types of authentication (basic, form-based, and mutual) and supports digest authentication. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Authority to ATO Operate

An ATO or IATO is required prior to conducting operational tests on a deployable system. An ATO or IATO is granted only after the bulk of certification and accreditation activities are concluded, and the Designated Approving Authority (DAA) is satisfied with the residual risk to the system. (Source: $\frac{\text{http://akss.dau.mil/dag/Guidebook/IG_c9.9.2.2.asp}}{\text{http://akss.dau.mil/dag/Guidebook/IG_c9.9.2.2.asp}})$

Authorization

The process by which access to a method or resource is determined. Authorization depends on the determination of whether the principal associated with a request through authentication is in a given security role. A security role is a logical grouping of users defined by the person who assembles the application. A deployer maps security roles to security identities. Security identities may be principals of groups in the operational environment. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

B

B

Baseline, Allocated

The initially approved documentation describing a system's functional, performance, interoperability, and interface requirements that are allocated from those of the system or higher level subsystem; interface requirements with interfacing subsystems; design constraints; derived functional and performance requirements; and verification requirements and methods to demonstrate the achievement of those requirements and constraints.

Baseline, Functional

The initially approved documentation describing a system's or configuration item's functional performance, interoperability, and interface requirements. Also, the verification required to demonstrate the achievement of those specified requirements.

Basic Object Adapter The Basic Object Adapter was the predecessor to the and is incomplete. See the Portable Object Adapter (POA).

Battle Force

A standing operational naval task force organization of carriers, surface combatants, and submarines assigned to numbered fleets. A battle force is subdivided into battle groups.

Binary Coded BCD Decimal

. Binary-coded decimal (BCD) is, after character encodings, the most common way of encoding decimal digits in computing and in electronic systems. In BCD, a digit is usually represented by four (binary) bits, of which the leftmost (written conventionally) has value 8, and the remaining three have values 4, 2, and 1. Only the combinations of these bits that, when summed, have values in the range 0-9 are valid. Other combinations are sometimes used for sign or other indications. (Source: http://en.wikipedia.org/wiki/Binary_Coded_Decimal)

Binary XML

Black Box

Provides a specified function or functions at a specified level of performance for an agreed-upon cost.

Business Community Integration BCI

BOA

Business Logic

The code that implements the functionality of an application. In the Enterprise JavaBeans architecture, this logic is implemented by the methods of an enterprise bean. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Business Method

A method of an enterprise bean that implements the business logic or rules of an application. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Business Process BPEL Execution Language

BPEL is emerging as the standard for assembling a set of discrete services into an end-to-end process flow, radically reducing the cost and complexity of process integration initiatives. (Source:

 $\underline{http://www.oracle.com/technology/products/ias/bpel/index.html}$

)

Business Process BPEL4WS Execution Language for Web Services

Business Process BPM Management

Business-to-Business B2B Integration

C

\boldsymbol{C}

Canonicalization

The process of converting an XML document to a form that is consistent to all parties. Use documents and interpreting signatures

Capabilities Development Document

Provides operational performance attributes, including supportability, for the acquisition of design the proposed system. Includes key performance parameters (KPP) and other parameter development, demonstration, and testing of the current increment. Outlines the overall developing full capability. (Source: http://www.dau.mil/pubs/glossary/11th Glossary 2003

Capabilities Production CPD Document

CDD

Addresses the production attributes and quantities specific to a single increment of an acque program. Supersedes threshold and objective performance values of the CDD. (Source: http://www.dau.mil/pubs/glossary/11thGlossary/2003.pdf)

Capabilities Requirements Document

Capability Maturity CMM Model

Capability On Demand

Delivery of and/or access to capabilities (data, applications, connectivity) incrementally an on demand, and controlled by user clearance.

Capstone Requirements CRD Document

A document containing capabilities-based requirements that facilitates the development of Capability Development Documents (CDDs) by providing a common framework and oper to guide their development. CRDs that have been approved by the Joint Requirements Ove (JROC) continue to be valid until absorbed into appropriate integrated architectures as required 3170.01C and retired. The JROC retains the authority to specifically direct the development CRDs, as necessary. The CRD format is contained in CJCSM 3170.01.(CJCSI 3170.01C at 3170.01). (Source: http://www.dau.mil/pubs/glossary/11th Glossary 2003.pdf)

Cascade Delete

A deletion that triggers another deletion. A cascade delete can be specified for an entity be container-managed persistence. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Cascading Style Sheet CSS

A stylesheet used with HTML and XML documents to add a style to all elements marked particular tag, for the direction of browsers or other presentation mechanisms. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html) -OR- Closed Source Software. Software is source code is not open and available, e.g., COTS (commercial off-the-shelf) software. CO distributed in a binary form. With COTS licenses, the purchaser is not allowed to take aparengineer the product, or modify the product for any purpose. Other forms of CSS includes royalty-free libraries (e.g., runtime libraries for compilers). CSS may come with source coassociated licenses forbid the creation and distribution of any derived works. (Source: http://en.wikipedia.org/wiki/Closed_source)

A file that defines a hierarchical set of style rules that the creator of an HTML or XML file to control how that page is rendered in a browser or viewer, or how it is printed. A CSS inc defined order of precedence to address cases when the definitions of any style element in a conflict. . IBM WebSphere Glossary

Centre for Information	CITI
Technology Innovation	

Certificate CERT

A certificate which uses a digital signature to bind together a public key with an identity in as the name of a person or an organization, their address, and so forth. The certificate can be verify that a public key belongs to an individual.

http://en.wikipedia.org/wiki/Certificate_%28cryptography%29

Certificate Authority CA

Certification Authority (CA) is an trusted organization which issues digital public key cert by other parties. It is an example of a trusted third party. CA's are characteristic of many prinfrastructure (PKI) schemes. http://en.wikipedia.org/wiki/Certificate_authority

Certificate Revocation List

CRL A

A list of certificates (more accurately: their serial numbers) which have been revoked, are valid, and should not be relied upon by any system user.

http://en.wikipedia.org/wiki/Certificate_Revocation_List

Chairman of the Joint Chiefs of Staff CJCS

Chairman of the Joint Chiefs of Staff Directives Instructions, Manuals, Notices, Guides, and other policy and procedures published by the Chiefs of Staff. (Source: http://www.dtic.mil/cjcs_directives/index.htm)

Character Data CDATA

A predefined XML tag for character data that means "don't interpret these characters," as of parsed character data (PCDATA), in which the normal rules of XML syntax apply. CDAT typically used to show examples of XML syntax. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Check boxes

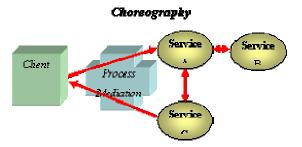
A check selection box emulates checkboxes on a form were any number of attributes can be the same time.

Check constraint

A constraint based on a user-defined condition - generally documented in a database doma evaluate to true for the contents of a data base column to be valid.

Choreography

When a client request spawns a chain of events or service requests that do not rely on a cercoordinator, Choreography is employed. Choreographed Web Service knows when to execute services to interact with. WS-CDL is an example of a business process management language that implements choreography.



Note: See Mediation.

Claim

A claim is a declaration made by an entity (e.g. name, identity, key, group, privilege, capal

Class of Service CoS

A queuing discipline. The algorithm compares fields of packets or CoS tags to classify pac different priority queues. CoS does not ensure network performance or certain priority in d packets. See also Quality of Service(QoS). (Source: http://en.wikipedia.org/wiki/Class_of_

Class-Based Design Any design that incorporates objects and classes. Contrast with object-oriented design and

design.

Class-Based

Programming Language

A programming language that enables programmers to define and use objects and classes; CLU. Contrast with object-based programming languages and object-oriented programming

Clickable Graphic An image or graphic that has been coded to contain interactive areas. When it is clicked on

another Web page or program. A clickable graphic usually contains just one link compared

map, which references many links. netlingo imagemap

Client A system entity that accesses a web service. (Source: http://www.oasis-

open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Client-Certificate Authentication An authentication mechanism that uses HTTP over SSL, in which the server and (optional authenticate each other with a public key certificate that conforms to a standard that is defi Public Key Infrastructure. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

COmmon Business Oriented Language

COBOL

COBOL is a third-generation programming language. Its name is an acronym, for **CO**mmo **O**riented **L**anguage, defining its primary domain in business, finance, and administrative s

companies and governments.

The COBOL 2002 standard includes support for object-oriented programming and other management features. However, most of this article is based on COBOL 85.

http://en.wikipedia.org/wiki/COBOL

Cohesion

The manner and degree to which the tasks performed by a single software module are relat another. Types include coincidental, communicational, functional, logical, procedural, sequ temporal. Synonym: module strength. Contrast with coupling. In a well-designed, highly m software design, the modules will have high cohesion; that is, each will have a clearly defin functions that have a close relationship to each other. This facilitates changes to modules so changes will affect only the closely-related functions. In contrast, modules that contain mu unrelated functions blur the integrity of the software's design since the unrelated functions a single module, thereby creating dependencies that inhibit the ability to easily make change

IEEE Std 610.12-1990)

COI service See Community of Interest Service.

Collaboration Portal members can communicate synchronously through chat or messaging, or asynchron

threaded discussion, blogs, and email digests (forums).

Collaboration Protocol

Agreement

CPA

Rules of interaction between two parties dealing electronically, and a list of their IT capabi

Collaboration Protocol CPP

Profile

Profile of a company with a description of its capabilities.

Combat Identification CID

CID is the process of attaining an accurate characterization of detected objects in the joint the extent that high confidence and timely application of military options and weapons resourcur. Depending on the situation, this characterization may be limited to "friend," "enemy In other situations, other characterizations may be required, including, but not limited to, c nationality, and mission configuration.

Warfare

Command & Control

Command and Control

Command and Control Enterprise Reference

Architecture

C2W

C2

C2ERA

		that merged to form NESI. The other project was RAPIDS.
Command and Control Information Exchange Data Model	C2IEDM	A data model that is managed by the Multilateral Interoperability Programme (MIP). It ori experts from various NATO partners and from the Partnership-for-Peace nations. This data the process of being submitted to OMG for consideration as the standard for information e falls under the shared operational picture exchange service. (Source: http://www.mip-site.org/MIP_DMWG.htm)
Command Line Interface	CLI	A method of interacting with a computer by giving it lines of textual commands (that is, a characters) either from keyboard input or from a script. (Source: http://en.wikipedia.org/wiki/Command_line_interface)
Command, Control, & Communications	C3	
Command, Control, and Intelligence	C2I	
Command, Control, Communications, Computers, and Intelligence	C4I	
Command, Control, Communications, Computers, and Intelligence, Surveillance, and Reconnaissance	C4ISR	
Commercial Off-The- Shelf	COTS	A term for systems that are manufactured commercially, and may be tailored for specific untip://en.wikipedia.org/wiki/Commercial_off-the-shelf)
Commercial Software		Commercial software is software developed by businesses which aim to make money from commercial software is proprietary, but there is commercial free software, and there is non non-free software. (Source: GNU.org: Categories of Free and Non-Free Software: http://www.gnu.org/philosophy/categories.html)
Commit		The point in a transaction when all updates to any resources involved in the transaction are permanent. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)
Common Gateway Interface script	CGI script	CGI is a standard for interfacing external applications with information servers, such as H' servers. A plain HTML document that the web daemon retrieves is static, which means it constant state: a text file that doesn't change. A CGI program, on the other hand, is executed

The exercise of authority and direction by a properly designated commander over assigned

accomplishment of the mission. C2 functions are performed through an arrangement of per equipment, communications, facilities, and procedures. A commander employs these wher directing, coordinating, and controlling forces and operations in the accomplishment of the

A technical concept of operations for building information systems better suited to the NC

environment. C2ERA prescribed the technical architecture mandated by the Designated AcCommander for C4ISR Enterprise Integration in the U.S. Air Force. C2ERA is one of two

so it can output dynamic information.

Common Information CIM Model

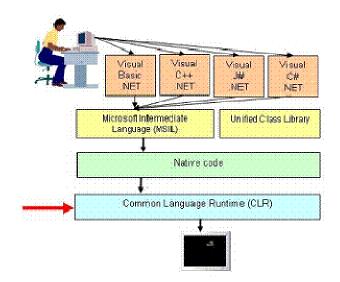
Common Language CLR Runtime

At the very core of the .<u>NET</u> Framework is the <u>Common Language Runtime</u> (<u>CLR</u>). It enc the services used from the operating system by compilers of higher level languages such as .<u>NET</u>, Visual C++ .<u>NET</u>, Visual J# .<u>NET</u> and Visual C# .<u>NET</u>. The higher level languages translated into native code that directly accesses the <u>CLR</u>. See the <u>Microsoft Developer Net</u> (MSDN) article Common Language Runtime Overview for more details.

The following description of the <u>CLR</u> comes from <u>A Guide to Building Enterprise Applica</u>. NET Framework, also available on MSDN:

The common language runtime (CLR) is a high-performance engine for running applications built using the .NET Framework. Code that targets the runtime and whose execution is managed by the runtime is referred to as managed code. Responsibility for tasks such as creating objects, making method calls, and so on delegated to the CLR, which enables it to provide additional services to the code executes.

While the component is running, the CLR provides services such as memory management (including garbage collection), process management, thread management, and security enforcementand satisfies any dependencies that the component may have on other components.



Common Object Request Broker Architecture

CORBA

CORBA "wraps" code written in another language into a bundle containing additional info capabilities of the code inside, and explaining how to call it. The resulting wrapped objects called from other programs (or CORBA objects) over the network. The CORBA specificat APIs, communication protocol, and object/service information models to enable heterogen applications written in various languages running on various platforms to interoperate. (So http://en.wikipedia.org/wiki/CORBA)

Communities of Interest COI

A collection of people who exchange information using a common vocabulary in support of missions, business processes, and objectives. The community is made up of the users/opera participate in the information exchange, the system builders who develop computer system

users, and the functional proponents who define requirements and acquire systems on beha-

Community of Interest Service

A service that may be offered to the enterprise, but is owned and operated by a Community provide or support a well-defined set of mission functions and associated information.

Compiler

A computer program that translates programs expressed in a high-order language into their language equivalent. (Source: IEEE Std 610.12-1990)

Complex Data

Complex data can be represented in a complex data structure or can be mapped into a relat structure with additional metadata provided to represent the complex relationships.

Complex Semi-Structured Data Complex Semi-Structured Data has partial metadata. It includes data defined in COBOL of Electronic Data Interchange standards ANSI X.12 and Health Level 7 (HL7). Semi-structure be as complex or more so as any Complex Structured data. It can map into or be XML. It missing some Metadata or an XSD.

Complex Structured Data

Complex Structured Data has well-defined metadata. It includes data represented in XML with deeply hierarchical and recursive structures. Complex data can be represented in a constructure or can be mapped into a relational or flat structure with additional metadata proving represent the complex relationships. Although Complex structured data is generically a propriented databases, the Complex Data Structures can be filled from any source.

Complex Unstructured Data

Complex Unstructured Data has little or no metadata. It includes data in binary files, spreadocuments, and print streams.

Component

One of the parts that make up a system. A component may be hardware or software and may subdivided into other components. Note the terms 'module,' 'component,' and 'unit' are ofted interchangeably or defined to be sub-elements of one another in different ways depending. The relationship of these terms is not yet standardized. (Source: IEEE Std 610.12-1990) "A is not subject to decomposition from the perspective of a specific application." (Source: ISC

Component and Service CSM Management

A set of management capabilities for monitoring and controlling deployed applications, the components, and web services. CSM collects data, analyzes it, and makes system manager recommendations to operators. CSM also provides the ability to manage version configuration information and a scheduler to run batch jobs at a predetermined schedule. Other CSM capinclude configuration management, end-to-end performance monitoring and analysis, service support, software distribution, service life-cycle management, and quality-of-service management.

Component Object COM Model A Microsoft software architecture for building component-based applications. COM object components, each with a unique identity, which expose interfaces that allow applications a components to access their features. COM objects are more versatile than Win32 DLLs be completely language-independent, have built-in inter-process communications capability, a into an object-oriented program design. COM was first released in 1993 with OLE2, largel the inter-process communication mechanism DDE used by the initial release of OLE. Action COM.

System Component System A basic part of a system. System components may be personnel, hardware, software, facilir material, services, and/or techniques that satisfy one or more requirements in the lowest levi functional architecture. System components may be subsystems and/or configuration items

Component-Based CBA Software Mission applications that are architected as components integrated within a component fra

Composite/Collaborative

A representation of an entity that is formed by combining individual instances of measurements collection of measurements from one or more sensors into a single composite/collaborative

Track vector and combined attribute information.

Computer Network

Defense

CND

CCB

Concept of Operations CONOPS

Conceptual Model Captures the concepts of the relational database and can help enforce the first three normal

Condition A variable of the operational environment or situation in which a unit, system, or individual

to operate that may affect performance.

Configuration Control

Board

Also Change Control Board. Duties include reviewing change requests, making decisions, communicating decisions made to affected groups and individuals. Represents the interests

and project management by ensuring that a structured process is used to consider proposed

incorporate them into a specified release of a product.

Connection Pooling A technique for establishing a pool of resource connections that applications can share on a

server.

Connector A portable service API to external resources.

Consumer A system entity invoking producers in a manner conforming to a specification. For examp

aggregating content from portlets accessed using the WSRP protocol is a type of consumer <a href="http://www.oasis-open.org/committees/download.php/3343/oasis-200304-wsrp-specification-php/3343/oasis-php/3343/oasis-php/3343/oasis-php/3343/oasis-php/3343/oasis-php/3343/oasis-php/3343/oasis-php/3344-wsrp-specification-php/3343/oasis-php/3344-wsrp-specification-php/3343/oasis-php/3344-wsrp-specification-php/3343/oasis-php/3344-wsrp-specification-php/3344-wsrp-specificati

Container A standard extension mechanism for containers that provides connectivity to enterprise inf

systems. A connector is specific to an enterprise information system. It consists of a resour application development tools for enterprise information system connectivity. The resource plugged in to a container through its support for system-level contracts defined in the Connectivity.

architecture. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Content and Document

Management

Services that support the full lifecycle of document creation and provide mechanisms for a

approval, version control, scheduled publishing, indexing, and searching.

Continuity of Operations Plan

COOP

Contract Data Requirements List CDRL

A list of contract data requirements that are authorized for a specific acquisition and made

 $contract. \ (Source: \underline{http://www.dau.mil/pubs/glossary/11th\ Glossary\ 2003.pdf}\)$

Copyright

The U.S. Copyright Act, 17 U.S.C. §§ 101 - 810 (17 U.S.C. §§ 101 - 810), is Federal legisl by Congress under its Constitutional grant of authority to protect the writings of authors. The product of the

Act covers architectural design, software, the graphic arts, motion pictures, and sound reco A copyright gives the owner the exclusive right to reproduce, distribute, perform, display, work. The owner also receives the exclusive right to produce or license derivatives of his of 201(d)). Limited exceptions to this exclusivity exist for types of "fair use," such as book re To be covered by copyright a work must be original and in a concrete "medium of expressi

Under current law, works are covered whether or not a copyright notice is attached and wh

the work is registered.

CORBA Component CCM

Model

Part of the CORBA 3.0 Specification, CCM extends the CORBA object model and enforce rather than inheritance. Similar to a CORBA version of EJB that can be used with any language.

 $platform. \ (\textbf{Source:} \ \underline{http://www.omg.org/technology/documents/formal/components.htm}\)$

Core Enterprise Service CES

A ubiquitous, common solution service that provides capabilities essential to the operation enterprise.

Generic information services that apply to any COI, provide the basic ability to search the desired information, and then establish a connection to the desired service. (Source: http://www.defenselink.mil/nii/org/cio/doc/GIG ES Core Enterprise Services Strategy

Correlation

(1) The determination that a locally derived track represents the same object or point as an and/or the process of combining two such tracks/data under one track number. (Logicon) (so fidentifying tracks believed to represent the same object and replacing them with a single combining the data from the duplicate tracks as appropriate.

Coupling

The manner and degree of interdependence between software modules. Types include comenvironment coupling, content coupling, control coupling, data coupling, hybrid coupling, pathological coupling. Contrast with cohesion. In a well-designed, highly modular softwar coupling between modules will be minimized. This facilitates changing and replacing mod minimal effect on other modules within the system. (Source: IEEE Std 610.12-1990)

Credentials

The information describing the security attributes of a principal. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

CRL Distribution Point CDP

CDS

The location where the CA puts the CRL for relying parties to obtain the most current CR

Cross Compiler

"A compiler that executes on one computer but generates machine code for a different con (Source: IEEE Std 610.12-1990)

Cross Domain Security

User authentication across multiple application spaces.

Customized Application

An application that can be tailored on a continuing basis to meet current Rules of Engagen

readjusted to meet tomorrow's needs.

Customized Delivery

Smart push-and-pull of data reduces overload and provides the requested data to operators it. Tailored discovery, publish, and subscribe capabilities allow operators to register for specific timeframes.

D

D

Data

Unprocessed information; information without context

Data Analysis

Data Architect

A Data Architect is a job title associated with a person within an organization responsible for making sure the organization's strategic goals are optimized through the use of enterprise data standards. This frequently involves creating and maintaining a centralized registry of metadata.

Data Architecture includes topics such as metadata management, business semantics, data modeling and metadata workflow management.

Data Architect's job frequently includes the set up a <u>Metadata</u> <u>registry</u> and allows domain-specific stakeholders to maintain their own data elements.

Data Asset

From the DoD Net-Centric Data Strategy (dated May 9, 2003) Data Asset is defined as any entity that is composed of data. For example, a database is a data asset that contains data records; e.g., system or application output files, databases, documents, or web pages. The term data asset also refers to services that provide access to data. For example, a service that returns individual records from a database is considered a data asset since it deals mainly in the function of providing data. Similarly, a web site that returns data in response to specific queries (e.g., www.defenselink.mil) is considered a data asset.

Data Categorization

Data Dictionary

A data dictionary is set of metadata that contains definitions and representations of <u>data elements</u>.

Within the context of a DBMS, a data dictionary is a read-only set of tables and views. The data dictionary may be considered a database in its own right.

Data Element Gallery The Data Element Gallery is an important component of the Metadata Registry and Clearinghouse. The Data Element Gallery provides its users with access to <u>data elements</u> that are commonly used by the Department of Defense such as country codes and U.S. state codes. Users may search the registry, compare <u>data elements</u>, and download an Access database containing the available elements. http://diides.ncr.disa.mil/dereg/user/index.cfm

Data Element

data element is an atomic unit of data that has:

- An identification such as a Data element name
- A clear Data element definition
- One or more Representation terms
- Optional enumerated values

Data Encryption DES Standard

The Data Encryption Standard (DES) is a cipher (a method for encrypting information) selected as an official Federal Information Processing Standard (FIPS) for the United States in 1976, and which has subsequently enjoyed widespread use internationally. The algorithm was initially controversial, with classified design elements, a relatively short key length, and suspicions about a National Security Agency (NSA) backdoor.

http://en.wikipedia.org/wiki/Data Encryption Standard

Data Exchange

Operators can move data between applications easily and without losing data or capabilities. Data may carry security labels allowing for its exchange with partners operating at coalition or multinational releasable security levels.

Data Exposure

The steps necessary to set up the metadata infrastructure associated with a net centric data strategy.

Data Fusion

A common command and control approach where the disparate sources of information available to a military or civilian commander or planner, are integrated (or fused) together.

Data Integrity

A measure of the consistency and accuracy of computer data. Integrity can be threatened by hardware problems, power outages, and disk crashes, but most often is threatened by application software or viruses. In a database program, data integrity can be threatened if two users are allowed to update the same item or record at the same time. Record or File Locking, whereby only a single user is allowed access to a given record at any one point in time is one method of ensuring data integrity. http://www.courts.state.ny.us/ad4/lib/gloss.html#D

Data Modeling \mathbf{DM} Modeling is an essential step in understanding the data that will comprise a system. The end products of data modeling can be XML schemas or RDBMS schema definitions. Many COIs create their own data models, such as C2IEDM for the C2 community.

Data Publishing

The steps necessary to make data available within the net centric data strategy infrastructure.

Data Source Interface

DSI

Database Data

Data stored in database columns in database tables in a relational database. The set of data records which which a relational database is populated. Generally understood to refer to application data and

not metadata.

Database Management System

DBMS

A system, usually automated and computerized, for managing any collection of compatible, and ideally normalized, data. (Source:

http://en.wikipedia.org/wiki/DBMS)

Data-Centric Data separated from applications; apps talk to each other by posting

Data-Oriented Services

DOS A software component that receives a request and optionally returns

an XML data response to a UFS or another DOS. A DOS has no

visual or presentation component.

Decorrelation The determination that locally held track data for a given track

> number does not represent the same object or point as track data being received in a remote track report for the same track number.

Defense Collaboration **Tool Suite**

A flexible, integrated set of applications providing interoperable, synchronous, and asynchronous collaboration capability to the

Department of Defense's (DoD) agencies, Combatant Commands,

and military services. (Source:

http://www.disa.mil/main/prodsol/dcts.html)

Defense Data DDDS Dictionary System

DCTS

DISN

Defense Federal DFARS Acquisition Regulation Supplement

Information System Network

Defense

Defense DISA

Information **Systems Agency**

DITSCAP **Defense**

Information **Technology** Security

Certification and Accreditation

Process

Defense DISR

Information **Technology** Standards & **Profiles Registry**

Department of

DoD A civilian Cabinet organization of the United States government.

The Department of Defense controls the U.S. military and is

Defense

headquartered at The Pentagon. It is headed by the Secretary of Defense.

http://en.wikipedia.org/wiki/United_States_Department_of_Defense

Department of Defense Instruction

DoDI

Department of Defense Intelligence

DoDIIS

Information System

Department of the DON

Navy

Deployment

The process whereby software is installed into an operational

environment. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Deployment Descriptor

An XML file provided with each module and J2EE application that describes how they should be deployed. The deployment descriptor directs a deployment tool to deploy a module or application with specific container options and describes specific configuration requirements that a deployer must resolve. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Deprecate

Deprecation is the gradual phasing-out of features such as guidance, software or programming language features.

Guidance, features or methods marked as deprecated are considered obsolete, and further use is discouraged. The guidance features or methods are still valid although error messages as warnings may occur when they are referenced. These serve to alert the user to the fact that the feature may be removed in future releases.

Features get marked as deprecated, rather than simply removed, in

order to provide backward compatibility end users.

Description, Discovery, and Integration

DDI

Descriptive Label

Deserialization

Deserialization is the reverse process of serialization. A stream of

data is converted back into a complex object.

The process of transferring data using serialization and Note:

deserialization is called marshalling.

Design

An arrangement of design elements that provides the design

solution for a product or life cycle process intended to satisfy the

Architecture

functional architecture and the requirements baseline. (Source: IEEE 1220)

Design Readiness DRR Review

Design Requirement A requirement that specifies or constrains the design of a system component. (Source:IEEE Std 610.12-1990)

Dialog Box

A dialog box is a window that 'pops up' to gather additional information or to allow you to change settings. You will usually need to press the OK button to continue, but variations include NEXT or FINISH also.

http://web.mit.edu/abiword v2.0.10/Tutorials/klw/glossary.html

Differentiated Services

DiffServ

DCID

Digest

A cryptographic checksum of an octet stream

Digital Signature

A value computed with a cryptographic algorithm and bound to data in such a way that intended recipients of the data can use the signature to verify that the data has not been altered and/or has originated from the signer of the message, providing message integrity and authentication. The signature can be computed and verified with symmetric key algorithms, where the same key is used for signing and verifying, or with asymmetric key algorithms, where different keys are used for signing and verifying (a private and public key pair are used)

Digital Signature DSA Algorithm

The Digital Signature Algorithm (DSA) is a United States Federal Government standard for digital signatures. It was proposed by the National Institute of Standards and Technology (NIST) in August 1991 for use in their Digital Signature Standard (DSS), specified in FIPS 186, adopted in 1993. A minor revision was issued in 1996 as FIPS 186-1, and the standard was expanded further in 2000 as FIPS 186-2. http://en.wikipedia.org/wiki/Digital_Signature_Algorithm

Director of Central Intelligence Directive

CIA publications that provide timely, coordinated, and clear guidance and direction to the Intelligence Community.

Directory Service

A directory service organizes computerized content and runs on a directory server computer. It is not to be confused with the directory itself, which is the database that holds the information about objects that are to be managed by the directory service. The directory service is the interface to the directory and provides access to the data that is contained in that directory. It acts as a central authority that can securely authenticate resources and manage identities and relationships between them.

http://en.wikipedia.org/wiki/Directory_service

Disconnected

An application that may not be available at all times. Not all applications within the enterprise will have a 24/7 connection to the

Application

other machines in the network. For example, consider a submarine that surfaces several times a day to obtain mission information. A message-base system can store the messages in a queue until the submarine surfaces. Disconnected applications allow the receiving application to process messages at any time. As a result, the sender and receiver are not as dependent on each other.

Discovery Metadata

Discretionary Access Control

DAC

Defines basic access control policies to objects in a file system. Generally, these are done at the discretion of the object owner: file/directory permissions and user/group ownership. (Source: http://en.wikipedia.org/wiki/Discretionary_access_control)

Distinguished Name

DN

Distinguished names (DNs) are used to uniquely identify entries in an LDAP or X.500 directory. DNs are user-oriented strings and is typically composed of an ordered set of attribute type/attribute value pairs. Most DNs are composed of pairs in the following order:

- * common name (cn)
- * organization (o) or organizational unit (ou)
- * country (c)

The following string-type attributes represent the set of standardized attribute types for accessing an LDAP directory. A DN can be composed of attributes with an LDAP syntax of Directory String, including the following:

- * CN CommonName
- * L LocalityName
- * ST StateOrProvinceName
- * O OrganizationName
- * OU OrganizationalUnitName
- * C CountryName
- * STREET StreetAddress

IBM InfoCenter

Distributed Application

An application made up of distinct components running in separate runtime environments, usually on different platforms connected via a network. Typical distributed applications are two-tier (client-server), three-tier (client-middleware-server), and multitier (client-multiple middleware-multiple servers). (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Distributed Component Object Model

DCOM

Distributed Component Object Model (DCOM) is a Microsoft proprietary technology for software components distributed across several networked computers to communicate with each other. It extends Microsoft's COM, and provides the communication substrate under Microsoft's COM+ application server infrastructure. It has been deprecated in favor of Microsoft .NET.

Doctrine, Organization, Training, Materiel, Leadership,

DOTMLPF

Personnel, Facilities

Document Object DOM Model An API for accessing and manipulating XML documents as tree structures. DOM provides platform-neutral, language-neutral interfaces that enable programs and scripts to dynamically access and modify content and structure in XML documents. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Document Type DTD Definition

Document Type Definition. An optional part of the XML document prolog, as specified by the XML standard. The DTD specifies constraints on the tags and tag sequences that can be in the document. The DTD has a number of shortcomings, however, and this has led to various schema proposals. For example, the DTD entry <!ELEMENT username(#PCDATA)> says that the XML element called "username" contains parsed character data; that is, text alone, with no other structural elements under it. The DTD includes both the local subset, defined in the current file, and the external subset, which consists of the definitions contained in external DTD files that are referenced in the local subset using a parameter entity. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

DoD Architecture DoDAF Framework

DoD Discovery Metadata Specification \mathbf{DDMS}

A NCES metadata initiative. DDMS defines discovery meta<u>data</u> <u>elements</u> for resources posted to community and organizational shared spaces. Sometimes (incorrectly) referred to as DoD Discovery Metadata Standard. (Source: http://diides.ncr.disa.mil/mdreg/user/DDMS.cfm)

DoD Information DIACAP
Assurance
Certification and
Accreditation
Program

DOD Net Centric Data Strategy

DoD PKI Class 3 Assurance Level Applications handling unclassified medium value information in Moderately Protected Environments, unclassified high value information in Highly Protected Environments, and discretionary access control of classified information in Highly Protected Environments. This assurance level is appropriate for applications that require identification of an entity as a legal person, rather than merely as a member of an organization.

Note: This definition is derived from the DoD Class 3 PKI Public Key-Enabled

DoD PKI Class 4 Assurance Level Applications that handle high value unclassified information (mission critical) in minimally protected environments will require

Class 4 certificates.

Note: This definition is derived from the DoD Class 3 PKI Public Key-Enabled.

DoD XML Gallery To ensure interoperability, this registry provides a baseline set of XML Information Resources developed through coordination and approval among the DoD communities. The Registry allows you to browse, search, and retrieve data that satisfy your requirements. The Registry has a substring search capability so that the user may easily find Information Resources that meet the criteria. The user may specify whether to search for the term within the name of the Information Resource, the definition, or both.

http://diides.ncr.disa.mil/xmlreg/user/information.cfm

Domain

A group of related items within a certain area of interest.

Domain Analysis

The process of identifying the types of information that the data model uses. A good data model captures descriptive information about each of the types.

Domain Name DNS System The Domain Name System or DNS is a system that stores information about hostnames and domain names in a type of distributed database on networks, such as the Internet. Of the many types of information that can be stored, most importantly it provides a physical location (IP address) for each domain name, and lists the mail exchange servers accepting e-mail for each domain.

The DNS provides a vital service on the Internet as it allows the transmission of technical information in a user-friendly way. While computers and network hardware work with IP addresses to perform tasks such as addressing and routing, humans generally find it easier to work with hostnames and domain names (such as www.example.com) in URLs and e-mail addresses. The DNS therefore mediates between the needs and preferences of humans and of software.

Drop Down List

A drop down list selection box are similar to radio buttons but they but a list off possible choices is provided and only one can be chosen from the list. For example, the list of state abbreviations.

Drop-Down Menu

A menu of commands or options that appears when you select an item with a mouse. The item you select is generally at the top of the display screen, and the menu appears just below it, as if you had pulled it down.

Dual Stacking

Incorporating both IPv4 and IPv6 support in routers and computers.

Dynamic HTML DHTML

Designates a technique of creating interactive web sites by using a combination of the static markup language HTML, a client-side scripting language such as JavaScript, and the style definition language Cascading Style Sheets. (Source: http://en.wikipedia.org/wiki/Dynamic_web_page)

Dynamic DII Invocation Interface

Dynamic Web Page See DHTML.

E

ebXML

A collection of standards sponsored by UNEFACT and OASIS to exchange business messages, conduct trading relationships, and define and register business processes over the Internet.

EDI Health Insurance Portability and **Accountability Act**

EDI HIPPA Mandates standards for **EDI** transactions and code sets. Establishes uniform health care identifiers for providers, health plans, and employers.

Electronic Business XML

EbXML

A group of specifications designed to enable enterprises to conduct business through the exchange of XML-based messages. It is sponsored by OASIS and the United Nations Centre for the Facilitation of Procedures and Practices in Administration, Commerce and Transport (U.N./CEFACT). (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Electronic Data Interchange

EDI

Standard formats for exchanging business data and documents.

Electronic Data Interchange for Administration. Commerce and **Transport**

EDIFACT

Electronic Data Interchange Standards Standard formats for exchanging business data and documents.

Electronic Data Internet Integration **EDIINT**

Electronic Systems Center

ESC

Embedded Style Sheets

Style sheets are placed in the heading of an HTML document. They override linked style sheets.

```
<head>
  <style
     type="text/css">
    body{text-align:center;}
    p{font-family: Arial, sans-serif; font-
size:10pt;}
    h2{font-family: Arial, serif; font-
size:16pt;}
  </style>
</head>
```

Encryption

Encryption is the process of obscuring information to make it unreadable without special knowledge. While encryption has been used to protect communications for centuries, only organizations and individuals with an extraordinary need for secrecy have made use of it. In the mid-1970s, strong encryption emerged from the sole preserve of secretive government agencies into the public domain, and is now employed in protecting widely-used systems, such as Internet ecommerce, mobile telephone networks and bank automatic teller machines. http://en.wikipedia.org/wiki/Encryption

End User

A human user of information. This is distinct from those who develop or support the automated systems that provide the information. -OR- A person who uses a device-specific user agent to access a web site. (Source: http://www.oasis-open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Endpoint

The URL or location of the web service on the internet.

End-To-End Message Level Security Established when a message that traverses multiple applications (one or more SOAP intermediaries) within and between business entities, e.g. companies, divisions and business units, is secure over its full route through and between those business entities. This includes not only messages that are initiated within the entity but also those messages that originate outside the entity, whether they are Web Services or the more traditional messages.

Enterprise

An organization considered as an entity or system that includes interdependent resources (e.g., people, organizations, and technology) that must coordinate functions and share information in support of a common mission or a set of related missions.

Enterprise Application EAR Archive

A JAR archive that contains a J2EE application. It contains all the JAR, WAR, and RAR archives for an enterprise application, plus an XML descriptor. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Enterprise Application EAI Integration

Software to effect interface between enterprise software systems. Provides interface at the application layer.

Enterprise Guidelines

Rules that govern the choice/implementation of COI Enterprise Services.

Enterprise Information EII Integration

Enterprise Information EIP Portals

An EIP is an intranet portal, usually under the control of a single domain such as the DoD. Some of the features of an EIP are single touch point, collaboration, content and document management, personalization, and integration. (Source:

http://en.wikipedia.org/wiki/web_portal)

Enterprise Java Bean EJB A server-side component architecture for the development and

deployment of object-oriented, distributed, enterprise-level applications. Applications written using the Enterprise JavaBeans architecture are scalable, transactional, and secure. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Enterprise Service A service that provides capabilities to the enterprise. See also

Core Enterprise Service and Community of Interest Service.

Enterprise Service Bus ESB A layer of middleware through which a core set of reusable

business services are made available

Enterprise Service Management

ESM

Enterprise Service Management NetOps

ESMNetOps

Service

Entity Bean

An enterprise bean that represents persistent data maintained in a database. An entity bean can manage its own persistence or can delegate this function to its container. An entity bean is identified by a primary key. If the container in which an entity bean is hosted crashes, the entity bean, its primary key, and any remote references survive the crash. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Environment Variable Environment variables are a set of dynamic values that can

affect the way running processes will behave. http://en.wikipedia.org/wiki/Environment_variable

Ethernet A network communication system developed and standardized

by DEC, Intel, and Xerox, using baseband transmission, CSMA/CD access, logical bus topology, and coaxial cable. The successor IEEE 802.3 standard provides for integration into the OSI model. It extends the physical layer and media with repeaters and implementations that operate on fiber, thin coax, and twisted-pair cable. (Source: http://www.sun.com/products-n-solutions/hardware/docs/html/817-6210-10/glossary.html)

Event-Driven An application that responds to events.

Event-Driven An application that responds to events. For example, a weather-reporting application may respond to weather sensor events.

Since message-base systems are inherently asynchronous, synchronization is not an issue for application development. An application can simply put the message in the queue and not have to wait for a response. This decoupling allows applications to be more responsive and operate independently of time

constraints.

Execution Architecture An execution architecture is created for distributed or

concurrent systems. The process view shows the mapping of

components onto the processes of the physical system. The deployment view shows the mapping of (physical) components in the executing system onto the nodes of the physical system.

Exposure

visibility

Extended User Community

EUC

eXtensible Access Control Markup Language **XACML**

XACML is used to represent and evaluate access control policies. XACML is designed to standardize the use of declarative policy to control access to resources.

Used with SAML.

eXtensible Markup Language XML

A markup language defines tags (markup) to identify the content, data, and text in XML documents. It differs from HTML, the markup language most often used to present information on the Internet. HTML has fixed tags that deal mainly with style or presentation. An XML document must undergo a transformation into a language with style tags under the control of a style sheet before it can be presented by a browser or other presentation mechanism. Two types of style sheets used with XML are CSS and XSL. Typically, XML is transformed into HTML for presentation. Although tags can be defined as needed in the generation of an XML document, you can use a document type definition (DTD) to define the elements allowed in a particular type of document. A document can be compared by using the rules in the DTD to determine its validity and to locate particular elements in the document. A web services application's J2EE deployment descriptors are expressed in XML with schemas defining allowed elements. Programs for processing XML documents use SAX or DOM APIs. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

eXtensible Messaging Presence Protocol **XMPP**

Extensible Schema

Descriptive messages constrained by an extensible schema delivered through the interfaces. An extensible schema allows new versions of services to be introduced without breaking existing services.

eXtensible Style Language Transformations **XSLT**

An XML document that controls the transformation of an XML document into another XML document or HTML. The target document often has presentation-related tags dictating how it will be rendered by a browser or other presentation mechanism. XSLT was formerly a part of XSL, which also included a tag language of style flow objects. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

eXtensible Style Language Transformations XSLTC

A compiling version of XSLT. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Complier

eXtensible Stylesheet **XSL** Language

A standard that lets you do the following: (1) Specify an addressing mechanism, so that you can identify the parts of an XML document that a transformation applies to (XPath). (2) Specify tag conversions, so that you can convert XML data into different formats (XSLT). (3) Specify display characteristics, such as page sizes, margins, font heights and widths, and the flow objects on each page. Information fills in one area of a page and then automatically flows to the next object when that area fills up. That allows you to wrap text around pictures, or continue a newsletter article on a different page (XSL-FO). (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

eXtensible Stylesheet XSL-FO **Language - Formatting Objects**

XSL-FO is a language that specifies the physical layout, coloring, and typographyof XML documents for screen, print, and other media. In this sense it is similar to CSS, but it is more powerful and flexible, particularly with regard to pagination and scrolling. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

eXtensible Tactical C4I XTCF Framework

External Certification ECA **Authority**

The DoD has established the External Certification Authority (ECA) program to support the issuance of DoD-approved certificates to industry partners and other external entities and organizations. The ECA program is designed to provide the mechanism for these entities to securely communicate with the DoD and authenticate to DoD Information Systems.

http://iase.disa.mil/pki/eca/

External Style Sheet See linked style sheet.

External Time Source Synchronizes internal clocks across BF platforms and represents the source of UTC time for the above system time.

Extra Toolbar Toolbar not displayed by default. You can choose to display it

from the View menu.

http://web.mit.edu/abiword v2.0.10/Tutorials/klw/glossary.html

Extract/Transform/Load ETL Software to effect data transfer between enterprise software

systems and data warehouse or datamarts.

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Façade

Provides a unified interface to a set of interfaces in a subsystem. Façade defines a higher-level interface t subsystem easier to use. This can simplify a number of complicated object interactions into a single inter

Federal Acquisition Regulation

FAR

Federal Information **Processing** Standard

FIPS

Under the Information Technology Management Reform Act (Public Law 104-106), the Secretary of Co. standards and guidelines that are developed by the National Institute of Standards and Technology (NIST computer systems. These standards and guidelines are issued by NIST as Federal Information Processing use government-wide. NIST develops FIPS when there are compelling Federal government requirements and interoperability and there are no acceptable industry standards or solutions. http://www.itl.nist.gov/fi

Federal **Public Key** Infrastructure **FPKI**

FIOP

FPKI supports digital signatures and other public key-enabled security services. NIST is coordinating wi technical groups developing PKI technology to foster interoperability of PKI products and projects. http:

Federation

A collection of trust domains that have established mutual pair-wise trust. The level of trust may vary, by authentication and may include authorization.

Feel Aspect

One of the traditional aspects of a graphical user interface. The "feel" covers the behavior of dynamic ele buttons, boxes, and menus

File Extension

Letters at the end of a filename separated by a period that indicate the type of information stored in the fi file myfile.doc has a file extension of doc meaning it is a Microsoft® Word Document.

http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

File Transfer FTP **Protocol**

FTP transfers files to and from a remote network. The protocol includes the ftp command (local machine daemon (remote machine). FTP enables a user to specify the name of the remote host and file transfer co the local host's command line. The in.ftpd daemon on the remote host then handles the requests from the rcp, ftp works even when the remote computer does not run a UNIX-based operating system. A user mus computer to make an ftp connection unless it has been set up to allow anonymous FTP. (Source: http://www.sun.com/products-n-solutions/hardware/docs/html/817-6210-10/glossary.html)

Family of Interoperable **Operational Pictures**

The Family of Interoperable Operational Pictures (FIOP) is a methodology for the Services, CINCs, Dol agencies to look across programs/initiatives and outline an implementation strategy that enables executio accomplished during combat operations to achieve decision superiority. Some important assumptions are acknowledges already existing NCW architectures such as those employed by the COP and SIAP and that provided to the warfighter must be more than a visualization tool and must be focused on execution of co http://www.DoD.mil/nii/NCW/ncw_appendix.pdf

Font

A font is a set of glyphs, all observing the same basic motif according to design, size, appearance, and of associated with the entire set, and a mapping from characters to abstract glyphs. http://www.w3.org/TR/h

Font Family

The font family specifies which font family is to be used to render the text. A font family is a group of fo used in combination and exhibiting similarities in design. One member of the family may be italic, anoth condensed or using small caps. Font family names include "Helvetica", "New Century Schoolbook", and Font family names are not restricted to Latin characters. Font families may be grouped into different cate without serifs, those whose characters are or are not proportionally spaced, those that resemble handwriting fantasy fonts, etc. . http://www.w3.org/TR/REC-CSS2/fonts.html

Font Size

The font size refers to the size of the font from baseline to baseline, when set solid (in CSS terms, this is and 'line-height' properties have the same value). . http://www.w3.org/TR/REC-CSS2/fonts.html

Font Stretch

The font stretch indicates the desired amount of condensing or expansion in the glyphs used to render the fonts in the same font family. . http://www.w3.org/TR/REC-CSS2/fonts.html

Font Style

The font style specifies whether the text is to be rendered using a normal, italic, or oblique face. Italic is a companion face to the normal face, but not so cursive as to make it a script face. Oblique is a slanted form and is more commonly used as a companion face to sans serif. This definition avoids having to label slight faces as oblique, or normal Greek faces as italic. . http://www.w3.org/TR/REC-CSS2/fonts.html

Font Variant

The font variant indicates whether the text is to be rendered using the normal glyphs for lowercase characters glyphs for lowercase characters. A particular font may contain only normal, only small-caps, or both property is used to request an appropriate font and, if the font contains both variants, the appropriate glyphttp://www.w3.org/TR/REC-CSS2/fonts.html

Font Weight

The font weight refers to the boldness or lightness of the glyphs used to render the text, relative to other family. . http://www.w3.org/TR/REC-CSS2/fonts.html

Force

(1) An aggregation of military personnel, weapon systems, vehicles, and necessary support, or combinati major subdivision of a fleet.

FORCEnet

An operational construct and architectural framework that integrates the SEAPOWER21 concepts of Sea and Sea Basing by connecting warriors; sensors, networks; command and control; platforms and weapon accelerated speed and accuracy of decision; and integrating knowledge to dominate the battlespace. FOR following capabilities: expeditionary, multi-tiered, sensor and weapon grids; distributed, collaborative, cdynamic, multi-path survivable networks; adaptive/automated decision aids; and human-centric integration.

Foreign Key FK

An attribute in a relation of a database that serves as the primary key of another relation in the same database

Students		
Student Id	Stud ou t Nam e	Su bject Major Id
12345	John Doe	5
45874	Jane Q. Public	2
96546	Dodley Dowight	3'
Primary Key		Foreign Key
Subject Major		
Subject Major Id	Subject Major Name	Phon e Num ber
54	Computer Science	888-555-12:12
	The second second	888 -555-6745
22	Computer Engi seeding	888-333-9143

Foreign Military Sales

FMS

Formatting Toolbar Toolbar normally displayed directly under the Standard toolbar that contains http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Free Software Free software is software whose license terms do not restrict the users in the ways that they can run, copy

change, and improve the software. By definition, free software is open-source. In this definition, "free" recognizing any using the software but rether to how the software are he year. CNII organized

acquiring or using the software but rather to how the software can be used. (Source: GNU.org:

http://www.gnu.org/philosophy/free-sw.html)

Freeware The term "freeware" has no single definition, but is commonly used to refer to software whose license term

redistribution but not modification. Usually, the source code for freeware is not available. (Source: GNU

http://www.gnu.org/philosophy/categories.html)

Functional Analysis Examination of a defined function to identify all the subfunctions necessary to the accomplishment of the Identification of functional relationships and interfaces (internal and external) and the capture of these in architecture. The flow down of upper-level performance requirements and the assignment of these requirements

sub-functions.

Functional Architecture

An arrangement of functions and their subfunctions and interfaces (internal and external) that defines the sequencing, conditions for control or data flow, and the performance requirements to satisfy the requirements.

(Source: IEEE 1220)

Functional Requirements

Specific actions that a system must be able to perform, without taking physical constraints into considera best described in a use-case model and in use cases. Functional requirements specify the input and output system.

4 EGG

Future Combat FCS Systems

G

G

General InterORB GIOP Protocol

General Public GPL License

A license that defines a specific set of distribution terms for free software. A GPL specifically does not let redistributors add any additional restrictions when they redistribute or modify the software. This means that every copy of the software, even if it has been modified, must be free software.

(Source:http://www.gnu.org/copyleft/gpl.html)

Geographic GO-1 Objects Initiative, Phase 1

Interoperability initiative and specification from Open GIS Consortium on GIS APIs. (Source: http://ip.opengis.org/go1/)

GIG Enterprise Service

System

A service that provides capabilities for use in the DoD enterprise. GIG Enterprise Services are the combination of Core Enterprise Services and Community of Interest Services. Also referred to as Global Enterprise Services.

Global Combat GCSS Support System

Global Command GCCS and Control

Global Command GCCS-M and Control System - Maritime

GCCS-M [AN/USQ-119E(V)], previously the Joint Maritime Command Information System (JMCIS), is the Navy's primary fielded Command and Control System. It is a globally interconnected, end-to-end set of information capabilities, associated processes, and personnel. It collects, processes, stores, disseminates, and manages information on demand to warfighters, policy makers, and support personnel. It uses this data to execute the full range of Navy missions (e.g., strategic deterrence, sea control, power projection, etc.) in near-real-time via external communication channels, local area networks (LANs), and direct interfaces with other systems.

Global GIG Information Grid

Globally interconnected, end-to-end set of information capabilities, associated processes, and personnel for collecting, processing, storing, disseminating, and managing information on demand to warfighters, policy makers, and support personnel. The GIG includes all owned and leased communications and computing systems and services, software (including applications), data, security services, and other associated services necessary to achieve Information Superiority. It also includes National Security Systems (NSS) as defined in section 5142 of the Clinger-Cohen Act of 1996. The GIG supports all DoD, National

Security, and related Intelligence Community (IC) missions and functions (strategic, operational, tactical, and business) in war and in peace. The GIG provides capabilities from all operating locations (bases, posts, camps, stations, facilities, mobile platforms, and deployed sites). The GIG provides interfaces to coalition, allied, and non-DoD users and systems.

Global Information Grid Bandwidth Expansion **GIG-BE**

Global Information Grid Enterprise Services

GIG-ES

Glyph

A glyph is the actual artistic representation of an abstract glyph, in some typographic style, in the form of outlines or bitmaps that

may be drawn on the screen or paper.

http://www.w3.org/TR/REC-CSS2/fonts.html

Government Off-The-Shelf GOTS

Graphic Interchange Format **GIF**

Graphical User GUI Interface A program that lets the user interact with a computer system in a highly visual manner, with a minimum of typing. Graphical user interfaces usually require a high-resolution display and a pointing device, such as a computer mouse.

http://www.oreilly.com/catalog/debian/chapter/book/glossary.html

Group

An authenticated set of users classified by common traits such as job title or customer profile. Groups are also associated with a set of roles, and every user that is a member of a group inherits all the roles assigned to that group. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html) -OR- (1) A flexible administrative and tactical unit composed of either two or more battalions or two or more squadrons. The term also applies to combat support and combat service support units. (2) A number of ships and/or aircraft, normally a subdivision of a force, assigned for a specific purpose.

H

H

Hard Real-Time

A system is said to be hard real-time if the correctness of an operation depends not only upon the logical correctness of the operation but also upon the time at which it is performed. An operation performed after the deadline is, by definition, incorrect, and usually has no value. In a soft real-time system the value of an operation declines steadily after the deadline expires. (Source: http://en.wikipedia.org/wiki/Real_time)

Health Insurance Portability and Accountability Act **HIPPA**

Heterogeneous Replication Data transfer between the same or different RDBMS vendors: for example, Oracle to Oracle, or Oracle to Sybase to SQL Server to MySQL. Heterogeneous replication is proprietary to the heterogeneous vendor but reduces the dependency on a specific RDBMS vendor.

Hierarchical Database

A hierarchical database defines a set of parent-child relationships. Their use should be limited to integration of existing databases, such as IBM's Informational Management System (IMS). Hierarchical database systems require developers to predict all possible access patterns in advance and design the database accordingly. A database access pattern that is not included in the design becomes very difficult and inefficient.

High Assurance Internet HAIPE Protocol Encryption

High Availability

Data tier availability can be affected by hardware failure, power outages, data errors, user errors, programmer errors, OS errors, and RDBMS errors. Various hardware and software methods help mitigate availability issues. The more reliable a system needs to be, the more it costs. Consequently, defining availability to meet requirements is essential to controlling costs.

High-Order Language

Any programming language that requires little knowledge of the computer hardware on which a program will run, can be translated into several different machine languages, allows symbolic naming of operations and addresses, provides features designed to facilitate expression of data structures and program logic, and usually results in several machine instructions for each program statement. Examples include Ada, ALGOL, COBOL, FORTRAN, Pascal. (Source: IEEE Std 610.13-1993. IEEE Standard Glossary of Computer Languages)

Homogeneous Replication

Data transfer between two databases that are implemented using the same RDBMS provider: for example, between two Sybase or two Oracle RDBMSs.

Human Computer Interaction

Human-Computer Interaction is the study, planning, and design of the interaction between humans and a computer work together. It consists of three parts: the user, the computer itself, and the ways they work

together.

Human Perspective

Human Systems HIS Integration

HCI

Human Systems Integration is part of DOD Directive 5000.1 and is intended to assist program managers by focusing attention on the human part of the system and by integrating and inserting manpower, personnel, training, human factors, safety, occupational health, habitability, and personnel survivability considerations into the Defense

acquisition process.

http://akss.dau.mil/dag/Guidebook/IG_c6.1.asp

Hypertext Markup HTML Language A markup language for hypertext documents on the Internet. HTML supports embedding images, sounds, video streams, form fields, references to other objects with URLs, and basic text formatting. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Hypertext Transfer HTTP Protocol The Internet protocol used to retrieve hypertext objects from remote hosts. HTTP messages consist of requests from client to server and responses from server to client. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Hypertext Transmission HTTPS Protocol over SSL HTTPS is the secure version of HTTP, the communication protocol of the World Wide Web. It was invented by Netscape Communications Corporation to provide authentication and encrypted communication and is used in electronic commerce.

Instead of using plain text socket communication, HTTPS encrypts the session data using either a version of the SSL (Secure Socket Layer) protocol or the TLS (Transport Layer Security) protocol, thus ensuring reasonable protection from eavesdroppers, and man in the middle attacks. The default TCP/IP port of HTTPS is 443. http://en.wikipedia.org/wiki/HTTPS

T

1

Identification ID

(1) Identification is the Identity, Category, Platform, Type, Activity, and Nationality/Alliance of the track.(2) The process of determining the friendly or hostile character of an unknown detected contact.

Identity

Identity refers to the nature or attributes of the track: Friend, Assumed Friend, Neutral, Unknown, Pending, Suspect, or Hostile.

Image Map

An image or graphic that has been coded to contain interactive areas. When it is clicked on, it launches another Web page or program. An imagemap usually has many different hyperlinked areas, known as links. For example, an imagemap of a country could be coded so that when a user clicks on a city or region, the browser is routed to a document or Web page about that place. netlingo-imagemap

Implementation Requirement A requirement that specifies or constrains the coding or construction of a system or system component. See also requirements. (Source: IEEE Std 610.12-1990)

In Accordance With IAW

Incremental Upgrade

Certain capabilities can be modernized without impacting other capabilities.

Information

Data to which meaning is assigned, according to context and assumed conventions. Data that has been interpreted, translated, or transformed to reveal the underlying meaning.

Information Assurance IA

Measures taken to protect and defend our information and information systems to ensure Confidentiality, Integrity, Availability, and Accountability, extended to restoration with protect, detect, monitor, and react capabilities.

Information Technology IT

Information Technology ITIL Infrastructure Library

Infrastructure

Initial Capabilities ICD

Document

Inline Network Encryptor **INE**

ICSF

Inline Style Sheets

Style sheets are placed in each individual HTML tag. They override linked and embedded style sheets.

<p

```
STYLE="font-family: Arial,sans-
serif;font-size : 10pt">
My paragraph text.
```

Integrated Architecture IABM Behavior Model

Integrated C4I System Framework Defines capability gaps in terms of functional area(s), relevant range of military ops, time, obstacles to overcome, and key attributes, with appropriate measures of effectiveness. Recommends materiel approach(es) based on cost analysis, efficacy, sustainability, environmental quality impacts, and associated risks.

Integrated Development IDE Environment

Integrated Support ISP Plans

Describes system dependencies and interface requirements. Includes system interface descriptions, infrastructure and support requirements, standards profiles, performance measures, and interoperability issues.

Integration

Integration is the action or process of combining elements so that they become a whole. Vertical integration acts within a system, whereas horizontal integration acts between or among systems. In the net-centric environment, integration creates links between computer systems, applications, services, or processes. The word is normally used in the context of computing, but can apply to business processes as much as to the underlying process automation. In the past, computer integration such as enterprise application integration (EAI) has typically been tightly coupled, or "hard wired," making it difficult to adapt to changing requirements. Thanks to the advent of web services and the evolution of service-oriented architectures, more agile, loosely coupled forms of integration are starting to emerge.

Integrity

The property that data has not been modified (digital

signature).

INTEL-Generated

Track

Track based on INTEL data that is of sufficient quality for correlation/association with a system

track.

Intellectual Property

The products resulting from intellectual effort and covered by a set of laws governing use of these products. These laws cover patents, copyrights, and trade secrets, and are conveyed by specific license terms and conditions describing allowable use. See also software licenses, software patents, copyrights.

Intelligence Community IC

Intelligence, Surveillance, and Reconnaissance **ISR**

Interface

The functional and physical characteristics required to exist at a common boundary or connection between systems or items. (Source: DoD 4120.214-M)

Interface Definition Language

IDL

A language used to define interfaces to remote CORBA objects. The interfaces are independent of operating systems and programming languages. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Interface Repository IFR

Interface Requirement

A requirement that specifies an external item with which a system or system component must interact, or that sets forth constraints on formats, timing, or other factors caused by such an interaction. (Source: IEEE Std 610.12-1990)

Interface Standard

A standard that specifies the physical, functional, and operational relationships between various hardware and software elements to permit interchangeability, interconnection, compatibility and/or communications.

Interim External IECA
Certification Authority

The Interim External Certification Authority (IECA) PKI program was implemented by DoD to provide a mechanism for industry partners and other external entities and organizations to obtain certificates. The IECA vendors are in the process of transitioning to fully operational External Certification Authorities (ECA). IECA certificates will continue to be accepted until their natural expiration date. Because the ECA is a hierarchical PKI with a Root CA, some applications may choose to only accept ECA certificates as an external PKI.

http://iase.disa.mil/pki/eca/

Intermediary

A processing node that is neither the original message sender nor the ultimate receiver.

International Electrical IEEE and Electronics Engineers

International IEC Engineering Consortium

International ISO Organization for Standardization

International ITU
Telecommunication
Union

Internet The Internet, or simply the Net, is the publicly available worldwide system of interconnected

computer networks that transmit data by packet switching using a standardized Internet Protocol (IP) and many other protocols. It is made up of thousands of smaller commercial, academic, and government networks. It carries various information and services, such as electronic mail, online chat and the interlinked web pages and other documentsof the World Wide web. Because this is by far the largest, most extensive internet(with a small i) in the world, it

is simply called the Internet (with a capital I). (Source: http://en.wikipedia.org/wiki/Internet)

Internet Application IAI Integration

Internet Engineering IETF
Task Force

Internet Information IIS Services

Internet InterORB

Protocol

A set of Internet-based services for Windows machines. Originally supplied as part of the Option Pack for Windows NT, they were subsequently integrated with Windows 2000 and Windows Server 2003. The current (Windows 2003) version is IIS 6.0 and includes servers for FTP, SMTP, NNTP and HTTP/HTTPS. Earlier versions also included a Gopher server.

HOP A protocol used for communication between CORBA object request brokers. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Internet Protocol IP Data packets routed across network, not switched via

dedicated circuits.

Internet Protocol Version 4 IPv4

Version 4 of the Internet Protocol (IP). It was the first version of the Internet Protocol to be widely deployed, and forms the basis for most of the current Internet (as of 2004). It is described in IETF RFC 791, which was first published in September, 1981. IPv4 uses 32-bit addresses, limiting it to 4,294,967,296 unique addresses, many of which are reserved for special purposes such as local networks or multicast addresses. This reduces the number of addresses that can be allocated as public Internet addresses. As the number of addresses available is consumed, an IPv4 address shortage appears to be inevitable in the long run. This limitation has helped stimulate the push towards IPv6, which is currently in the early stages of deployment, and may eventually replace IPv4. (Source:

http://en.wikipedia.org/wiki/IPv4)

Internet Protocol Version 6 IPv6

Version 6 of the Internet Protocol; it was initially called IP Next Generation (IPng) when it was picked as the winner in the IETF's IPng selection process. IPv6 is intended to replace the previous standard, IPv4, which only supports up to about 4 billion (4 × 109) addresses. IPv6 supports up to about 3.4 × 1038 (340 undecillion) addresses. This is the equivalent of 4.3 × 1020 (430 quintillion) addresses per square inch (6.7 × 1017 (670 quadrillion) addresses/mm²)of the Earth's surface. It is expected that IPv4 will be supported until at least 2025, to allow time for bugs and system errors to be corrected. (Source: http://en.wikipedia.org/wiki/Ipv6)

Interoperability

The ability of systems, units, or forces to (1) provide data, information, materiel, and services to, and accept the same from, other systems, units, or forces, and (2) to use the data, information, materiel, and services so exchanged to enable them to operate effectively together. IT and NSS interoperability includes both the technical exchange of information and the end-to-end operational effectiveness of that exchange of information as required for mission accomplishment. Interoperability is more than just information exchange. It includes systems, processes, procedures, organizations, and missions over the life cycle and must be balanced with information assurance. -OR- The ability for entities to work with each other. In the loosely coupled environment of a service-oriented architecture, separate resources don't need to know the details of how they each work, but they need to have enough common ground to reliably exchange messages without error or misunderstanding. Standardized specifications go a long w

Intranet

An intranet is a local area network (LAN) used internally in an organization to facilitate communication and access to information that is sometimes access-restricted. Sometimes the term refers only to the most visible service, the internal web site. The same concepts and technologies of the Internet such as clients and servers running on the Internet protocol suite are used to build an intranet. HTTP and other internet protocols are commonly used as well, especially FTP and email. There is often an attempt to use internet technologies to provide new interfaces with corporate "legacy" data and

information systems. (Source:

http://en.wikipedia.org/wiki/Intranet)

ISO-11170

ISO-11179 (formally known as the ISO/IEC 11179 Metadata Registry Standard) is a standard for representing Metadata for an organization in a Metadata Registry.

ISO/IEC 11179

See ISO-11170.

IT Service Management ITSM J

J2EE Application

J2EE Component

J2EE Connector
Architecture

JCA

J2EE Module

J2EE Server

Java

J

Any deployable unit of J2EE functionality. This can be a single J2EE module or a group of modules packaged into an EAR file along with a J2EE application deployment descriptor. J2EE applications are typically engineered to be distributed across multiple computing tiers. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

A self-contained functional software unit that is supported by a container and is configurable at deployment time. The J2EE specification defines the following J2EE components. (1) Application clients and applets are components that run on the client. (2) Java servlet and JavaServer Pages (JSP) technology components, web components that run on the server. (3) Enterprise JavaBeans (EJB) components (enterprise beans), business components that run on the server. J2EE components are written in the Java programming language and are compiled in the same way as any program in the language. The difference between J2EE components and "standard" Java classes is that J2EE components are assembled into a J2EE application, verified to be well formed and in compliance with the J2EE specification, and deployed to production, where they are run and managed by the J2EE server or client container. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

A software unit that consists of one or more J2EE components of the same container type and one deployment descriptor of that type. There are four types of modules: EJB, web, application client, and resource adapter. Modules can be deployed as standalone units or can be assembled into a J2EE application. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

The runtime portion of a J2EE product. A J2EE server provides EJB or web containers or both. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Java is a reflective, object-oriented programming language developed initially by at Sun Microsystems.

It was intended to replace C++, although the feature set better resembles that of Objective-C. Java should not be confused with JavaScript, which shares only the name and a similar C-like syntax. Sun Microsystems currently maintains and updates Java regularly.

Specifications of the Java language, the Java Virtual Machine (JVM) and the Java API are communitymaintained through the Sun-managed Java Community Process.

Class files contain bytecodes for the Java Virtual Machine. They are normally produced by a compiler

A Java interpreter can then read these files and execute the code contained within.

The J2EE environment is the standard for developing component-based multi-tier enterprise applications. The J2EE platform consists of a set of services, application programming interfaces (APIs), and protocols that provide the functionality for developing multitiered, web-based applications. Features include web-services support and development tools.(Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

A platform-independent file format that enables you to bundle multiple files into a single archive file. JAR files are packaged with the ZIP file format, so you can use them for ZIP-like tasks such as lossless data compression, archiving, decompression, and archive unpacking. Typically JAR files contain the class files and auxiliary resources associated with applets and applications. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Java Class Files

for the Java programming language.

Java 2 Enterprise **Edition**

J2EE

Java Archive

JAR

Java Authentication and JAAS **Authorization Service**

Java Connector Architecture

J2A

Java Cryptography Architecture

JCA

Java Database Connection

JDBC

from Java applications.

Java Development Kits JDK

Java Foundation Classes JFC

The Java Foundation Classes are a set of Java class libraries provided as part of the Java 2 Platform,

An API that supports database and data-source access

Standard Edition (J2SE) to support building graphical user interfaces (GUI) and graphics functionality for client applications that will run on popular platforms such as Microsoft Windows, Linux, and Mac OSX.

Java Message Service JMS An API for invoking operations on enterprise

messaging systems. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Java Message Service / Application Messaging

Interface

JMS/AMI

Java Naming and Directory Interface

JNDI

An API that provides naming and directory

functionality. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Java Secure Socket Extension **JSSE**

A set of packages that enables secure Internet

communications. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Java Server Faces

A framework for building user interfaces for web applications. It includes (1) A set of APIs for representing UI components and managing their state, handling events and input validation, defining page navigation, and supporting internationalization and accessibility; (2) A JavaServer Pages (JSP) custom tag library for expressing a JavaServer Faces

interface within a JSP page.

Java Server Page JSP

An extensible web technology that uses static data, JSP elements, and server-side Java objects to generate dynamic content for a client. Typically the static data is HTML or XML elements, and in many cases the

client is a web browser. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Java Specification Request JSR

Java Transaction API JTA

JTA specifies standard Java interfaces between a transaction manager and the parties involved in a

distributed transaction system: the resource manager, the application server, and the transactional

applications.

Java Virtual Machine JVM

JavaBean A specification developed by Sun Microsystems that

defines how Java objects interact and is similar to an ActiveX control. It can be used by any application

that understands the JavaBean format.

JavaMail A platform- and protocol-independent framework for

building Java-based mail client applications.

JavaScript

The Netscape-developed object scripting language used in millions of web pages and server applications worldwide. Contrary to popular misconception, JavaScript is not "Interpretive Java." Rather, it is a dynamic scripting language that supports prototype-based object construction.

JMS Client

A Java-base application or object that produces and consumes messages, where messages are objects that contain the data being transferred between JMS clients.

JMS Connection Class

Once a connection factory is obtained, a connection to a JMS provider (MOM) can be created. A connection represents a communication link between the application and the messaging server. Depending on the connection type, connections allow users to create sessions for sending and receiving messages from a queue or topic.

JMS Connection Factory Class An administered object that a client uses to create a connection to the JMS provider (MOM). JMS clients access the connection factory through portable interfaces so the code does not need to be changed if the underlying implementation (MOM) changes. Administrators configure the connection factory in the Java Naming and Directory Interface (JNDI) namespace so that JMS clients can look them up. Depending on the type of message, users will use either a queue connection factory or topic connection factory.

JMS Destination Class

An administered object that encapsulates the identity of a message destination, which is where messages are delivered and consumed. It is either a queue or a topic. The JMS administrator creates these objects, and users discover them using JNDI. Like the connection factory, the administrator can create two types of destinations: queues for Point-to-Point and topics for Publish/Subscribe.

JMS Message Consumer Class An object created by a session. It receives messages sent to a destination. The consumer can receive messages synchronously (blocking) or asynchronously (non-blocking) for both queue and topic-type messaging.

JMS Message Producer Class An object created by a session that sends messages to a destination. The user can create a sender to a specific destination or create a generic sender that specifies the destination at the time the message is sent. **JMS Messages**

Objects that contain the data being transferred between JMS clients. Java base applications or objects that produce and consume messages, where messages are objects that contain the data being transferred between JMS clients.

JMS Messages Class

An object that is sent between consumers and producers; that is, from one application to another. A message has three main parts: (1) A message header (required): Contains operational settings to identify and route messages; (2) A set of message properties (optional): Contains additional properties to support compatibility with other providers or users. It can be used to create custom fields or filters (selectors). (3) A message body (optional): Allows users to create five types of messages (text message, map message, bytes message, stream message, and object message). The message interface is extremely flexible and provides numerous ways to customize the contents of a message.

JMS Provider

Represents a JMS interface to the MOM. It implements the JMS interface, which is a specification published by Sun. It is basically an adapter to the MOM.

JMS Session Class

Represents a single-threaded context for sending and receiving messages. A session is single-threaded so that messages are serialized, meaning that messages are received one-by-one in the order sent. The benefit of a session is that it supports transactions. If the user selects transaction support, the session context holds a group of messages until the transaction is committed, then delivers the messages. Before committing the transaction, the user can cancel the messages using a rollback operation. A session allows users to create message producers to send messages, and message consumers to receive messages.

Joint

Connotes activities, operations, organizations, etc., in which elements of two or more military departments participate.

Joint Application
Development

JAD

Joint Capabilities Integration and Development System **JCIDS**

Joint Command and Control

JC2

Contro

Joint Composite Tracking Network Generic title for a joint telecommunications network and processing capability to enable composite tracking among joint, heterogeneous mixes of sensors (JCTN)

and to support appropriate levels of cooperative engagement of targets by weapons systems. It is envisioned as a real-time, sensor fusion system that distributes and fuses sensor measurement data into composite tracks that create a high-fidelity, coherent air picture. The JCTN is a concept rooted in the Navy's experience with Cooperative Engagement Capability (CEC). It includes common software and a communications element that allow participating units to share fused sensor data. The communications structure as currently envisioned includes wide-band line-of-sight communications, satellite links, and other communication systems.

Joint Data Network (JDN)

A collection of near-real-time communications and information systems used primarily at the coordination and execution level. It provides information exchange necessary to facilitate the Joint/Service Battle Manager's comprehension of the tactical situation, and also provides the means to exercise command and control beyond the range of organic sensors. The JDN carries near-real-time tracks, unit status information, engagement status and coordination data, and force orders. JDN information is used to cue radars as well. The backbone of the JDN is Link-16. However, other data links such as TADILA/B/C, Link-22, and VMF (Variable Message Format) will exchange information with the JDN through gateways at various platforms to ensure that disadvantaged users are included in the JDN. Satellites link geographically dispersed users in near real-time without consuming limited tactical bandwidth.

Joint Enterprise DoDIIS JEDI Infrastructure

Joint Enterprise DoDIIS Infrastructure

Joint Force

A general term applied to a force composed of significant elements, assigned or attached, of two or more military departments operating under a single joint force commander.

Joint Forces JFC Commander

Joint Intelligence Center JIC

Joint Interoperability JITC Test Command

Joint Photographic JPEG Experts Group – a file

Experts Group – a file format

An organization that will certified application's ability to interoperate with the DOD PKI.

Joint Planning Network (JPN)

A collection of non-real-time and near real-time communication and information systems. JPN provides distributed collaborative planning capability, automated decision aids, and a means for distributing plans within theater. The core of the JPN is the Global Command and Control System (GCCS) operating in the Defense Information Infrastructure Common Operating Environment (DII COE).

Joint Program Office JPO

Joint Tactical Air Request **JTAR**

Joint Tactical Radio

JTRS

System

Joint Task Force

Joint force that is constituted and so designated by the Secretary of Defense, a combatant commander, a subunified commander, or an existing joint task force commander.

Joint Technical Architecture JTA

JScript

Microsoft's extended implementation of ECMAScript (ECMA262), an international standard based on Netscape's JavaScript and Microsoft's JScript languages. JScript is implemented as a Windows Script engine. This means that you can plug it in to any application that supports Windows Script, such as Internet Explorer, Active Server Pages, and Windows Script Host. It also means that any application supporting Windows Script can use multiple languages: JScript, VBScript, Perl, and others.

JSP Page

A text-based document containing static text and JSP elements that describes how to process a request to create a response. A JSP page is translated into and handles requests as a servlet. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Just-In-Time Compilation JIT

This is the primary method by which <u>.NET</u> executes MSIL. As the MSIL is executed, the code is compiled and optimized for the executing environment. JIT compilation provides environment optimization, runtime type safety, and assembly verification. To accomplish this, the JIT compiler examines the assembly metadata for any illegal accesses and handles violations appropriately.

K

K

Key Interface Profile KIP

Key Performance Parameter **KPP**

Key Recovery Manager KRM

A service of the DOD PKI where copies of key pairs used for encryption are stored and can be recovered

for law enforcement purposes.

Note: This definition is derived from the DoD Class 3 PKI – Public Key-Enabled

Keystore A file containing the keys and certificates used for

authentication. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Kinematics Position, Velocity, and Acceleration.

Knowledge (Unlike information or data) Requires the presence of

context, semantics, and purpose.

Knowledge Pyramid Data --> Information --> Knowledge -->

Wisdom

L

L

Land C2 Information LC2IEDM Exchange Data Model

Landscape

This is the way you would see a brochure. You can also think of it as being related to the horizon, which is how most landscape photographs are oriented. Since the horizon is infinitely long, the longest edge of the photograph was horizontal to include as much of the horizon as possible.

http://web.mit.edu/abiword v2.0.10/Tutorials/klw/glossary.html

Layered Software Architecture Application software is separated into n tiers that separate concerns; minimally, client, presentation, middle, and data tiers

Least-Common-Denominator Data Access Mechanism When one application is able to obtain data provided by another by removing arbitrary implementation barriers to data exchange.

Light Directory LDAP Access Protocol

A set of protocols for accessing information directories. LDAP is a simpler version of the X.500 standard. Unlike X.500, LD Web Services for Interactive Applications AP supports TCP/IP, which is necessary for Internet access. Because it's a simpler version of X.500, LDAP is sometimes called X.500-lite.

LDAP is a protocol for accessing on-line directory services. http://en.wikipedia.org/wiki/LDAP

Linked Style Sheets

Style sheets that are placed in a separate text files and saved in the root with a **css** file extension. A link to the file is made in the head section of the document.

```
<head>
    link
    rel="stylesheet"
    href="mystyle.css"
    type="text/css">
    </head>
```

Local Area Network LAN

A group of interconnected computer and support devices. (Source: http://www.sun.com/products-n-solutions/hardware/docs/html/817-6210-10/glossary.html)

Local Track

A track established within a unit based on sensor measurements derived from the local platform sensors.

Logical Architecture The logical architecture adds precision, providing a detailed "blueprint" from which component developers and component users can work in relative independence. It incorporates the detailed architecture diagram (with interfaces), component and interface specifications, and component collaboration diagrams, along with discussion of mechanisms, rationale, etc.

Look And Feel

Look and feel refers to design aspects of a graphical user interface – in terms of colors, shapes, layout, typefaces, etc (the "look"); and, the behavior of dynamic elements such as buttons, boxes, and menus (the "feel"). It is used in reference to both software and websites.

http://en.wikipedia.org/wiki/Look_and feel

Look Aspect

One of the traditional aspects of a graphical user interface. The "look" covers such things as colors, shapes, layout, and typefaces.

Loosely Coupled

A computing model where application elements require a simple level of coordination and allow for flexible reconfiguration. Interconnection is often asynchronous and message-based.

M

M

Maintenance MOP Operation Protocol

MAIS

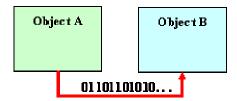
Major Automated Information System

Manual Track A track that is entered and updated by an operator. It may represent an object not seen by current sensors or provide a different representation of an entity than is currently being depicted by the sensors. In addition to system track correlation, the operator has the ability to associate or correlate this track with other tracks.

Map Objects MOJE Java Edition

Marshalling

The process of transferring data using <u>serialization</u> and <u>deserialization</u> is called marshalling.



Measurement

A sensor-derived detection, contact, hit, or observation at a given point in time.

Measurement Report A detection from a single sensor which has not yet been subjected to an association process.

Mediation

Mediation is defined as a set of negotiated agreements for interacting between components that enable those components to work together to perform a task. These agreements are defined through standard interfaces and data interchange specifications.

Mediation services provide multiple methods for integrating data sources and services:

- Transformation
- Aggregation
- Adaptation
- Orchestration

Choreography

Mediation Software Can convert data to a common format or merge disparate formats

Memory Management Unit

MMU

Menu Bar A horizontal list of commands or options from which you can choose that appears on

top of a window. http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Message A complete unit of data available to be sent or received by services. It is a self-

contained unit of information exchange. A message always contains a SOAP envelope, and may include additional MIME parts as specified in MTOM, and/or transport.

Message Bean An enterprise bean that provides asynchronous message support and clearly separates message and business processing.

Message Path Set of SOAP nodes traversed between the original source and ultimate receiver.

Message-Oriented

Metadata

MOM

Middleware

Data about the data, that is, the description of the data resources, its characteristics,

location, usage, and so on. Metadata is used to identify, describe, and define user data.

Metadata Catalog

Metadata Registry A Metadata Registry is a central place where metadata definitions are stored and maintained.

A Metadata registry typically has the following characteristics:

- It is a protected area where only approved individuals may make changes
- It stores <u>data elements</u> that include both semantics and representations
- The semantic areas of a metadata registry contain the meaning of a Data Element with precise definitions
- The representational areas define how the data is represented in a specific format such as within a database or a structure file format such as <u>XML</u>

Metadata Registries often are stored in an international format called <u>ISO-11170</u>.

A Metadata Registry is frequently set up and administered by an organization's <u>Data architect</u> or data modeling team. http://en.wikipedia.org/wiki/Metadata_registry

Microsoft MSDN Developer The Microsoft Developer Network is the portion of Microsoft responsible for managing the firm's relationship with developers. This includes many different kinds of developers: hardware developers interested in the operating system (OS),

Network

developers standing on the the various OS platforms, developers leveraging the API and scripting languages of Microsoft's many applications. The relationship management is situated in assorted media: web sites, newsletters, developer conferences, trade media, blogs and DVD distribution. The life cycle of the relationships ranges from legacy support thru evangelizing potential offerings. http://en.wikipedia.org/wiki/MSDN

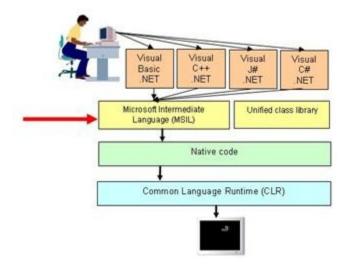
Microsoft **MSIL** Intermediate Language

An intermediate instruction set into which all .NET languages compile. You can execute MSIL code on any environment that supports the .NET framework. MSILcompiled code is verified for safety during runtime, providing better security and reliability than natively compiled binaries.

During compilation, .NET code is translated into Microsoft Intermediate Language (MSIL) rather than machine-specific binary code. MSIL is a machine- and platformindependent instruction set that can be executed in any environment within the .NET framework. .NET uses just-in-time (JIT) compilation as its primary means of executing MSIL. You can generate native binary images using Microsoft's Native Image Generator (NGEN).

Compiling .NET code produces two things:

- Microsoft Intermediate Language (MSIL)
- MSIL Metadata Information about the MSIL-compiled classes. Metadata in .NET serves the same purpose as a COM-type library. Metadata enables applications to support and discover the interfaces of classes in the assembly. The process of reading metadata is reflection. Metadata shows developers the methods and other information for particular classes. To view an assembly's metadata, use the Intermediate Language Disassembler (ILDASM).

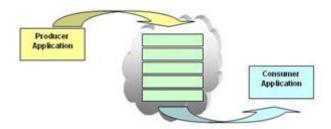


Microsoft Message Queue

MSMO

Messaging in .NET uses Microsoft Message Queue (MSMQ). MSMQ is responsible for reliably delivering messages between applications inside and outside the enterprise. MSMQ ensures reliable delivery by placing messages that fail to reach their intended

destination in a queue and then resending them once the destination is reachable.



MSMQ also supports transactions. It permits multiple operations on multiple queues, with all of the operations wrapped in a single transaction, thus ensuring that either all or none of the operations will take effect. Microsoft Distributed Transaction Coordinator (MSDTC) supports transactional access to MSMQ and other resources.

Milestone Decision Authority **MDA**

MIME HTML MHTML

Mission

The task, together with the purpose, that clearly indicates the action to be taken and the reason for that action.

Mission Capability Package **MCP**

Mission-Essential Task MET

A task selected by a force commander from the Universal Navy Task List (UNTL) deemed essential to mission accomplishment.

Mission-Essential Task List METL

A list of tasks considered essential to the accomplishment of assigned or anticipated missions. A METL includes associated conditions and standards and may identify command-linked and supporting tasks.

Modal Dialog

A dialog box that puts the user in the state or mode of being able to work only inside the dialog box. A modal dialog box resembles an alert box. The user cannot move a modal dialog box and can dismiss it only by clicking its buttons.

Model 4

TADIL A Taxonomy (Link-11)

Model 5

TADIL J Taxonomy (Link-16)

Model Driven Development MDD A general o

A general class of software development processes and techniques that emphasizes the use of models as a key element in the development. MDATM is an example of one approach to MDD.

Model- M Driven Architecture

MDA Model-driven architectureTM is a trademarked term denoting a specific approach to the development of software using models as the basis. The MDATM specifies system functionality separately from the implementation of that functionality on a specific technology platform. To accomplish this goal, the MDATM defines an architecture that provides a set of guidelines for structuring specifications expressed as models. The

MDATM model architecture relates multiple standards, including Unified Modeling

LanguageTM (UMLTM), the Meta Object FacilityTM (MOFTM), the XML Metadata interchange(XMITM), and the Common Warehouse Metamodel (CWMTM). Note that the term "architecture" in MM does not refer to the architecture of the system being modeled, but rather to the architecture of the various standards and model forms that serve as the technology basis for MDATM.

Modular Design Characterized by (1) Functional partitioning into discrete scalable, reusable modules consisting of isolated, self-contained functional elements; (2) Rigorous use of welldefined modular interfaces, including object-oriented descriptions of module functionality; (3) Ease of change to achieve technology transparency and, to the extent possible, make use of industry standards for key interfaces.

Modular Open Systems Approach MOSA

Module

(1) A program unit that is discrete and identifiable with respect to compiling, combining with other units, and loading; for example, the input to, or output from, an assembler, compiler, linkage editor, or executive routine. (2) A logically separable part of a program. Note: The terms 'module,' 'component,' and 'unit' are often used interchangeably or defined to be sub-elements of one another in different ways depending upon the context. The relationship of these terms is not yet standardized. See also component. (Source: IEEE Std 610.12-1990)

Monospace

The sole criterion of a monospace font is that all glyphs have the same fixed width. (This can make some scripts, such as Arabic, look most peculiar.) The effect is similar to a manual typewriter, and is often used to set samples of computer code. Examples of monospace fonts are: Courier, MS Courier New, Prestige, and Everson Mon. . http://www.w3.org/TR/REC-CSS2/fonts.html

Mouse Over

A term used to indicate the text that appears next to your mouse pointer when you hold a computer mouse over any screen object that is an active link, or over some other GUI element or widget that is capable of performing an action, such as an icon or command button. The mouse over is becoming increasingly popular as a way of improving usability that has been made more practical as software gets more sophisticated and powerful. http://en.wikipedia.org/wiki/Mouseover

Multi-Element Array MEA

Multi-Level Priority and Preemption **MLPP**

Preemption

Multi-

MIME

Purpose Internet Mail Extensions

Multi-Sensor Correlated Track A representation of an entity that is formed by correlating track reports using various methods based upon time latency of the given tracks. These multiple tracks are correlated to form one representation of the track.

Multi-User Access A system where multiple users can simultaneously access data stores, use applications, and analyze and direct operations.

Mutual Authentication An authentication mechanism employed by two parties for the purpose of proving each other's identity to one another. (Source: $\underline{\text{http://java.sun.com/j2ee/1.4/docs/glossary.html}}$

N

N

Namespace

A standard that lets you specify a unique label for the set of element names defined by a DTD or XSD. A document using that DTD or XSD can be included in any other document without causing a conflict between element names. The elements defined in a particular DTD are uniquely identified so that, for example, the parser can tell when an element <name> should be interpreted according to the particular DTD rather than using the definition for an element <name> in a different DTD. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Native Image Generator Compilation

NGEN

NGEN enables you to produce a native binary image of MSIL code for the current environment. This improves the performance of the .NET application by eliminating the JIT overhead associated with the execution. Once you run NGEN against an assembly, the resulting native image is placed in the Global Assembly Cache for use by all other .NET assemblies.

NGEN is a good tool for improving performance of .NET applications as long as the executing environment remains static. If you execute an NGEN-generated image in an incompatible environment, .NET automatically reverts to using JIT. To mitigate this, run NGEN during deployment against the installed assemblies.

Native XML Database

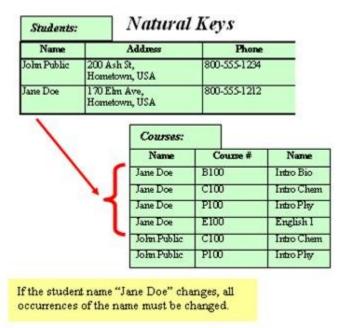
Defines a logical model for an XML document (as opposed to the data in that document) and stores and retrieves documents according to that model. These databases are accessed via programming interfaces such as SAX, DOM, or JDOM. There is a trend away from pure XML storage because all the leading relational database vendors are introducing advanced XML capabilities.

Navigation Bar

A vertical list of commands or options from which you can choose that appear on the left of a window. http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Natural Key

A Natural Key is a primary keys that is made up completely or in part from naturally occurring data in the tables.



See Surrogate Key and Primary Key.

Navy Marine Corps NMCI Intranet

Navy Tactical Task List (NTTL)

NCOW RM

Near-Real-Time (Tracks)

Net

Net Centric Data Architecture The comprehensive list of Navy and Coast Guard (DoD-related missions) tasks at the tactical level of war.

Net-Centric Operations Warfare Reference Model. An information-enabled concept of operations that generates increased combat power by networking sensors, decision makers, and shooters. This enables shared awareness, increased speed of command, higher tempo of operations, greater lethality, increased survivability, and a degree of self-synchronization. In essence, network-centric warfare translates information superiority into combat power by effectively linking knowledgeable entities in the battlespace.

(1) Near-real-time tracks are generated by real-time sensors on remote units, whose delivery latencies are sufficiently large that while they can be used to help decide to engage on the target, they cannot be used to fire on the target. The data is primarily used for situational awareness. (2) The timelines of the data or information have been delayed by the time required for electronic communications and automatic data processing. (Source: 7P1 SS)

A globally interconnected, end-to-end set of information capabilities, associated processes, and personnel for data and information exchange.

Net-Centric

A net-centric environment is one in which users and local applications depend upon common services for functionality and data. Users can access applications and data through web services. This provides an information environment that comprises interoperable computing and communication components. A net-centric environment exploits advancing technology to move from an application-centric to a datacentric paradigm.

Net-Centric Enterprise Services **NCES**

NESI

The NCES program provides enterprise-level Information Technology (IT) services and infrastructure components, also called Core Enterprise Services, for the Department of Defense (DoD) Global Information Grid (GIG).

Net-Centric Enterprise Solutions for Interoperability A cross service effort between the U.S. Navy's Program Executive Office for C4I & Space and the U.S. Air Force's Electronic Systems Center. It provides a reference architecture, implementation guidance, and a set of reusable software components. These facilitate the design, development, maintenance, evolution, and use of information systems for the Net-Centric Operations and Warfare (NCOW) environment.

Net-Centric Information Environment A net-centric information environment utilizes emerging standards and technologies to optimize assured information sharing among all users. It results from implementing GIG component architectures in accordance with the NCOW RM. A net-centric information environment is inclusive of Core and COI enterprise services, and a data sharing strategy that emphasizes metadata concepts, shared information spaces, and the task, post, process, use (TPPU) paradigm.

Net-Centric NCOW Operations Warfare

Net-Centric NCOW RM Operations Warfare Reference Model

Net-Centric Warfare NCW

Net-Centricity

The realization of a robust, globally interconnected, network environment (including infrastructure, systems, processes, people) in which data is shared seamlessly in a timely manner among users, applications, and platforms. By securely interconnecting people and systems, independent of time or location, net-centricity substantially improves military situational awareness and significantly shortens decision-making cycles. Users can better protect assets; exploit information more effectively; use resources more efficiently; and unify our forces by supporting extended, collaborative communities to focus on the mission.

Net-centricity is an information superiority-enabled concept of operations that generates increased combat power by networking sensors, decision-makers, and shooters to achieve shared awareness, increased speed of command, higher tempo of operations, greater lethality, increased survivability, and a degree of self-synchronization. In essence, (Net-centricity) translates information superiority into combat power by effectively linking knowledgeable entities in the battlespace.

NetOps

An organizational, procedural, and technological construct for ensuring information and decision superiority at the strategic, operational, and tactical levels of warfare as well as within DOD business operations. NetOps is an operational approach, which addresses the interdependency and integration of IA/CND, S&NM, and CS capabilities. NetOps consists of the organizations, tactics, techniques, procedures, functionalities, and technologies required to plan, administer, and monitor use of the GIG infrastructure and the end-to-end information flows of the GIG; and to respond to threats, outages, and other operational impact. NetOps ensures mission requirements are properly considered in GIG operational decision-making. NetOps enables the GIG to provide its users with information they need, when they need it, where they need it, with appropriate protection of the information. NetOps is an essential capability for successful execution of net-centric warfare and other net-centric operations in support of national security objectives.

Net-Ready Key Performance Parameter Measures the net-centricity of a new program or major upgrade.

Network

A system of computers, terminals, databases, cables, satellites, and other elements that enable digital communications.

Network Attached NAS Storage

Network Centric Operations Industry Consortium **NCOIC**

NR KPP

Network Operations **NETOPS**

An organizational, procedural, and technological construct for ensuring information and decision superiority at the strategic, operational, and tactical levels of warfare as well as within DoD business operations. NetOps is an operational approach, which addresses the interdependency and integration of IA/CND, S&NM, and CS capabilities. NetOps consists of the organizations, tactics, techniques, procedures, functionalities, and technologies required to plan, administer, and monitor use of the GIG infrastructure and the end-to-end information flows of the GIG; and to respond to threats, outages, and other operational impact. NetOps ensures mission requirements are properly considered in GIG operational decision-making. NetOps enables the GIG to provide its users with information they need, when and where they need it, with appropriate protection. NetOps is essential for successful execution of netcentric warfare and other net-centric operations in support of

national security objectives.

NGEN Compilation

Native Image Generator compilation. NGEN enables you to produce a native binary image of MSIL code for the current environment. This improves the performance of the .NET application by eliminating the JIT overhead associated with the execution. Once you run NGEN against an assembly, the resulting native image is placed in the Global Assembly Cache for use by all other .NET assemblies.

Niche Databases

Various vendors create niche databases in response to shortcomings in relational databases. Market domination by large vendors has made it hard for small vendors to break into the market, so niche database vendors mainly provide supporting tools.

Node

A set of information systems acquired and managed as a single element in the net-centric enterprise. In NESI, these entities are designed to support distributed services for a collection of systems, applications, data, and components that share a common set of mission functions on a common infrastructure.

Node Information Services

The organization responsible for integrated planning,

acquisition, and delivery of integrated, tested, certified C2 Node

systems, sub-systems, components, and services.

Node Platform

Infrastructure

Node Manager

NPI

NIS

A set of information systems and technologies, based on a commercial product stack, that provides an integrated common software component execution framework and infrastructure.

Nonce

A unique random string.

Non-Functional Requirements Address issues such as reliability, performance, supportability, constraints, and physical matters. Many requirements are nonfunctional, and describe only attributes of the system or attributes of the system environment. Although some of these may be captured in use cases, those that cannot may be specified in supplementary specifications.

Non-Real Time (Tracks)

(1) Non-real-time tracks have latencies that nominally range from 15 seconds to days. (2) The timelines of the data or information have been delayed such that the data or information has questionable utility beyond situational awareness. (Source: 7P1SS)

Normalization

Normalization avoids duplication of data, insert anomalies, delete anomalies, and update anomalies. A relation is in first normal form (1NF) if and only if all underlying simple domains contain atomic values only. A relation is in second normal form (2NF) if and only if it is in 1NF and every non-key attribute is fully dependent on the primary key. A relation is in third normal form (3NF) if and only if it is in 2NF and every non-key

attribute is non-transitively dependent on the primary key. Data models should follow the three forms unless there is overriding justification not to. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

North Atlantic NATO Treaty Organization

NATO is an international organization for defense collaboration established in 1949, in support of the North Atlantic Treaty signed in Washington, D.C., on April 4, 1949. Its other official name is the French equivalent, l'Organisation du Traité de l'Atlantique du Nord (OTAN).





Object OID **Identifier**

An OID is an identifier used to name an object. Structurally an OID is a node in a hierarchically assig namespace, formally defined using the ITU-T's ASN.1 standard. Each node in the tree is identified by numbers of the nodes starting at the root of the tree. New nodes are created by registering them under node's registration authority. The root of the tree contains the following three "arcs":

- * 0: ITU-T
- * 1: ISO
- * 2: joint-iso-itu-t

http://en.wikipedia.org/wiki/OID

Object Management Group

OMG

A open-membership, not-for-profit consortium that produces and maintains computer industry specifications for interoperable enterprise applications. Its web site is http://www.omg.org/. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Object **Oriented** 00

Object Request **Broker**

ORB

A library that enables CORBA objects to locate and communicate with one another. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Object Type

During the Object-Oriented (OO) boom there was a push for all programming efforts to completely support the OO paradigm. Many of the DBMS vendors responded by providing support for User-Defi Types (UDT) and Objects.

Object-Based Design

Any design that incorporates objects. Contrast with object-oriented design and class-based design.

Object-based Programming Language

A programming language that provides the ability for the programmer to define and use objects; for example, Ada 83.

Object-Oriented **Analysis**

OOA

OOA (Object Oriented Analysis) constitutes the development of software engineering requirements a specifications for a system. These are expressed as an object model (object oriented design) which is composed of a population of interacting objects.

Object-Oriented **Databases**

OODBMS Object-oriented databases are based on the object model, and use the same conceptual models as object oriented analysis and design. Since OODBMSs have portability "The ease with which a system or component can be transferred from hardware or software environment to another." (Source: IEEE Std 610.12-1990) The level of software portability of any specific product depends on two factors: the des of the product itself, and the characteristics of the source and target execution environments. Software products are rarely if ever 100% portable. Generally, the level of portability depends on the target platform. Software that is highly portable to one class of platform might be not portable to other class issues, only a limited number of people and outside resources support them.

Object-**Oriented**

Any design that incorporates objects, classes, and inheritance. Contrast with object-based design and class-based design.

Design

Object-Oriented Programming Language A programming language that enables programmers to define and use objects, classes, and inheritance for example, C++, Ada 95.

Objects By Value OBV

Office of the OUSD Under

Secretary of Defense

OGC Web OWS Services

Online Status OSC Check

OSC is service that may be provided by the CA. A relying party sends a request to the OSC service value a certificate, the OSC service responds with a digitally signed response that includes the date and time certificate identification, and the status of the certificate about whose validity the relying party inquire. The possible responses include "unknown" which may be the response to a query regarding an expire certificate.

Note: This definition is derived from the DoD Class 3 PKI – Public Key-Enabled

Online Certificate Status Protocol

Responder

Online Certificate Status Protocol is a method for determining the revocation status of an X.509 digital certificate using means other than <u>CRL</u>s. It is described in RFC 2560 and is on the Internet standards track.

OCSP messages are encoded in ASN.1 and usually communicated over <u>HTTP</u>. OCSP's request/respondenture leads to OCSP servers being termed as OCSP responders.

Online Status OSCR Check

.

OCSP

OSCR is the server that responds to a relying party's OSC request.

Only Handle OHIO Information Once

01100

standard vocabulary

Ontology OWL Web

Language

Ontology

OWL-S

Ontology (Web Language

Open Architecture

Schema

An architecture that supports public access to some of its parts. An open architecture is the design of a open system. It also refers to a set of design patterns and principles by which open systems are

developed. An architecture that employs open standards for key interfaces within a system.

Open Database Connectivity **ODBC**

Open OGC Geospatial Open Geospatial Consortium, Inc. Data posted by authoritative sources and visible, available, and usa to accelerate decision making. (Source: http://www.opengeospatial.org/)

Open Source

Consortium

Generically, "open source" refers to a program in which the source code is available to the general pul for use and/or modification from its original design free of charge. Open-source code is typically crea as a collaborative effort in which programmers improve upon the code and share the changes within the community. Open source sprouted in the technological community as a response to proprietary software owned by corporations.

Open Source OSS Software (References: Scott Hissam, Charles B. Weinstock, Daniel Plakosh, Jayatirtha Asundi Perspectives on Open Source Software. November 2001. Technical Report CMU/SEI-2001-TR-019.) "The term open source software at the most basic level simply means software for which the source code is open and available. Open and available is meant to convey two concepts: Open-The source code for the software can be read (seen) and written (modified). Further, this term is meant to promote the creation and distribution of derivative works of the software. Available-The source code can be acquired either free charge or for a nominal fee (e.g., media and shipping charges or online connection charges)."

Open Standard Open standards are publicly available specifications for achieving a specific task. By allowing anyone obtain and implement the standard, they can increase compatibility between various hardware and software components, since anyone with the necessary technical know-how and resources can build products that work together with those of the other vendors that base their designs on the standard (although patent holders may impose "reasonable and non-discriminatory" royalty fees and other licensing terms on implementers of the standard). https://en.wikipedia.org/wiki/Open_standard

Note: NESI restricts the use of the term "standard" to technologies approved by formalized commit that are open to participation by all interested parties and operate on a consensus basis.

Open System

An open system is a collection of interacting software, hardware, and human components designed to satisfy stated needs, with interface specifications of its components that are fully defined, available to public, and maintained according to group consensus. In the collection, the implementations of the components conform to the interface specifications. (SEI)

Open OSI Systems Interconnect

Open OSJTF Systems Joint

Open-Systems Approach

Task Force

An integrated business and technical strategy that employs a modular design and, where appropriate, defines key interfaces using widely supported, consensus-based standards that are published and maintained by a recognized industry-standards organization.

Operational OLA Level

Agreements

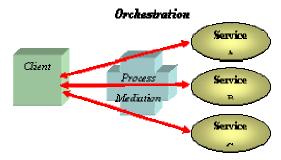
Operational Views OV

Oracle OTN Technology Network

, work

Orchestration

When a client request spawns a chain of events or service requests that rely on a central coordinator, Orchestration is employed. BPEL is an example of a business process management workflow language that implements orchestration.



Note: See Mediation.

Organization OASIS for the Advancement of Structured Information Standards A nonprofit, international consortium that promotes the adoption of product-independent standards fo information formats such as SGML, XML, and HTML. Its web site is http://www.oasis-open.org/. To DTD repository it sponsors is at http://www.XML.org. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

OS File Systems A file system that stores and retrieves data, acting as a data tier. Advocates cite performance and simplicity, but the loss of DBMS-inherent capabilities such as ad-hoc queries and the ability to upgrad faster machines is a deterrent. File-system-based data tiers often result in proprietary solutions that are to maintain and port.

P

P

Page Orientation This term

This term describes the way text appears on a printed page. There are two ways a page can be oriented landscape. http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Parser

A module that reads in XML data from an input source and breaks it into chunks so that your program is working with a tag, an attribute, or element data. A non-validating parser ensures that the XML data but does not verify that it is valid. See also validating parser. (Source: http://java.sun.com/j2ee/1.4/doc

Performance Requirement A requirement that imposes conditions on a functional requirement; for example, a requirement that speed, accuracy, or memory usage with which a given function must be performed. (Source: IEEE Std

Persistent Information State Data stored in a structured represented by a schema or in a persistent data object.

Personal Digital PDA Assistant

Personal Web Server

PWS

A web server program for PC users who want to share web pages and other files from their hard drive scaled-down version of Microsoft's more robust web server, Internet Information Server (IIS). PWS ca full-time Internet connection to serve web pages for a web site with limited traffic. It can also be used site offline or from a "staging" site before putting it on a main web site that is exposed to more traffic.

Personalization

The ability for portal members to subscribe to specific types of content and services. Users can custom feel of their environment.

Physical Model

Translates the conceptual model to a particular RDBMS implementation.

Point-to-Point Messaging System A messaging system built on the concept of message queues. Each message is addressed to a specific extract messages from the queues established to hold their messages. These messages are normally peclient can retrieve messages at any time, similar to email. (Source: http://java.sun.com/j2ee/1.4/docs/g

Policy

A collection of policy alternatives.

Policy Assertion

Represents a domain-specific individual requirement, capability, other property, or a behavior.

Pop-Up Window

A window that suddenly appears (pops up) when you select an option with a mouse or press a special Usually, the pop-up window contains a menu of commands and stays on the screen only until you selected commands. Also, a type of window that appears over the browser window of a web site when visited be windows are used extensively in advertising on the web, though advertising is not the only application windows. Turn theseoff when using UNCG / DCL online courses. A special kind of pop-up window is menu, which appears just below the item you selected, as if you had pulled it down.(Source: http://web.uncg.edu/dcl/icampus/access/glossary.asp)

Portability

The ease with which a system or component can be transferred from hardware or software environmer (Source: IEEE Std 610.12-1990) The level of software portability of any specific product depends on the design of the product itself, and the characteristics of the source and target execution environments. So are rarely if ever 100% portable. Generally, the level of portability depends on the target platform. Soft

highly portable to one class of platform might be not portable to other classes.

Portable Object POA Adapter A CORBA standard for building server-side applications that are portable across heterogeneous ORBs http://java.sun.com/j2ee/1.4/docs/glossary.html)

Portable Operating System Interface for Computing Environments **POSIX**

Portal

A web portal is a web site that provides a starting point, gateway, or portal to other resources on the Ir intranet. Intranet portals are also known as "enterprise information portals" (EIP). Examples of existin Yahoo, Excite, Lycos, Altavista, infoseek, and Hotbot. (Source: http://en.wikipedia.org/wiki/web_portals)

Portal Page

A complete document rendered by a portal. (Source: http://www.oasis-open.org/committees/download/200304-wsrp-specification-1.0.pdf)

Portlet

A reusable web component that displays relevant information to portal users. Examples for portlets incomposed weather, discussion forums, and news. The purpose of the Web Services for Remote Portlets interface web services standard that allows for the "plug-n-play" of portals, other intermediary web applications content, and applications from disparate sources. The portlet specification enables interoperability bet portals. This specification defines a set of APIs for portal computing that addresses the areas of aggreg personalization, presentation, and security. (Source: http://en.wikipedia.org/wiki/Portlets)

Portlet Container A portlet container provides a runtime environment for portlets implemented according to the portlet and environment portlets can be instantiated, used, and finally destroyed. The portlet container is not a statilike the servlet container; instead it is implemented as a thin layer on top of the servlet container and runctionality provided by the servlet container. (Source: http://66.102.7.104/search?q=cache:2wl3P7hXCWAJ:portals.apache.org/pluto/+what+is+a+"portlet+destrictions">http://66.102.7.104/search?q=cache:2wl3P7hXCWAJ:portals.apache.org/pluto/+what+is+a+"portlet+destrictions

)

Portlet JSR Specification 168 To enable interoperability between portlets and portals, this specification defines a set of APIs for porthat address the areas of aggregation, personalization, presentation, and security. (Source:

http://www.jcp.org/en/jsr/detail?id=168)

Portrait

This is the way you would normally see a letter. It actually refers to the way an 8x10 photograph is po portraits of people, the photographer would want the longer edge of the frame vertical to include the sl head. http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Primary Key PK

An object that uniquely identifies a row within a table.

Principal

System entity that can be granted security rights or that makes assertions about security or identity.

Private Key

The private key is one of a pair of keys that are generated as part of asymmetric key cryptography. The kept secret and the public key is public and can be shared openly with others.

Probability of Acquisition

Pacq

Procedural Language Support Procedural languages are optimized to efficiently manipulate large quantities of data. Unfortunately, the portable. Java is a useful, portable alternate to these proprietary languages.

A web service conforming to the WSRP specification. (Source: http://www.oasis-**Producer**

open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Program Assessment **Rating Tool** **PART**

Program Change **Proposals**

Program

PCP

PEO Executive Office

Program of Record

POR

Proprietary Software

Proprietary software is software for which an individual or company holds the exclusive copyright, an license rights deny others access to the software's source code and the right to copy, modify, and study

(Source: http://en.wikipedia.org/wiki/Proprietary software)

Proprietary Standard

Proxy Pattern

Public Domain

Protocol

A standard that is exclusively owned by an individual or organization, the use of which generally wou

In object-oriented programming, a protocol is what or how unrelated objects use to communicate with

license and/or fee.

These are definitions of methods and values which the objects agree upon in order to cooperate.

Provides a surrogate or placeholder for another object to control access to it.

The term "public domain" describes publications, software, and other resources which are not protected or patents.

PK **Public Key**

Public-key cryptography is a form of cryptography which generally allows users to communicate secu having prior access to a shared secret key, by using a pair of cryptographic keys, designated as public

key, which are related mathematically. http://en.wikipedia.org/wiki/Public_key

Public Key Certificate

Used in client-certificate authentication to enable the server, and optionally the client, to authenticate of public key certificate is the digital equivalent of a passport. It is issued by a trusted organization, called authority, and provides identification for the bearer. (Source: http://java.sun.com/j2ee/1.4/docs/glossar

Public-Kev Cryptography Public-key cryptography is a form of cryptography which generally allows users to communicate secu having prior access to a shared secret key, by using a pair of cryptographic keys, designated as public

key, which are related mathematically.

The term asymmetric key cryptography is a synonym for public key cryptography.

In public key cryptography, the private key is generally kept secret, while the public key may be wide a sense, one key "locks" a lock; while the other is required to unlock it. It should not be possible to det key of a pair given the public key.

There are many forms of public-key cryptography, including:

- public key encryption keeping a message secret from anyone that does not possess a speci
- public key digital signature allowing anyone to verify that a message was created with a s

key.

• key agreement — generally, allowing two parties that may not initially share a secret key to a

http://en.wikipedia.org/wiki/Private_key

Public Key Enable

PKE

Applications that use or are required to use public key cryptography must be enabled with functionalit necessary to take advantage of the security services available.

DoD PKI 1.2

PKE is one or more of the following, depending on the specific requirements of the application for sec such as authentication, confidentiality, data integrity, and non-repudiation.

- Replacing existing or creating new user authentication system using personal digital certifica
 other technologies such as username/password or IP filtering
- Implementing public key technology to digitally sign, in a legally enforceable manner, transa documents
- Using public key technology, generally in conjunction with standard symmetric encryption to encrypt data at rest and/or in transit

Public Key Infrastructure

PKI

A public key infrastructure (PKI) is an arrangement which provides for third-party vetting of, and vou identities. It also allows binding of public keys to users. This is usually carried by software at a central together with other coordinated software at distributed locations. The public keys are typically in certihttp://en.wikipedia.org/wiki/Public key infrastructure

Publish/Subscribe Messaging System

A messaging system in which clients address messages to a specific node in a content hierarchy, called Publishers and subscribers are generally anonymous and can dynamically publish or subscribe to the content takes care of distributing the messages arriving from a node's multiple publishers to its multiple publishers to its multiple subscribers are generally not persistent and will only be received by subscribers who are listening at the is sent. A special case known as a "durable subscription" allows subscribers to receive messages sent to subscribers are not active. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

O

Quality of Service

QoS



Data timeliness, accuracy, completeness, integrity, and ease of use. Refers to the probability of the network meeting a given traffic contract. In many cases is used informally to refer to the probability of a packet passing between two points in the network. (Source:

http://en.wikipedia.org/wiki/Quality_of_service) - OR- A defined level of performance that adapts to the environment in which it is operating. QoS may be requested by the user of the information. The level of QoS provided is based on the request, the available capabilities of the provider, and the priority of the user.

R

R

Radio Buttons

A radio button selection box emulates the buttons on a car radio. Only one can be selected at a time. The act of selecting a button, deselects the others.

Random Number RNG Generator

Random number generators are used in symmetric encryption for generating the session keys. Applications using symmetric encryption will use random number generator for creating the symmetric encryption keys.

Real-Time

An operation within a larger dynamic system is called a real-time operation if the combined reaction- and operation-time of a task is shorter than the maximum delay that is allowed, in view of circumstances outside the operation. The task must also occur before the system to be controlled becomes unstable. A real-time operation is not necessarily fast, as slow systems can allow slow real-time operations. This applies for all types of dynamically changing systems. The polar opposite of a real-time operation is a batch job with interactive timesharing falling somewhere in-between the two extremes. (Source: http://en.wikipedia.org/wiki/Real_time)

Real-Time (Tracks)

(1) Real-time tracks are generated by sensors whose delivery latencies are sufficiently small to use in anti-air warfare (AAW). They form composite tracks for situational awareness and are of sufficient quality to engage and fire on the target. "Quality" is a weapon-dependent term. The key issue is the latency of the arrival and subsequent usage of the track data. Periodicity is also a component of track quality. (2) Pertaining to a system or mode of operation in which computation is performed during the actual time that an external process occurs, in order that the computation results can be used to control, monitor, or respond in a timely manner to the external process.

Real-Time RTOS Operation System

An operating system that has been developed for real-time applications. Typically used for embedded applications. This type of operating system does not necessarily have high throughput - the specialized scheduling algorithm and a high clock-interrupt rate can both interfere with throughput. (Source: http://en.wikipedia.org/wiki/RTOS)

Real-Time System

A system in which the correctness of system behavior depends on both the logical correctness of the computation and the time at which the result is produced. For a real-time system, the system fails if its timing constraints are not met. "Real time" is not necessarily synonymous with "fast." The latency of the response might not be an issue, and it could be on the order of seconds or minutes. But the bounded latency that is sufficient to solve the problem at hand is guaranteed by the system. "Bounded" means that the response is neither too early nor too late. In real-time systems, early can be as

bad as late.

Refactoring

Refactoring is often used to describe modifying source code without changing its external behavior, and is sometimes informally referred to as "cleaning it up". Refactoring is often practiced as part of the software development cycle: developers alternate between adding new tests and functionality and refactoring the code to improve its internal consistency and clarity. Testing ensures that refactoring does not change the behavior of the code.

Reference Data Set

This data product combines into one package reference data tables and related meta-data information for reuse in DoD systems and COE database segments. Examples are Country Code, US State Code, Purchase Order Type Code, Security Classification Code. A Reference Data Set is contained in a simple ASCII delimited file ready to use within a data server or other application. Identified within each package is the name of a functional steward or the authoritative source for use.

For Example: Country Code US State Code Purchase Order Type Code Security Classification Code

Data sets are used across the DoD as a uniform representation of data. Each reference data set is associated with the stewardship of a functional proponent and approved for use in DoD systems. The data sets found here are designed for use under the COE but may be downloaded into the current system. DoD's COE and non-COE developers are encouraged to use these sets IMMEDIATELY to support data interoperability and integration, and promote cost savings http://diides.ncr.disa.mil/rdsgal/user/index.cfm

Reference Model

A structure that allows the modules and interfaces of a system to be described in a consistent manner.

Referential Integrity

A feature provided by RDBMSs that prevents users or applications from entering inconsistent data. Most RDBMSs have various referential integrity rules that you can apply when you create a relationship between two tables.

registered namespace

A namespace that has been registered and approved with a <u>namespace</u> registration services. For the DoD, use the <u>DoD Metadata</u> Registry.

Registry

Relational RDB Database

A collection of data items organized as a set of formally-described tables from which data can be accessed or reassembled in many different ways without having to reorganize the database tables.

Relational RDBMS Database Management

A database management system (DBMS) that is based on the relational model or that presents the data to the user as relations. A collection of tables, each table consisting of a set of rows and columns, can satisfy this property. RDBMSs also provide relational

System operators to manipulate the data in tabular form. (Source:

http://en.wikipedia.org/wiki/RDBMS)

Fonts that display according to the size of the surrounding text. Some **Relative Font Size**

> designers call them scalable fonts. Instead of displaying a fixed pixel size, a relative font size displays as a percentage of the surrounding elements. http://www.netmechanic.com/news/vol5/design_no13.htm

Remote Method Invocation

RMI A technology that allows an object running in one Java virtual

machine to invoke methods on an object running in a different Java

virtual machine. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Remote Method Invocation /

RMI/IIOP

A version of RMI implemented to use the CORBA IIOP protocol. RMI over IIOP provides interoperability with CORBA objects

implemented in any language if all the remote interfaces are originally defined as RMI interfaces. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Remote Track

Procedure Call

Internet Inter-

Orb Protocol

A track established by a remote unit, or group of units, and supplied to the local platform.

Remove

RPC

An alternative to sockets that abstracts the communication interface to the level of a procedure call. The programmer has the illusion of calling a local procedure, but in fact the arguments of the call are packaged and sent to the remove target of the cell. RPC systems encode arguments and return values using an external data representation such as XDR. RPC does not translate well into distributed object systems, which require communication between program-level objects in different address spaces. To match the

semantics of object invocation, distributed object systems require RMI. A local surrogate (stub) object manages the invocation on a

remote object.

Replication Replication is the process of copying data from one DBMS to

> another DBMS. As data are added to or modified in a database, replication adds or modifies the data in another, physically separated,

database.

Repository

Representational REST

State Transfer

Request For Comment

RFC

Request For Information (RFI)

Any specific time-sensitive ad-hoc requirement for intelligence information or products. RFIs support ongoing crises or operations not necessarily related to standing requirements or scheduled intelligence production. A RFI can be initiated to respond to

operation requirements and will be validated in accordance with the

theater command's procedures.

Request-Response Messaging System A system of messaging that includes blocking until a response is received. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Requirement

A condition or capability to which a system must conform. Requirements may be derived directly from user needs, or stated in a contract, standard, specification, or other formally imposed document. A desired feature, property, or behavior of a system. A capability that the system must deliver.

Resource Adapter A system-level software driver that a Java application uses to connect to an Enterprise Information System (EIS).

Resource Adaptor Archive A J2EE component that implements the J2EE Connector architecture for a specific Enterprise Information System (EIS). J2EE applications communicate with an EIS through the resource adapter. You can deploy RARs on any J2EE server. A RAR file may be independent or contained in an EAR file.

Resource Definition Framework RDF

RAR

Resource Definition Framework Schema RDFS

Reusable Applications Integration and Development Standards **RAPIDS**

Established with the objective of developing a common set of software standards and implementing a set of processes designed to build portable and reusable software. The intent was to reduce both the time and cost of developing software for Navy C4I systems. This NCW effort was merged with the Air Force's C2ERA to form NESI.

Rivest, Shamir, and Adleman

RSA In cry

In cryptography, RSA is an algorithm for public key encryption. It was the first algorithm known to be suitable for signing as well as encryption, and one of the first great advances in public key cryptography. RSA is still widely used in electronic commerce protocols, and is believed to be secure given sufficiently long keys.

http://en.wikipedia.org/wiki/RSA

Role Mapping

The process of associating groups, principals, or both, recognized by the container with security roles specified in the deployment descriptor. Security roles must be mapped by the deployer before a component is installed in the server. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Role-Based RBAC Access Control An approach to restricting system access to authorized users. It is a newer and alternative approach to discretionary access control and mandatory access control. It assigns permissions to specific operations with meaning in the organization, rather than to low-level data objects. (Source: http://en.wikipedia.org/wiki/RBAC)

Rollback The point in a transaction when all updates to any resources involved

in the transaction are reversed. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Rollover The effect produced by changing the appearance of a graphical

element when the mouse pointer moves over it.

http://www.google.com/search?hl=en&lr=&rls=GGLD,GGLD:2005-

19,GGLD:en&oi=defmore&q=define:Rollover

Rules of ROE

Engagement

Sans Serif Font A sans serif font is a font that has no serifs. Examples are Arial,

Century Gothic, and Helvetica.

 $\underline{http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html}$

S

Schema

Sea Basing

Projecting Joint Operational Independence through the extended reach of networked weapons and Capabilities include: (1) enhanced afloat positioning of joint assets; (2) offensive and defensive po projection; (3) command and control; (4) integrated joint logistics; and (5) accelerated deployment employment timelines.

Sea Shield

Takes naval defense beyond unit- and task-force defense to provide the nation with sea-based theat strategic defense. Capabilities include: (1) homeland defense; (2) sea and littoral superiority; (3) th missile defense; and (4) force entry enabling.

Sea Strike

Describes the capabilities of naval forces to project decisive and persistent offensive power anywh world. Capabilities include: (1) Persistent intelligence, surveillance, and reconnaissance; (2) time-s strikes; (3) electronic warfare/ and information operations; (4) ship-to-objective maneuvers; and (5 strikes.

Secret Internet **Protocol Router** Network

SIPRNET The SIPRNet (Secret Internet Protocol Router Network) is a system of interconnected computer ne used by the U.S. Department of Defense to transmit classified information (up to and including inf classified SECRET//NOFORN) by packet switching over the TCP/IP protocols in a completely sec environment. It also provides services such as hypertext documents and electronic mail. In other w SIPRNet is the DoDs classified version of the civilian Internet and it (and its TOP SECRET/SCI co known as JWICS) are revolutionizing the way classified information is disseminated to consumers

http://en.wikipedia.org/wiki/SIPRNET

Secret Key

The asymmetric key cryptography approach generates two keys, a public key and a private key. The key is often referred to as the secret key.

Secure Hash Algorithm

SHA

The SHA (Secure Hash Algorithm) family is a set of related cryptographic hash functions. In cryp a cryptographic hash function is a hash function with certain additional security properties to make for use as a primitive in various information security applications, such as authentication and mess integrity. A hash function takes a long string (or message) of any length as input and produces a fix string as output, sometimes termed a message digest or a digital fingerprint.

http://en.wikipedia.org/wiki/SHA#SHA-0 and SHA-1

Secure Hash Algorithm 1

SHA-1

The most commonly used function in the family, SHA-1, is employed in a large variety of popular applications and protocols, including TLS, SSL, PGP, SSH, S/MIME, and IPSec.

http://en.wikipedia.org/wiki/SHA

Secure Socket Layer

SSL

A technology that allows web browsers and web servers to communicate over a secured connection protocol runs above TCP/IP and below application protocols.(Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Security Assertion

SAML

An XML standard for exchanging authentication and authorization data between security domains; between an identity provider and a service provider. SAML is a product of the OASIS Security Ser Markup Language Technical Committee. (Source: http://en.wikipedia.org/wiki/SAML)

Security Context

An abstract concept that refers to an established authentication state and negotiated key(s) that may additional security-related properties.

Security Role

An abstract logical grouping of users that is defined by the application assembler. When an applicate deployed, the roles are mapped to security identities, such as principals or groups, in the operational environment. In the J2EE server authentication service, a role is an abstract name for permission to particular set of resources. A role can be compared to a key that can open a lock. Many people mig copy of the key; the lock doesn't care who you are, only that you have the right key. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Security Token

Represents a collection of one or more claims.

Security Token Service A Web service that issues security tokens (see [WS-Security]). That is, it makes assertions based o that it trusts, to whoever trusts it (or to specific recipients). To communicate trust, a service require such as a signature to prove knowledge of a security token or set of security token. A service itself generate tokens or it can rely on a separate STS to issue a security token with its own trust statement that for some security token formats this can just be a re-issuance or co-signature). This forms the trust brokering.

Selection Boxes

A selection box provides a limited range of answers for the user to choose from. There are three ba of selection boxes: radio buttons, check boxes and drop down lists.

Semantics

The implied meaning of data, the study or words and their meanings.

Semi-Structured Data Partial metadata

Separation of Implementation and Interface Services expose mission capabilities through well-defined interfaces and provide reliable and scalar components

Serialization

Serialization is the process of writing a complex object into a serial stream of data. When the data successfully transferred, the data can be <u>deserialized</u> back into a complex object.

Note: The process of transferring data using serialization and <u>deserialization</u> is called <u>marshallin</u>

Serif Font

A serif is a feature of the letters in a given typeset. They appear at the end of lines within the letters example would be the letter T in Times New Roman - at the end of each horizontal line is a tick the down (that is the serif). Serif fonts include Times New Roman, Bookman Oldstyle, and Courier.



http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Server

A computer software application that carries out some task (i.e. provides a service) on behalf of ye piece of software called a <u>client</u>.

Service A service is any function that has a clearly defined interface accessed through well-defined public

points.

Service Access SAP

Point

SAP provides all of the information necessary for a user to access and consume a service. Includes and physical location of the service on the net.

Service Availability The name and location of the organization responsible for the day-to-day operational management service. Include operational point of contact information, trouble-reporting procedures, and applications of the organization responsible for the day-to-day operational management service.

telephone numbers, email addresses, etc.

Service Consumer The person, organization, or automated asset that makes use of a service.

Service Definition Framework SDF

SDF provides service users, customers, developers, providers, and managers with a common frame reference. Its structure and methodology enable you to fully define the Service Access Points (SAF

service.

Service Description A short descriptive name of the service. Include a human-readable description and the XML Qualit (OName) for the service.

Service Level Agreements

SLA

A contractual vehicle between a service provider and a service consumer. It specifies performance requirements, measures of effectiveness, reporting, cost, and recourse. It usually defines repair turn times for users.

Service Performance Specification The percentage of time that the service shall be available over a specified period of time (typically Agreed-upon maintenance or other scheduled downtime does not count against total availability.

Service Provider

The person, organization, or automated asset that implements and operates a service.

Service Registry

Provides descriptive information about a service, enabling the lookup and discovery of services.

Service

Response Time

The planned performance levels of the service (e.g., throughput, capacity, or other applicable meas

expressed as a function of work units processed per unit of time.

Service-Oriented SOA Architecture Services enable access to data and application functionality through public interfaces exposed to the enterprise

Servlet

A Java program that extends the functionality of a web server, generating dynamic content and into with web applications using a request-response paradigm. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Servlet Container A container that provides the network services over which requests and responses are sent, decode and formats responses. All servlet containers must support HTTP as a protocol for requests and res

can also support additional request-response protocols, such as HTTPS. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Servlet Context

An object that contains a servlet's view of the web application within which the servlet is running. context, a servlet can log events, obtain URL references to resources, and set and store attributes the

servlets in the context can use. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Servlet Session

An object used by a servlet to track a user's interaction with a web application across multiple HTT

requests. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Session

An interaction between system entities of finite duration, often involving a user, typified by the material of some state of the interaction for the duration of the interaction. (Source: http://www.oasis-open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Session Bean

An enterprise bean that is created by a client and that usually exists only for the duration of a single server session. A session bean performs operations, such as calculations or database access, for the Although a session bean can be transactional, it is not recoverable should a system crash occur. See objects can be stateless or can maintain conversational state across methods and transactions. If a s bean maintains state, then the EJB container manages this state if the object must be removed from However, the session bean object itself must manage its own persistent data. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

CIM (DI E

Initiation Protocol for Instant Messaging

Session

SIMPLE

Session Key Synonymous with the <u>secret</u> or <u>private key</u>.

Shared Cross-Domain Resource **SCDR**

Shareware

Shareware is software whose license terms permit free redistribution, but also require that anyone continues to use a copy must pay a license fee. (Source: http://www.gnu.org/philosophy/categories

Signed Security Token A security token that is asserted and cryptographically signed by a specific authority (e.g. an X.509 certificate or a Kerberos ticket).

Simple Initiation SIP Protocol

The SIP standard concerns simple call placement and is designed to be easily expandable.

Simple Interfaces A small set thereof universally available to all providers and consumers

Simple Mail

SMTP

Transfer Protocol

Protocol

Simple Network SNMP Management

.__

A design style for building flexible, adaptable, distributed-computing environments. SOA is the un structure in which the components of a computer, computer system, or system of systems are integ of its inter-component functions are defined as services. Service-oriented design is fundamentally a sharing and reusing functionality across diverse applications.

Simple Object SOAP Access Protocol SOAP is a lightweight XML-based messaging protocol used to encode the information in web-serv request-and-response messages before sending them over a network. SOAP messages are independ operating system or protocol and may be transported using a variety of Internet protocols, includin MIME, and HTTP.

Simple Structured Data Simple Structured Data has an uncomplicated data structure. All requisite Metadata is provided and data types only are used (e.g., integers, long integers, strings, and simple lists.

Simple Unstructured Simple Unstructured Data has uncomplicated data structure but not all requisite Metadata is provide

Data

Single Integrated Air Picture SIAP

The SIAP is the product of fused, common, continuous, unambiguous tracks of all airborne objects surveillance area. Each object within the SIAP has one, and only one, track number and set of asso characteristics. The SIAP is developed from near-real time and real time data, and is scalable and f support situation awareness, battle management, and target engagements. JTAMDO Battle Manage Concept.

Single Sign-On SSO

Single Touch Point The portal becomes the delivery mechanism for all business information services.

Smart Pull

Applications that encourage discovery; users can pull data directly from the net or use value-added services.

Socket

A socket is a form of inter-process communication used to form one end of a bi-directional commulink between two applications, likely over a computer network but potentially also on the same mathere are two types of sockets: Internet sockets and local sockets. http://en.wikipedia.org/wiki/Soc

Internet Sockets

In RFC documents relating to TCP or UDP, a socket on a certain host is defined as the combination address, a protocol, and a port number.

The BSD operating system introduced network sockets in 1983: see the Berkeley sockets API. Eac gets bound to a given port, which lets the transport layer protocol (typically UDP or TCP) identify application to send the data to. http://en.wikipedia.org/wiki/Socket

Local Sockets

Another type of socket is used by POSIX compliant systems, and are called Unix domain sockets of sockets (the correct standard POSIX term is POSIX Local IPC Sockets). Their primary function is for inter-process communication on the same host instead of over a network. These connections are local computer to itself, not actually a connection transmitted over a physical network. http://en.wikipedia.org/wiki/Socket

Soft Real-Time

In a soft real-time system, the value of an operation declines steadily after the deadline expires.

Software SDK Developer's Kits A set of development tools that allows a software engineer to create applications for a certain software, software framework, hardware platform, computer system, operating system, and so on. It as simple as an application programming interface in the form of some files to interface to a particular programming language, or as complex as sophisticated hardware to communicate with a certain ensystem. Common tools include debugging aids and other utilities. SDKs frequently include sample technical notes, and other supporting documentation to clarify points from the primary reference in (Source: http://en.wikipedia.org/wiki/SDK)

Software License A software license sets out the terms under which the software may be used, and serves as an agree between the producer and the users of the program. A set of terms and conditions which the owner copyright on a piece of software conveys to users of the software. Licenses take many different for

Software Patent

Patents grant an inventor the right to exclude others from producing or using the inventor's discover invention for a limited period of time. In order to be patented an invention must be novel, useful, as an obvious nature (see §§ 101 - 103 of Title 35). The Federal agency charged with administering pairs the Patent and Trademark Office. See §§ 1-26 of Title 35. Its regulations, pertaining to Patents, as in Parts 2 - 6 of Title 37 of the Code of Federal Regulations. Each patent application for an alleged invention is reviewed by a examiner to determine if it is entitled to a patent. See § 1.104 of Part 1 of (C.F.R.). While historically a model was required as part of a patent application, in most cases todard detailed specification is necessary. See §§ 112 - 114 of Title 35. Software may be patented. There are

currently more than 4,000 software patents in effect.

Software STR Trouble Report

Software Unit

(1) A separately testable element specified in the design or a computer software component. (2) A separable part of a computer program. (3) A software component that is not subdivided into other components. (4) (IEEE Std 1008-1987 [10]) Note: The terms "module," "component," and "unit" a used interchangeably or as sub-elements of one another in different ways depending upon the context relationship of these terms is not yet standardized. In common usage, the term generally denotes the compilable software component, in the context of (3) from the IEEE definition. That is, it can be contained and it does not contain any other software components. See also test unit. (Source: IEEE Std 610.1)

Space and Naval SPAWAR

Warfare Systems Command

Stakeholder

An enterprise, organization, or individual having an interest or a stake in the outcome of the engine

system. (Source: EIA-632, Annex A)

Standard

A document that establishes engineering and technical requirements for products, processes, procedurations, and methods that have been decreed by authority or adopted by consensus. (Source: EIA Annex A)

The parent of both HTML and XML. Although HTML shares SGML's propensity for embedding

Standard Data Entry There are several standard data entry fields: input areas, selection boxes (i.e. radio buttons, check be drop down lists), and text areas.

Standard Generalized Markup Language

SGML

presentation information in the markup, XML is a standard that allows information content to be to separated from the mechanisms for rendering that content. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Standard Toolbar Toolbar normally displayed directly under the Menu bar, that contains the most commonly used ite AbiWord, e.g., Create a new document, Open an existing document, Save the document, Print the Comp. Posts, etc. http://www.mit.edu/ohiword.vi2.0.10/Tutoriole/lulw/elegenry.html

Copy, Paste, etc. http://web.mit.edu/abiword_v2.0.10/Tutorials/klw/glossary.html

Stateless Session

Bean

Work

A session bean with no conversational state. All instances of a stateless session bean are identical. http://java.sun.com/j2ee/1.4/docs/glossary.html)

Statement of Objectives

soo

Statement of

sow

Storage Area Network

SAN

A network designed to attach computer storage devices such as disk array controllers and tape libra servers. (Source: http://en.wikipedia.org/wiki/SAN)

Stored Procedure A unit or module of code that executes in a database and implement some bit of application logic or rule. Often written in proprietary language such as Oracle's PL/SQL or Sybase's Transact-SQL.

Stovepipe System A stovepipe system is a legacy system that is an assemblage of inter-related elements that are so tight bound together that the individual elements cannot be differentiated, upgraded or refactored. The s

system must be maintained until it can be entirely replaced by a new system.

Examples of stovepipe systems:

- Systems for which new hardware is no longer available.
- Systems whose original source code has been lost.
- Systems that were built using old or ad hoc engineering methodologies for which support longer be found.

The term is also used to describe a system that does not interoperate with other systems, presuming that it is the only extant system.

A stovepipe system is an example of an anti-pattern legacy system and demonstrates software britt http://en.wikipedia.org/wiki/Stovepipe_system

Structure Metadata

Structured SQL Query Language

The standardized relational database language for defining database objects and manipulating data http://java.sun.com/j2ee/1.4/docs/glossary.html)

Structured Query Language 1992

SQL-92

The SQL-92 and SQL:1999 standards are very detailed and specific. At the current time, no RDBM fully support the entire standard. Vendors that claim they are SQL-92-compliant or SQL:1999-com actually only compliant to a certain level. The SQL-92 standard defines the following levels, which apply to SQL:1999: (1) Notational; (2) Transitional level SQL92; (3) Intermediate level SQL92; (4) SQL92. (Source: http://dbs.uni-leipzig.de/en/lokal/standards.pdf; http://developer.mimer.com/documentation/html_82/Mimer_SQL_Reference_Manual/Intro_SQL_

Structured SQL-99 Query Language 1999

See SQL-92.

Structured Query Language for Java

SQL/J

A set of standards that includes (1) specifications for embedding SQL statements in methods in the programming language and (2) specifications for calling Java static methods as SQL stored proced user-defined functions. An SQL checker can detect errors in static SQL statements at program devertime, rather than at execution time as with a JDBC driver. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Style Sheet

A specification of formatting instructions that, when applied to structured information, provides a prendering of that information (for example, online or printed). Different style sheets may be applied same piece of structured information to produce different presentations of the information. <u>IBM W Glossary</u>

Subsystem

A group of items that performs a set of functions within a particular end product. (Source: EIA-632A)

Supporting Source Track

A composite/collaborative track, a multi-sensor correlated track, a manual track, or an INTEL-gene track that is the basis for declaring the existence of a system track.

Supporting Task

Specific activities that contribute to the accomplishment of a joint-mission-essential task. Supporting are accomplished at the same command level or by subordinate elements of a joint force (e.g., joint force).

functional components, etc.).

Surrogate Key

A surrogate key is a primary key that has been explicitly created and has no relationship with the n occurring data found within a table.

Stu. ID	Name	Address		Ph	Phone	
4321	John Public	200 Ash St, Hometown, USA		800-55	5-1234	
1234	Jane Doe	170 Elm Ave, Hometown, USA		800-555-1212		
1		Courses:				
		Stu. ID	C	urse#	Name	
	\ (1234	B100		Intro Bio	
	1	1234	C100	1 :	Intro Cher	
	1	1234	P100		Intro Phy	
		1234 E100		1	English I	
	•	4321	C100	1	Intro Cher	
		4321	PIO		Intro Phy	

See Natural Key and Primary Key.

Symmetric	Key
Algorithm	

Encryption algorithm where the same key is used for both encrypting and decrypting a message.

System

Two or more interrelated pieces of equipment (or sets) arranged in a package to perform an operatifunction or to satisfy a requirement. (Source: Defense Acquisition Glossary of Terms, Jan 2001)

System Architecture The composite of the design architectures for products and their life cycle processes. (Source: IEEI 1998)

System SCA Communications Architecture

System Time

Represents the time standard used within the combat system, including the local source of Univers Coordinated Time (UTC), a system-wide monotonically increasing reference time, as well as other representations of the system-wide reference time.

System Track

A platform-specific representation of an individual entity, identified by a unique system track num containing one or more track state vectors and uncertainties, as well as associated attributes, attributed uncertainties, and data valid time.

System Views SV

T

T

Tactical Data, Other Data of a non-kinematic, non-sensor-processed nature including intelligence, imagery, voice, context information (e.g., commercial air and shipping lanes, political boundaries).

Tactics, Techniques, and Procedures TTP

Task A discrete event or action, not specific to a single unit, weapon system, or individual, that enables a mission or function to be accomplished.

Task, Post, Process, Use **TPPU**

Task, Process, Exploit, Disseminate **TPED**

Taxonomy

Taxonomy Gallery The Taxonomy Gallery is an important component of the Metadata Registry and Clearinghouse. The Taxonomy Gallery provides its users with access to the core taxonomy for

DoD. http://diides.ncr.disa.mil/taxgal/user/index.cfm

Technical Direction Letter

TRD

TDL

TDS

Technical Requirements Document

Technical Views TV

Technology Development Strategy

Telnet

Rationale and description of how the program will be divided into technology spirals and development increments, specific performance goals, and exit criteria for moving beyond prototype limitations. Program strategy for the total R&D program. Specific cost, schedule,

performance goals, and test plan for first technology spiral development.

The Telnet protocol enables terminals and terminal-oriented processes to communicate on a network running TCP/IP. (Source: http://www.sun.com/products-n-

solutions/hardware/docs/html/817-6210-10/glossary.html)

Tenet Net-centric design precept.

Test and Evaluation Master Plan TEMP

Describes all planned testing, including measures to evaluate the performance of the system during test periods, an integrated test schedule, and resource requirements.

Text Area An area where a user can enter multiple lines of free form text.

Text Box An area where a user can enter a single line of free form text.

Kinematics

Ticker Scrolling text displayed on a screen that continuously updates with new information.

Examples are stock quote tickers and sport score tickers.

Time-Out A period of time after which some condition becomes true if some event has not occurred. -

OR- The action of so doing. For example, a session that is terminated because its state has been inactive for a specified period of time is said to "time out". (Source: http://www.oasis-open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Track (1) A set of detections, contacts, hits, or observations, generated by the same real object in the

environment. It is identified by a track number, and has intrinsic and derived attributes associated with it. (2) A series of related contacts displayed on a data display console or other

display device. (3) To display or record the successive positions of a moving object.

Track A track state vector that represents the best understanding of the entity's position and

movement at a defined point in time with the objective of predicting the entity's future position

if it maintains a consistent direction of movement.

Track Number The unique or alphanumeric identifier associated with a specific set of track data, representing

a vehicular object, point, line of bearing, fix, or area of probability.

Track Quality TQ A numerical value assigned to a track that represents the accuracy of the track position. It is

computed from data related to the past tracking performance.

Track State Smoothed position and velocity representation of an individual object, which minimizes the

RMS errors in estimates of the closest point of approach and time of closest point of approach.

Trade Secret A trade secret is any formula, pattern, device, or compilation of information used in a business

that gives an advantage over competitors who do not know it or use it.

Trade Mark Trademarks are generally distinctive symbols, pictures, or words that sellers use to distinguish

and identify the origin of their products. Trademark status may also be granted to distinctive and unique packaging, color combinations, building designs, product styles, and overall presentations. It is possible to receive trademark status for identification that is not obviously distinct or unique, but which has developed a secondary meaning over time that identifies it with the product or seller. The owner of a trademark has exclusive right to use it on the product it was intended to identify, and often on related products. Service marks receive the same legal protection as trademarks but are meant to distinguish services rather than products. A trademark registered under the Lanham Act has nationwide protection. See § 1115 of the Act. Under the Lanham Act, a seller applies to register a trademark with the Patent and

Act. Under the Lanham Act, a seller applies to register a trademark with the Patent and Trademark Office. The mark can already be in use or be one that will be used in the future.

Transaction A set of input data that triggers execution of a specific processor job. Usually manipulates data

that may need to be rolled back to the original values if any part of the transaction fails. Transactions enable multiple users to access the same data concurrently. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Transformation When a client requests data from a service in a particular format, a transformer is employed. A

transformer will retrieve and reformat the data, returning it to the client.



See Mediation.

Transformational TCM Communications

TCP

Note:

Transient Information State

MILSATCOM

Data stored in a software program, object, temporary file, table or variable.

Transmission Control Protocol

One of the core protocols of the Internet protocol suite. Using TCP, programs on networked computers can create connections to one another, over which they can send data. The protocol guarantees that data sent by one endpoint will be received in the same order by the other, without any pieces missing. It also distinguishes data for different applications (such as a web server and an empil server) on the same computer. (Source:

server and an email server) on the same computer. (Source: http://en.wikipedia.org/wiki/Transmission_Control_Protocol)

Transmission Control Protocol/Internet Protocol

TCP/IP A suite of communications protocols used to connect hosts on the Internet. TCP/IP uses several protocols, the two main ones being TCP and IP. TCP/IP is built into the UNIX operating system and is used by the Internet, making it the de facto standard for transmitting data over networks. Even network operating systems that have their own protocols, such as

Netware, also support TCP/IP.

Transport Infrastructure

The foundation for net-centric transformation in DoD.

Transport Level TLS Security

Trigger

In a DBMS, a trigger is a SQL procedure that initiates (fires) an action when an event (INSERT, DELETE, or UPDATE) occurs. Since triggers are event-driven specialized procedures, the DBMS stores and manages them. A trigger cannot be called or executed; the DBMS automatically fires the trigger as a result of a data modification to the associated table. Triggers maintain the referential integrity of data by changing the data in a systematic fashion.

Triple Data Encryption Algorithm

TDEA

An encryption algorithm whose key consists of three DES (Data Encryption Standard) keys, which is also referred to as a key bundle. A DES key consists of 64 binary digits ("0"s or "1"s) of which 56 bits are randomly generated and used directly by the algorithm. (The other 8 bits, which are not used by the algorithm, may be used for error detection.) Each TDEA encryption/decryption operation (as specified in ANSI X9.52) is a compound operation of DES encryption and decryption operations. Let EK(I) and DK(I) represent the DES encryption and decryption of I using DES key K respectively.

http://www.atis.org/tg2k/ triple data encryption algorithm.html

Trust

The characteristic that one entity is willing to rely upon a second entity to execute a set of actions and/or to make set of assertions about a set of subjects and/or scopes.

Trust Point A trust point is a <u>Certificate Authority</u> (CA) that is the root of all trust for all <u>CAs</u> in a <u>CA</u>

hierarchy.

Trust Domain An administered security space in which the source and target of a request can determine and

agree whether particular sets of credentials from a source satisfy the relevant security policies of the target. The target may defer the trust decision to a third party (if this has been

established as part of the agreement) thus including the trusted third party in the Trust

Domain.

Trusted Path A communications path where: (1) There is reasonable confidence that there has not been any

malicious alteration of the information; (2) The data are timely, meaning they originated

within a small preceding period of time.

Trusted Third TTP

Party

A security authority trusted by communicating entities with respect to specific security-related

activities (e.g., for the purpose of authentication).

http://www.atis.org/tg2k/_trusted_third-party.html

Tunneling Transporting IPv6 traffic through IPv4 networks by encapsulating IPv6 packet in IPv4 and

vice-versa.

Typeface In typography, a coordinated set of character designs, which usually comprises an alphabet of

letters, a set of numerals and a set of punctuation marks. There are also typefaces of Ideograms

and symbols (e.g. mathematical or map making).

In its widest sense a typeface could be said to be a set of design rules (i.e. a style, look or feel) in which any character can be conceived. This allows for addition of new characters to existing

typefaces (e.g. the introduction of the euro sign).

The art of designing typefaces is called type design, being the occupation of a type designer

http://en.wikipedia.org/wiki/Typeface

U

Unassociated Measurement Report

(1) A sensor measurement that has been processed by the originating sensor for clutter rejection and n signal-to-noise parameters, but has not been associated with a track. (2) A measurement report from a has not been successfully associated with an existing composite or single-sensor track and which may detection of a new entity.

Unclassified Internet **Protocol** Router

UMR

NIPRNET The NIPRNET is a network of Internet protocol routers owned by the Department of Defense (DOD). Defense Information Systems Agency (DISA), NIPRNET is used to exchange unclassified but sensitive between "internal" users as well as providing users access to the Internet.

Network http://en.wikipedia.org/wiki/NIPRNET

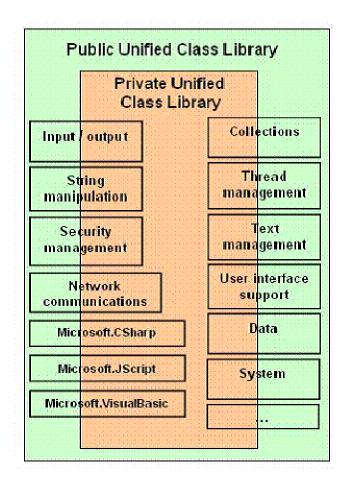
Unicode

A standard defined by the Unicode Consortium. Unicode uses a 16-bit code page that maps digits to c languages around the world. Because 16 bits covers 32,768 codes, Unicode is large enough to include languages, with the exception of ideographic languages that have a different character for every conce Chinese. For more information, see http://www.unicode.org/. (Source: http://java.sun.com/j2ee/1.4/do

Unified Class Library

With the introduction of .NET, Microsoft redesigned the access to common system components and so XML web services, Enterprise Services, ADO.NET, and XML by creating a single object-oriented lib Microsoft Visual .NET languages (Visual Basic, C++, J#, C#, etc.) have access to this library. To mak objects available within the various languages, Microsoft provided infrastructure such as hierarchical structures, types, and common objects like collections.

The following illustration highlights the design and intent of the unified class library; it is not definitive complete discussion see Microsoft's .NET Class Library. In essence, Microsoft has developed a rich c a public and a private aspect. Language neutrality is obtained by creating a functionally identical name language group. With minimal training, programmers can use the unified class libraries from each Vis language, making the reuse of the core functionality a reality. The unified class library is, in essence, a model on which other application libraries can be modeled.



Unified UML Modeling Language

A standard notation for modeling real-world objects as a first step in developing an object-oriented de methodology. UML is defined by the Object Management Group (OMG). (Source: <a href="http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/topic=/com.ibm.wsinted.glossary.do

Uniform URI Resource Identifier An encoded address that represents any web resource, such as an HTML document, image, video clip, opposed to a URL or a <u>URN</u>, which are concrete entities, a URI is an abstract superclass. (Source: http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/top-">http://publib.boulder.ibm.glossary.doc/top-">http://publib.boulder.ibm.glossary.doc/top-">http://publib.glossary.g

A Uniform Resource Identifier (URI) is an Internet protocol element consisting of a short string of characteristic conform to a certain syntax. The string comprises a name or address that can be used to refer to a reso fundamental component of the World Wide Web.

http://en.wikipedia.org/wiki/Uniform_Resource_Identifier

Uniform URL Resource Locator A sequence of characters that represents information resources on a computer or in a network such as This sequence of characters includes (1) the abbreviated name of the protocol used to access the information (2) the information used by the protocol to locate the information resource.(Source: <a href="http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/topic=/com.ibm.wsinted.glo

Uniform URN Resource A name that uniquely identifies a web service to a client. (Source: <a href="http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?topic=/com.ibm.wsinted.glossary.doc/topidex.jsp?t

Name)

UNIQUE Key Integrity Constraints A unique key integrity constraint requires that every value in a column or set of columns (key) be unique two rows of a table have duplicate values in a specified column or set of columns. http://www.lc.leidenuniv.nl/awcourse/oracle/server.920/a96524/c22integ.htm

Universal Description, Discovery, and An industry initiative to create a platform-independent, open framework for describing services, disco businesses, and integrating business services using the Internet, as well as a registry. It is being develor consortium. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Universal Joint Task List

Integration

UJTL

The comprehensive list of tasks at the Strategic and Operational levels of war. A menu of capabilities tasks with associated conditions and standards, i.e., the tools) that a joint force commander may select the assigned mission. Once identified as essential to mission accomplishment, the tasks are reflected v command joint mission essential task list.

Universal Multiple-Octet Coded Character Set UCS

UDDI

Universal UNTL

Navy Task List $UNTL = \underline{UJTL} + NTTL$

Universal UTC Time. Similar to GMT or

Unknown UNK

(contact)

Zulu times.

Unstructured Data Little or no metadata

Use-Case

A sequence of actions, performed by a system, that yields a result of value to a user. A set of actions, it variants, that a system performs that yields an observable result of value to a particular actor. (UML)

Use-Case Model A model that describes a system's functional requirements in terms of use cases. Consists of all the act and all the various use cases by which the actor interact with the system, thereby describing the total f behavior of the system.

A 1:-----

Use-Case Survey A list of names and perhaps brief descriptions of use cases associated with a system, component, or ot physical entity.

User (Security)

An individual or application program identity that has been authenticated. A user can have a set of role with that identity, which entitles the user to access all resources protected by those roles.

User Agent

A system entity that is used by an end user to access a web site. A user agent provides a runtime environment on the client device. (Source: http://www.oasis-

open.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

User UDP Datagram Protocol

User-Defined UDOP

Operation
Picture

User-Facing UFS Services A software component that receives a UFS request from the portal. It returns a UFS response that form for display, usually in a markup language such as HTML or WML, and produces visual output in a po



 $oldsymbol{V}$

VBScript

A programming language developed by Microsoft that is similar to JavaScript. It is used to embed code into HTML pages. It is actually a subset of Microsoft's Visual Basic.

Vector Product Format VPF

Vendor

Any person, organization, or automated asset that interfaces with the information environment as a service consumer or service provider.

Video TeleConferencing VTC

A meeting among persons where telephony and closed-circuit television technologies are used simultaneously. Video teleconference communication is multi-way and synchronous, as it would be if all parties were in the same room. (Source: http://en.wikipedia.org/wiki/Video_teleconference)

Virtual Private Network VPN

Voice Over Internet VoIP Protocol

Voice over Internet Protocol is a set of standards and technologies that allow voice to be transmitted over IP networks.

VoiceXML

VoiceXML (VXML) is the W3C's standard XML format for specifying interactive voice dialogues between a human and a computer. It is fully analogous to HTML, and brings the same advantages of web application development and deployment to voice applications that HTML brings to visual applications. Just as HTML documents are interpreted by a visual web browser, VoiceXML documents are interpreted by a voice browser. A common architecture is to deploy banks of voice browsers attached to the public switched telephone network (PSTN) so that users can simply pick up a phone to interact with voice applications. VoiceXML has tags that instruct the voice browser to provide speech synthesis, automatic speech recognition, dialog management, and soundfile playback.





Archive

Web Application WAR A JAR archive that contains a web module. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Warfare System

All shipboard tactical systems and tactical mission-support systems, such as weapons, sensors, comma navigation, aviation support systems, mission planning, intelligence, surveillance and reconnaissance, exterior communications, topside design, and warfare system networks. (Source: N00178-04-R-2010,

Warfare Systems Support)

Web Application A collection of components that can be bundled together and run in multiple containers from multiple An application written for the Internet, including those built with Java technologies such as Java Serve

servlets, and those built with non-Java technologies such as CGI and Perl. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Web Browser

A client program that initiates requests to a web server and displays the information that the server ret http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/topic=

)

Web Container

A container that implements the web-component contract of the J2EE architecture. This contract spec environment for web components that includes security, concurrency, life-cycle management, transact and other services. A web container provides the same services as a JSP container as well as a federate J2EE platform APIs. A web container is provided by a web or J2EE server. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

Web Coverage WCS

Services or Web Coverage Server

Web Web-Distributed DAV

Authoring and Versioning

Web Feature WFS

Services or Web Feature Server

Web Mapping **WMS**

Service

Web Module

A deployable unit that consists of one or more web components, other resources, and a web application descriptor. The web module is contained in a hierarchy of directories and files in a standard web appli

(Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Web **WNS** Notification

557

Services

Web Page

A document created with <u>HTML</u> (HyperText Markup Language) that is part of a group of hypertext decision resources available on the World Wide Web. Collectively, these documents and resources form what is web site. You can read HTML documents that reside somewhere on the Internet or on your local hard software called a web browser. Web pages can contain hypertext links to other places within the same other documents at the same web site, or to documents at other web sites.

Web Server

Software that provides services to access the Internet, an intranet, or an extranet. A web server hosts v provides support for HTTP and other protocols, and executes server-side programs (such as <u>CGI</u> scrip that perform certain functions. In the <u>J2EE</u> architecture, a web server provides services to a web contae example, a web container typically relies on a web server to provide <u>HTTP</u> message handling. The J2 assumes that a web container is hosted by a web server from the same vendor, so it does not specify the between these two entities. A web server can host one or more web containers. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Web Server Provider A vendor that supplies a web server. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Web Service

An application that exists in a distributed environment, such as the Internet. A web service accepts a rits function based on the request, and returns a response. The request and the response can be part of to operation, or they can occur separately, in which case the consumer does not need to wait for a response request and the response usually take the form of XML, a portable data-interchange format, and are dowire protocol, such as http://java.sun.com/j2ee/1.4/docs/glossary.html) -OR- A web software application or component that is identified by a URI and can be accessed over the Internet. It vendor/platform/language-neutral data interchange format to invoke the service and supply the response a message exchange pattern that is sufficiently well defined to be processed by a software applicate interfaces and binding are capable of being defined, described, and discovered by XML artifacts. It su

Web Service Choreography Interface WSCI

Web Service Flow Language WSFL

Web Service Interface

Language

Web Services WSDL Description An XML format for describing network services as a set of endpoints operating on messages containing document-oriented or procedure-oriented information. The operations and messages are described absoluted to a concrete network protocol and message format to define an endpoint.

Web Services
Execution
Environment

WSMX

Web Services for Interactive Applications **WSIA**

Web Services for Remote Portlets WSRP

The WSRP specification defines a web-service interface for interacting with interactive presentation-services. It has been produced through the joint efforts of the Web Services for Interactive Application Web Services for Remote Portals (WSRP) OASIS Technical Committees. Scenarios that motivate WS functionality include: (1) portal servers providing portlets as presentation-oriented web services that c

aggregation engines; (2) portal servers consuming presentation-oriented web services provided by por content providers and integrating them into a portal framework. (Source: http://www.oasisopen.org/committees/download.php/3343/oasis-200304-wsrp-specification-1.0.pdf)

Web Services **Interoperability**

WS-I

Web Services **Modeling** Ontology

WSMO

Web Services Reliable

WSRM XACML supports exchange of access control information using XML.

Web Site

Messaging

A web site, website, or WWW site (often shortened to just "site") is a collection of web pages: i.e., HT documents accessible via HTTP on the Internet. All publicly accessible web sites in existence compris Wide Web. The pages of a web site are accessed from a common root URL, the homepage, and usuall same physical server. The URLs of the pages organize them into a hierarchy, although the hyperlinks control how the reader perceives the overall structure and how the traffic flows between the different (Source: http://en.wikipedia.org/wiki/web_site)

Web-to-Web W2W

Well-Formed

An XML document that is syntactically correct. It does not have any angle brackets that are not part of have an ending tag or are self-ending, and all tags are fully nested. Knowing that a document is well for possible to process it. However, a well-formed document may not be valid. To determine that, you nee parser and a DTD. (Source: http://java.sun.com/j2ee/1.4/docs/glossary.html)

Wire Protocol

In a network, it is the mechanism for transmitting data from point a. to point b. It often refers to a distribution protocol such as SOAP, CORBA or RMI, which is software only and which invokes the running of pr remote servers. http://www.techweb.com/encyclopedia/defineterm.jhtml?term=wire+protocol

Wireless Application Protocol

WAP

WAP is an open international standard for applications that use wireless communication, such as Inter a mobile phone. WAP provides services equivalent to a web browser with some mobile-specific addit specifically designed to address the limitations of very small portable devices. During its first years of suffered from considerable negative media attention and has been criticised heavily for its design choi limitations. (Source: http://en.wikipedia.org/wiki/WAP)

Wireless Markup Language **WML**

WML is the primary content format for devices that implement the WAP (Wireless Application Proto based on XML, such as mobile phones. (Source: http://en.wikipedia.org/wiki/Wireless_Markup_Lang

Wisdom

Knowledge with information so thoroughly assimilated as to have produced sagacity, judgment, and i ability to use knowledge for a purpose.

Workflow Application One where various applications and components must process data to complete a task. For example, c purchase order that moves through various departments for authorization and eventual purchase. The treated as messages, which are put into various queues for processing. A workflow process involves c and update. You can introduce new components into the operation without changing any code.

Workflow Management **Coalition**

WfMC

World Wide Web ("WWW," or simply "web") is an information space in which items of interest, resources, are identified by global identifiers called Uniform Resource Identifiers (URI). The term is of used as a synonym for the Internet, but the web is actually a service that operates over the Internet. (So http://en.wikipedia.org/wiki/World_Wide_web)

World Wide W3C

The international body that governs Internet standards. Its web site is http://www.w3.org/.

Web Consortium

WSRP Service Presentation-oriented, interactive web services that can be aggregated by consuming applications. (So WSRP Specification 1.0 Glossary)

X

 \boldsymbol{X}

Xalan An XSLT processor that is part of the Apache project. (Source:

http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/topics/ **Processor**

XIS **XIL**

Integration Layer

XLANG Is a block-structured language with basic control flow structures such as sequence, switch (for conditional

while (for looping), all (for parallel routing), and pick (for race conditions based on timing or external trig

XML Gallery

XML Document Type Definitions (DTD) or XML Schema Documents (XSD) files.

Information Resources

XML Key **XKMS**

Management Specification

XML XLink

Linking Language

XML Process Definition Language

XPDL Is the language proposed by the Workflow Management Coalition (WfMC) to interchange process definiti different workflow products. To goal of XPDL is to provide a Lingua Franca for the workflow domain allo import and export process definitions between a variety of tools ranging from workflow management systematical export process definitions between a variety of tools ranging from workflow management systematical export process definitions between a variety of tools ranging from workflow management systematical export process definitions between a variety of tools ranging from workflow management systematical export process definitions between a variety of tools ranging from workflow management systematical export process definitions between a variety of tools ranging from workflow management systematical export process definitions between a variety of tools ranging from workflow management systematical export process definitions are sufficiently as the contract of the contract process definition of the contract

modeling and simulation tools.

XML Schema A database-inspired method for specifying constraints on XML documents using an XML-based language address deficiencies in DTDs, such as the inability to constrain the kinds of data that can occur in a particu Because schemas are founded on XML, they are hierarchical. Thus it is easier to create an unambiguous space of the schemas are founded on XML, they are hierarchical.

and it is possible to determine the scope over which a comment is meant to apply. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

XML XSD Schema

Definition

The W3C specification for defining the structure, content, and semantics of XML documents. (Source:

http://java.sun.com/j2ee/1.4/docs/glossary.html)

XML Path Language

XPath XML Path. An XSL sublanguage designed to uniquely identify or address parts of a source XML docume. with XSLT. XPath also provides basic facilities for manipulation of strings, numbers, and Booleans. (Sour http://publib.boulder.ibm.com/infocenter/adiehelp/index.jsp?topic=/com.ibm.wsinted.glossary.doc/topics/

Xalan-Java <u>XSLT</u> Processor Made by Apache.





Yet Another Workflow YAWL Language

An open source workflow language and environment supported by the Centre for Information Technology Innovation (CITI)

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